

# *The first accreting black holes*

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# *Outline*

- Some open questions
- Current knowledge of high- $z$  ( $> 3$ ) AGN from X-ray (and optical) surveys
- Overview of theoretical models for early BHs formation and growth
- Prospects for IXO observations of early BHs

# *Some key questions on the high-z Universe*

Future facilities (JWST, ALMA, ELT, EVLA) will investigate high-z galaxies and AGN in many bands. Questions for a future X-ray observatory:

How do early BHs form and grow?

What triggers nuclear activity?

External perturbations (mergers, fly by) or internal processes?

What formed first, BH or galaxy?

Some evidence for larger BH per fixed stellar mass at  $z \sim 0.3-0.6$  (Woo+08).

Also, suggestions for  $M_{\text{BH}}/M_* \sim 0.1-0.3$  in bright QSOs at  $z > 4$

(Walter+04, Maiolino+07, Riechers+08)

What is the high-z BH mass function?

How do accretion modes evolve? [radiative efficiency,  $L/L_{\text{Edd}}$ ,  $\text{SED}(\alpha_{\text{ox}})$ ]

# Average radiative efficiency and Eddington ratio

$$\langle \epsilon \rangle = 0.06 - 0.10$$

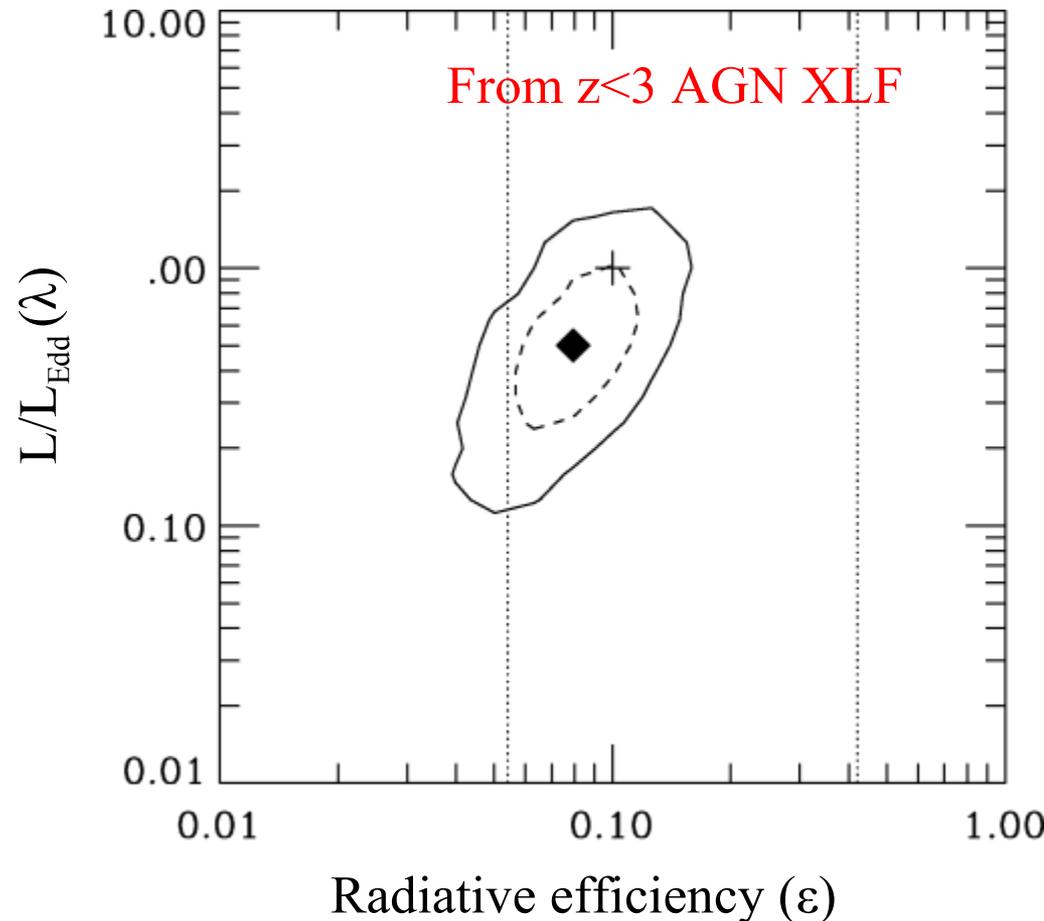
$$\langle \lambda \rangle = 0.2 - 1.0$$

Details of the evolution of accretion onto BH still lacking.

Also, the average radiative efficiency depends on the obscured AGN fraction, especially C-thick AGN, unknown at high-z. Obscured AGN census (see next talk) needed to get meaningful values

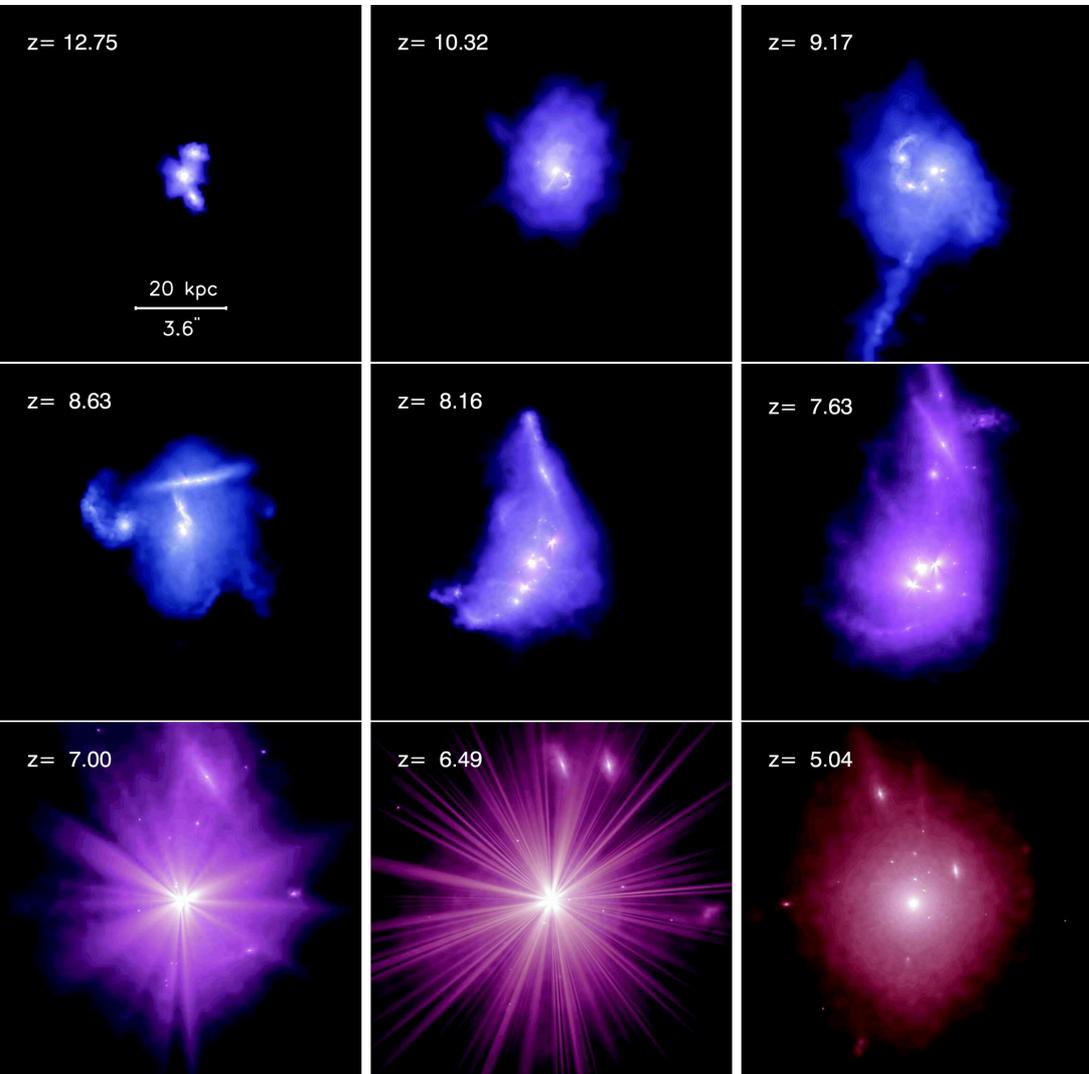
Is there any dependence on redshift?

Is spin (i.e.  $\epsilon$ ) dependent on  $z$  or BH mass? (see eg. Volonteri+05)



From Marconi+04 (see also Yu&Tremaine02, Shankar+04, Merloni+08,...)

# *Simulated formation of a $\sim 10^9 M_{\text{sun}}$ BH at $z=6.4$*



Eddington limited accretion since  $z \sim 20$  needed to explain the  $\sim 10^9 M_{\text{sun}}$  BHs found in luminous SDSS QSOs at  $z > 6$ .

Li+07: multiple mergers with Eddington limited accretion can explain both BH and host galaxy properties of SDSS QSOs at  $z > 6$  ( $\epsilon$  fixed to 0.1)

# Where do we stand?

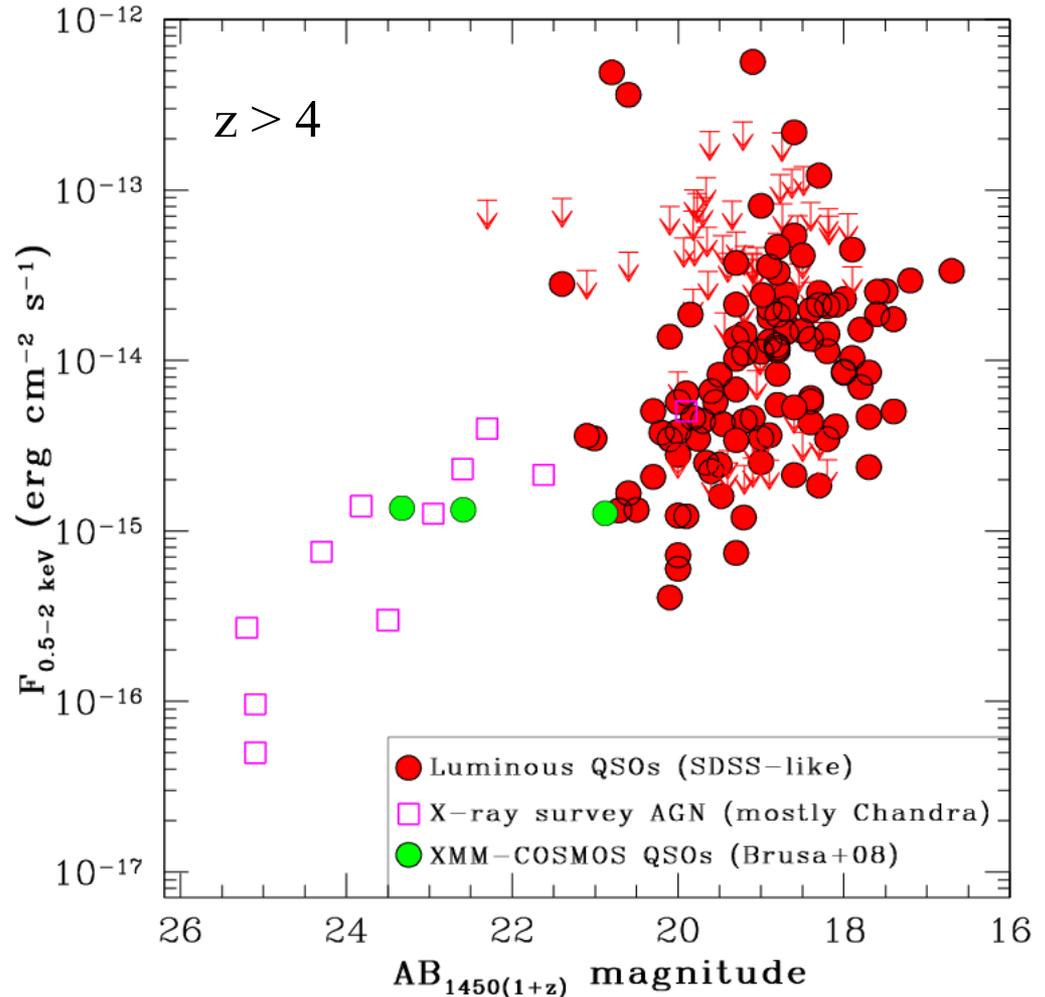
The number of high- $z$  AGN  
detected so far

	SDSS*	X-ray sel.§
$z > 3$	8000	50
$z > 4$	1500	11
$z > 5$	150	2
$z > 6$	10	0

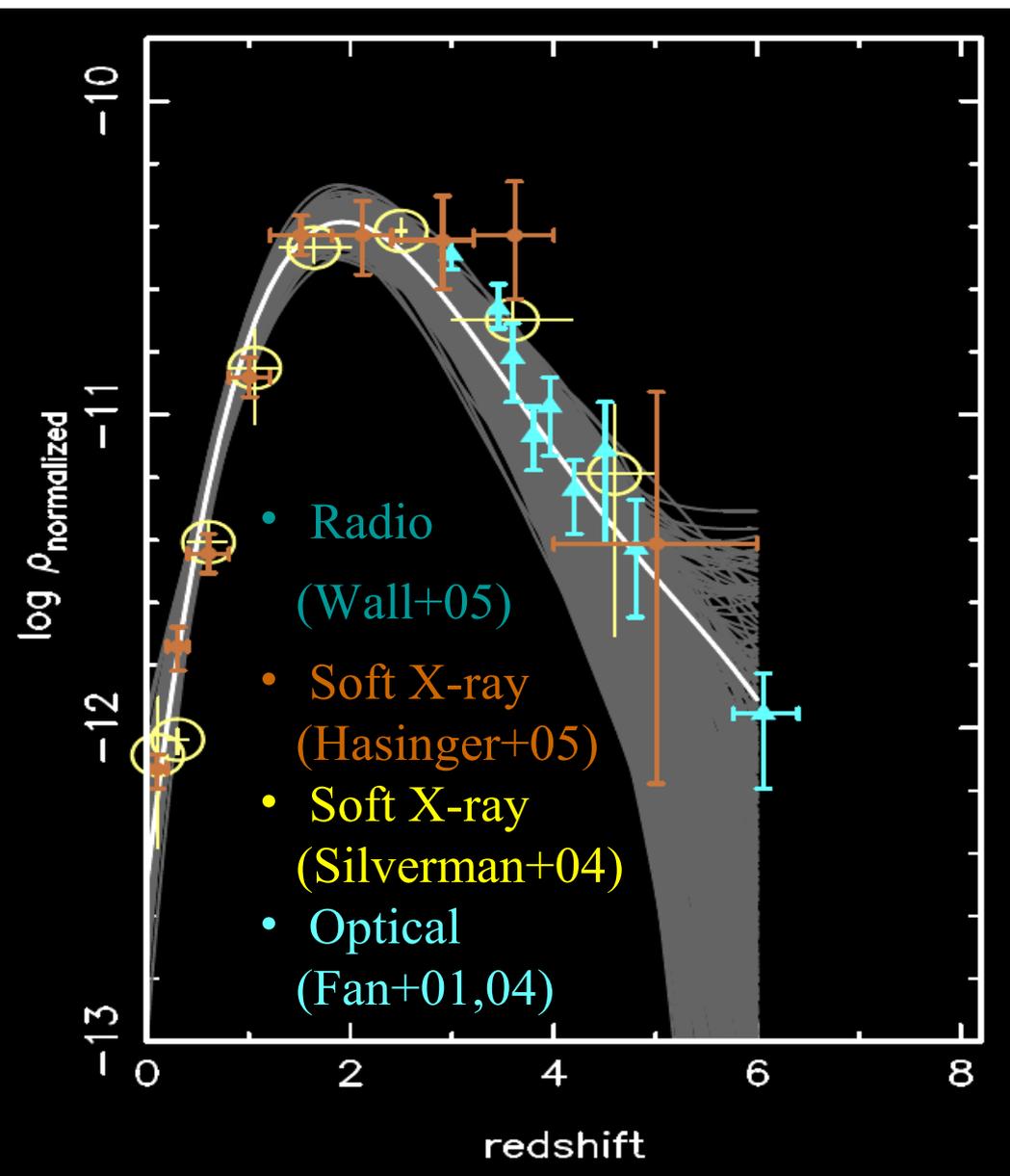
\*from DR6 “SpecObjAll” table

§see eg. compilations by  
Silverman+08, Hasinger08

Vignali et al



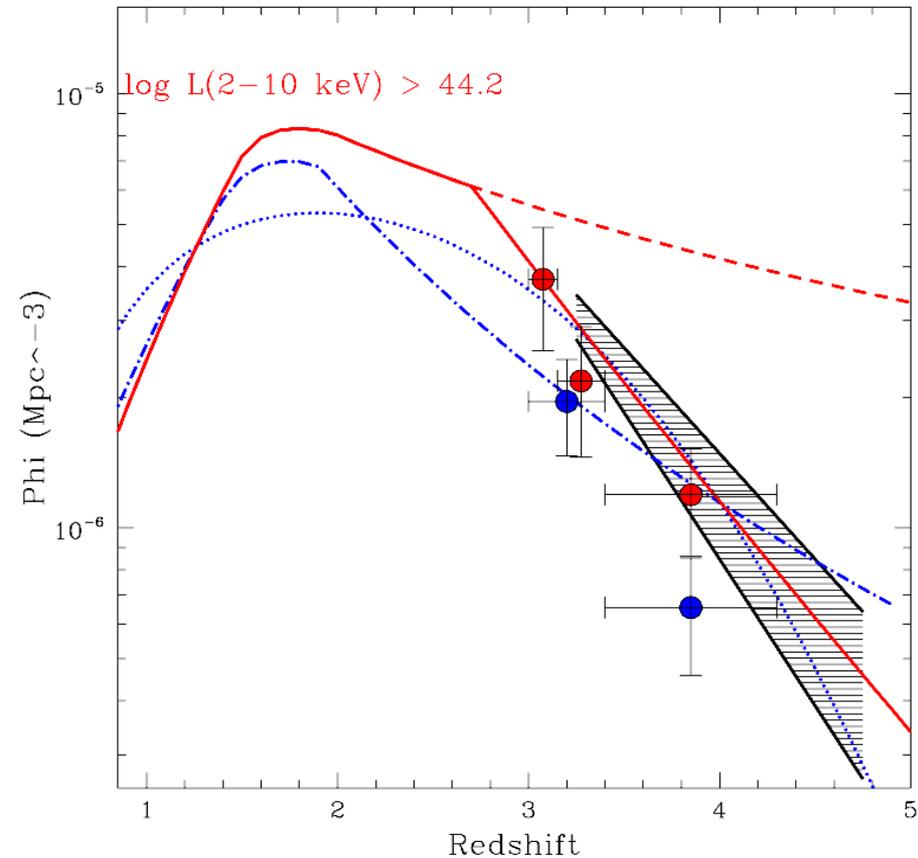
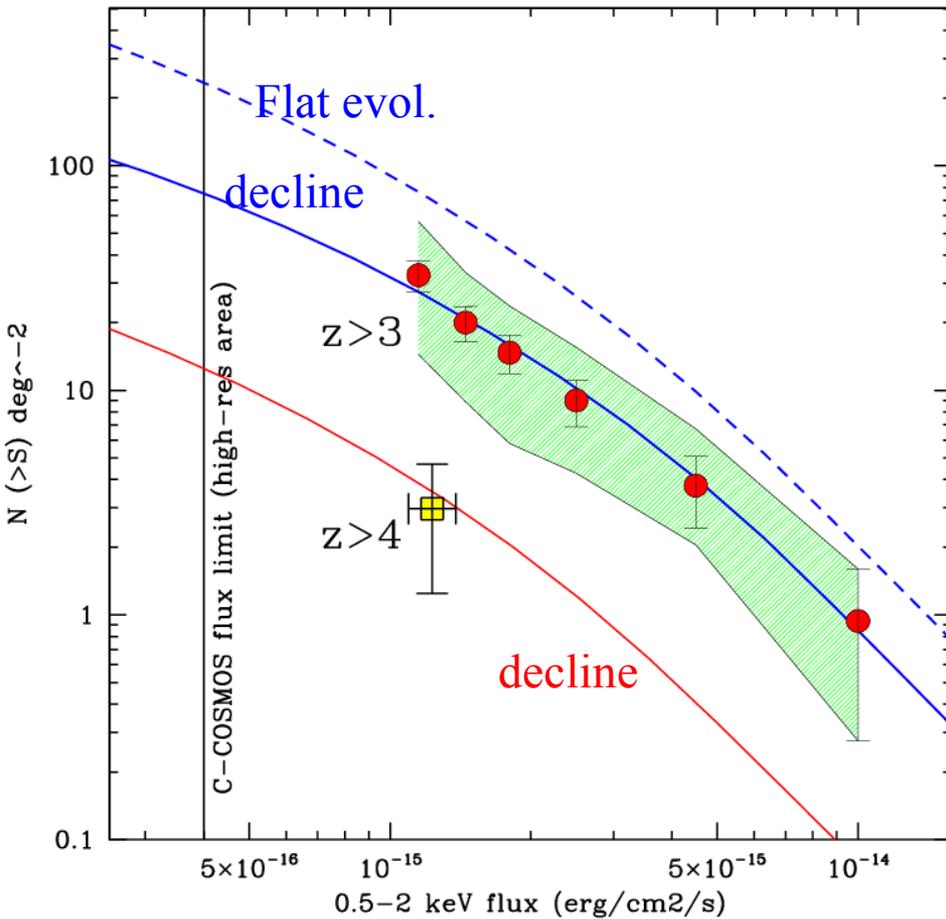
X-rays needed to get the LF faint end



*Density of luminous QSOs ( $L_X > 10^{45}$  erg/s) traced up to  $z=6$ : decline observed at  $z > 2.5-3$*

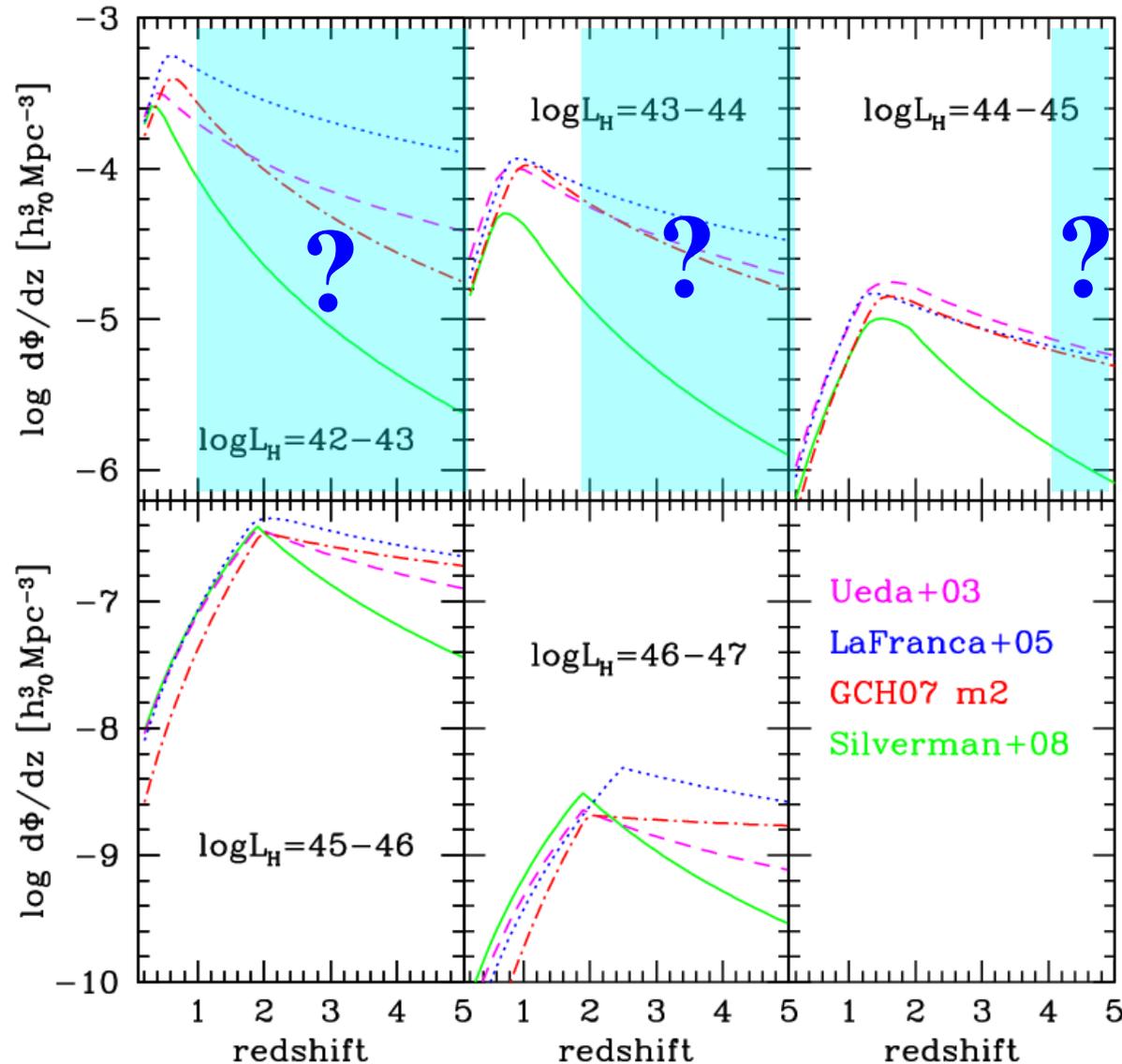
*Less luminous QSOs ( $L_X > 10^{44}$  erg/s):  
decline goes up to  $z \sim 4$*

Brusa+08, arXiv:0809.2513



XMM-COSMOS: the largest sample of X-ray selected AGN at  $z > 3$

# What is the space density of low $L_X$ , high- $z$ AGN?



Evolution of the bulk of the AGN population still to be determined at moderate to high- $z$ .

Flatter evolution or decline as for high luminosity?

Sensitivity needed for high- $z$  AGN census

What do we expect?

# *Formation of primordial black holes*

Black holes at very high redshift can form:

- as remnants of PopIII ( $M > 260 M_{\text{sun}}$ ) stars (Madau&Rees01):  
 $M_{\text{BH}} \sim M_{\text{popIIIstar}}$ , zero metallicity, no mass loss
- By direct collapse of dense gas clouds with low angular momentum via gravitational instabilities (Begelman+06;  $M_{\text{seed}} \sim 20 M_{\text{sun}}$ ). Massive seeds ( $10^4 M_{\text{sun}}$ ) also possible (Koushiappas+04, Volonteri+08).

Primordial black holes are expected to form in high density ( $> \sim 3\sigma?$ ) peaks

# *Semi analytic models of BH growth*

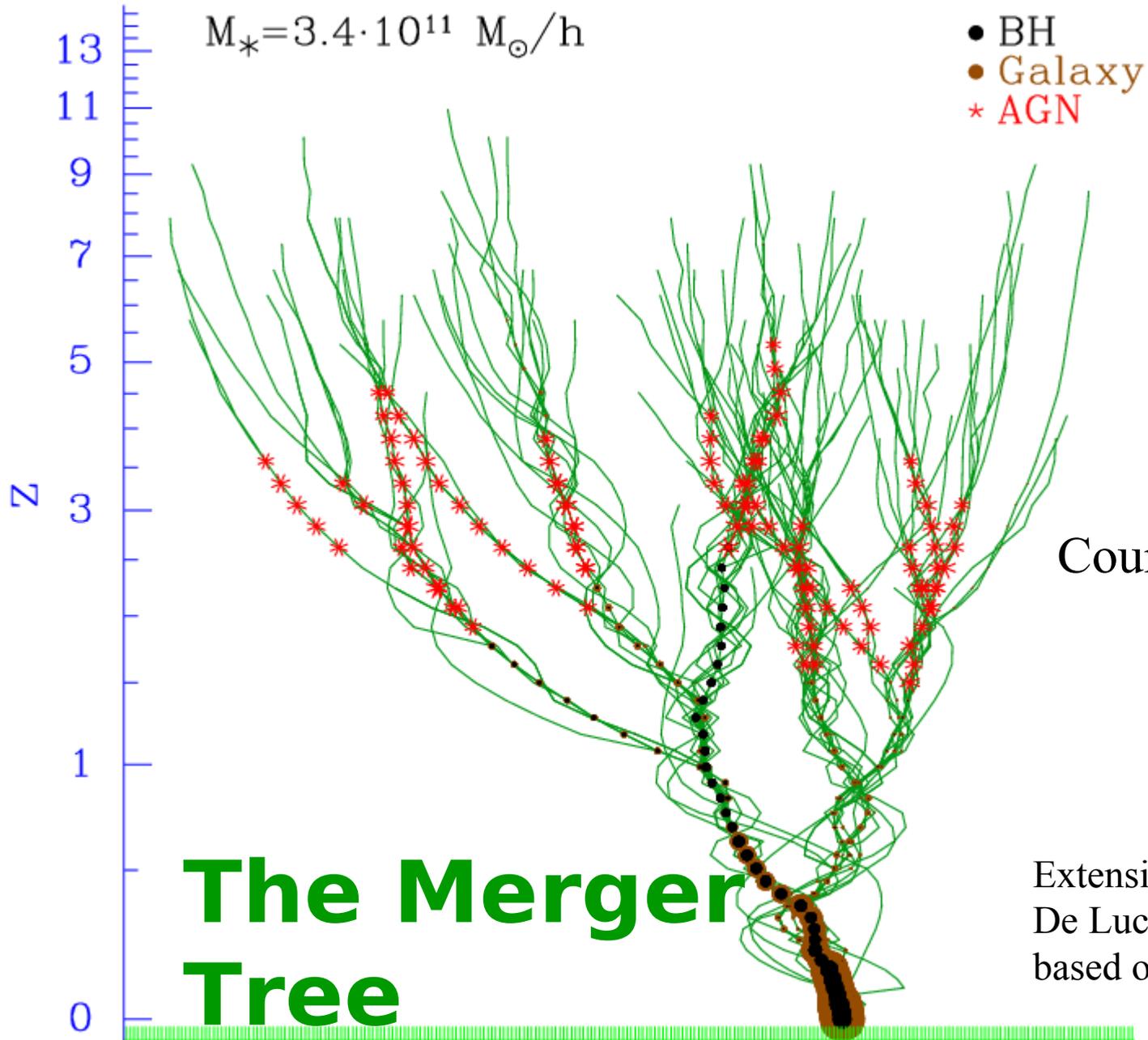
Many semi-analytic models based on LCDM:

Volonteri+06, Salvaterra+06, Rhook&Haehnelt08, Menci+08, Marulli+08. These follow the evolution and merging of Dark Matter Halos with cosmic time and use analytic recipes to treat the baryon physics. Some use the Press-Schechter formalism to get halo merger trees, others are based on the Millennium simulation.

**Common assumption: nuclear trigger at merging**

Free parameters:

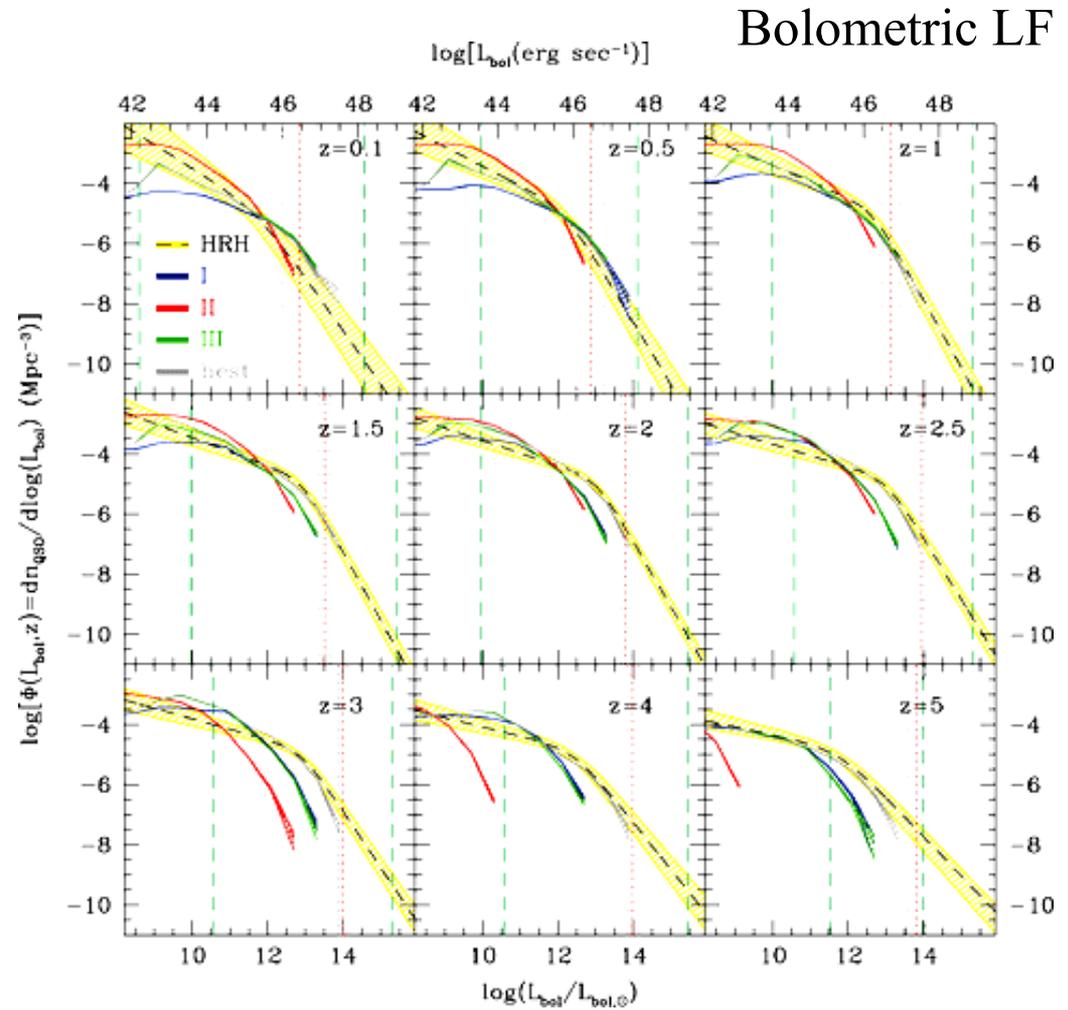
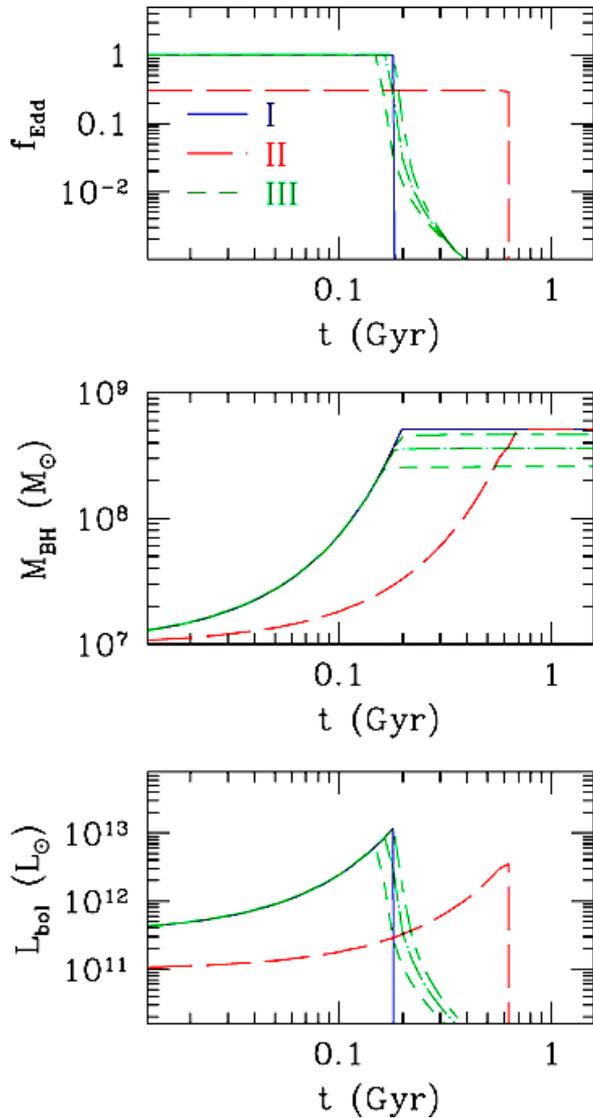
- BH seeds (from  $\sim 20$  to  $10^4 M_{\text{sun}}$ )
- recipes for accretion (radio mode and QSO mode)  $\rightarrow$  Eddington ratio, AGN lightcurves
- relation between initial BH mass and halo mass (eg bias)
- SED (eg obscuration)
- room for accretion due to internal processes (i.e. not related to mergers)



Courtesy F. Marulli

Extension of Croton+06 &  
De Lucia+07 SAM models  
based on Millennium

# AGN lightcurves and luminosity functions



Example of lightcurves for a  $10^7 M_{\text{sun}}$  BH

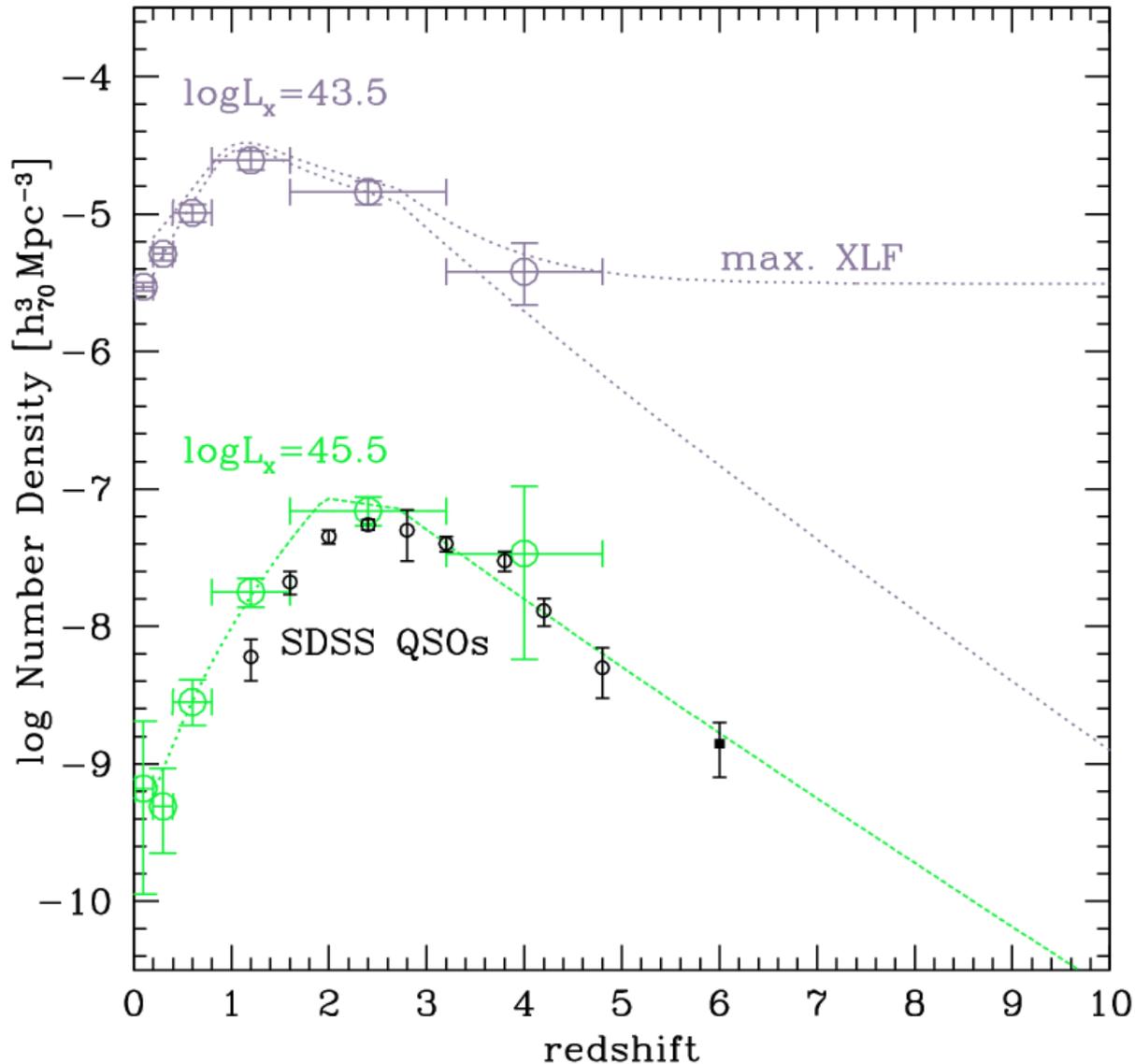
From Marulli+08

# *What will we see? Prospects for IXO*

Two possible ways to make predictions on the high- $z$  Universe:

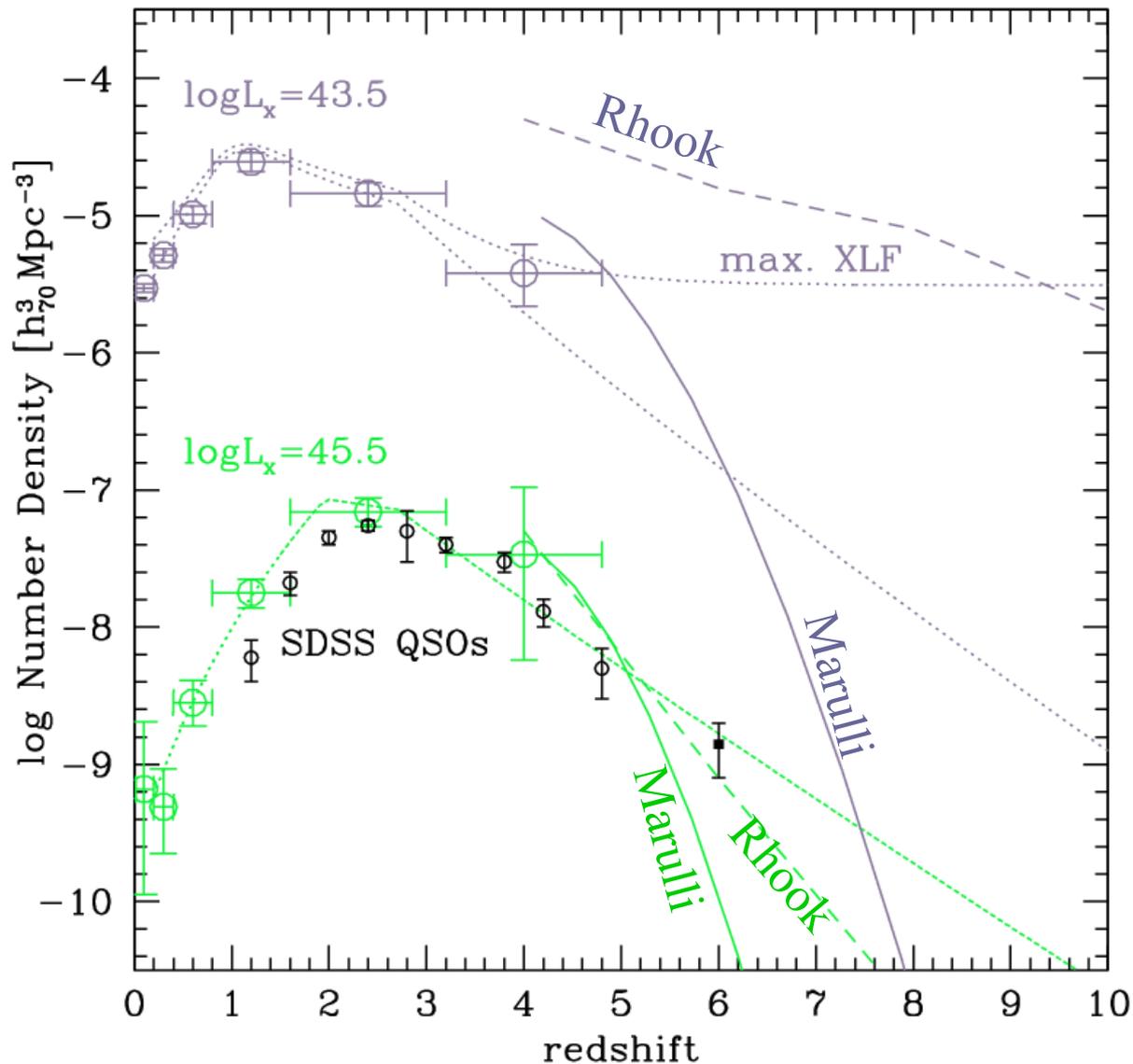
- 1) use simple extrapolations from known XLF towards high- $z$  and low luminosities
- 2) use SAM models for early BH growth from seed BHs

# 1) Extrapolations of known XLF

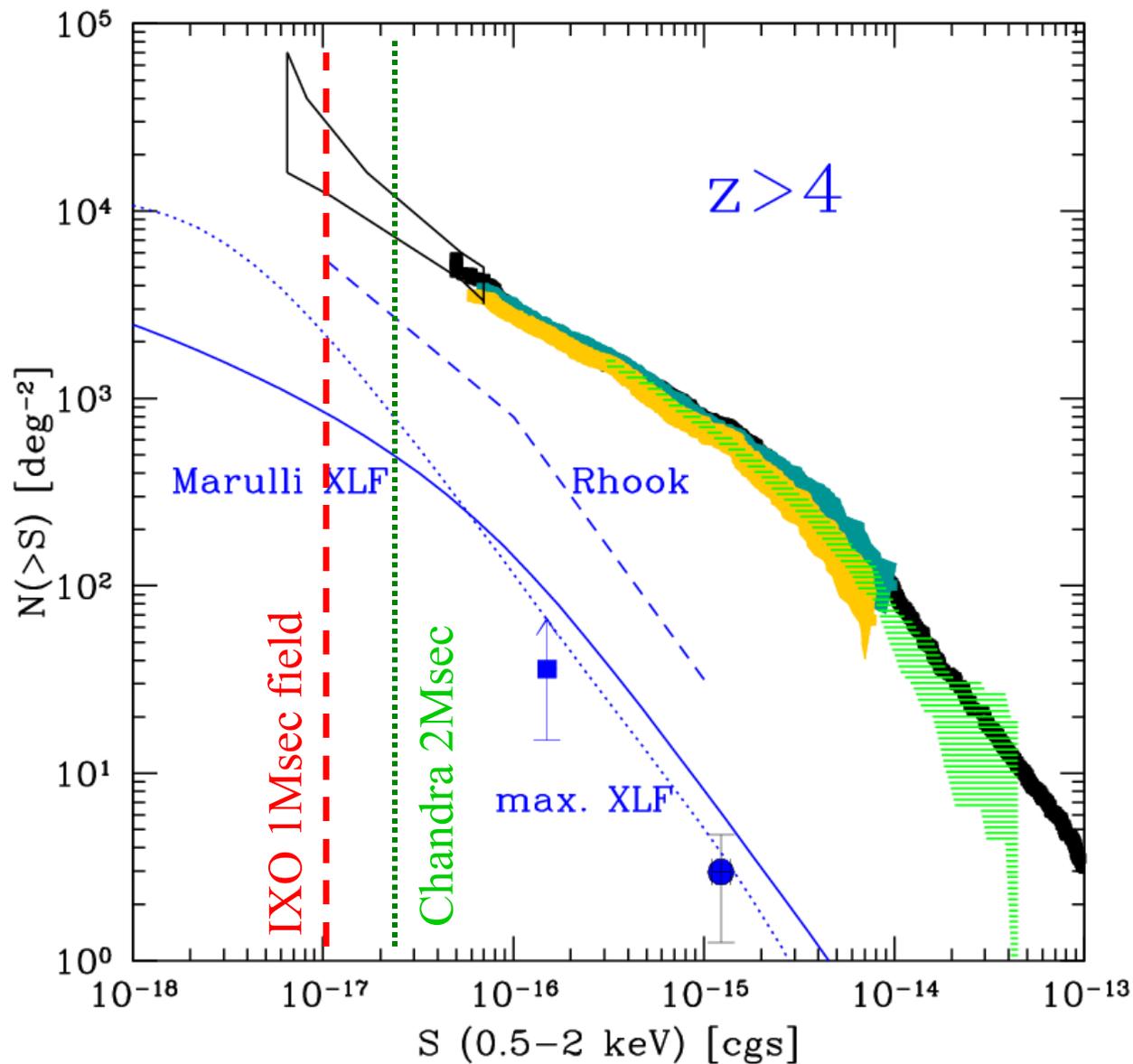


max. XLF:  
XLF that predicts  
the maximum  
number of high-z  
AGN while being in  
agreement with  
current “low-z”  
XLF.

## 2) SAM models for early BH growth



Predictions for high-*z* Universe **very** uncertain, even by a few orders of magnitude



Sensitivity reduced wrt XEUS, but larger FOV compensates for the high-z AGN yield

Angular resolution, HEW:  
 Chandra 1''  
 IXO  $\leq 5''$   
 XMM 15''

Assuming beam radius = HEW/2 and 30 beams per source, confusion limit at  $N(>S) \sim 2 \times 10^4 \text{ deg}^{-2}$ , i.e.  $S \sim 10^{-17} \text{ erg/cm}^2/\text{s}$   
 Confusion limit expected in  $\sim 1$  Msec (depending on the bkg level)

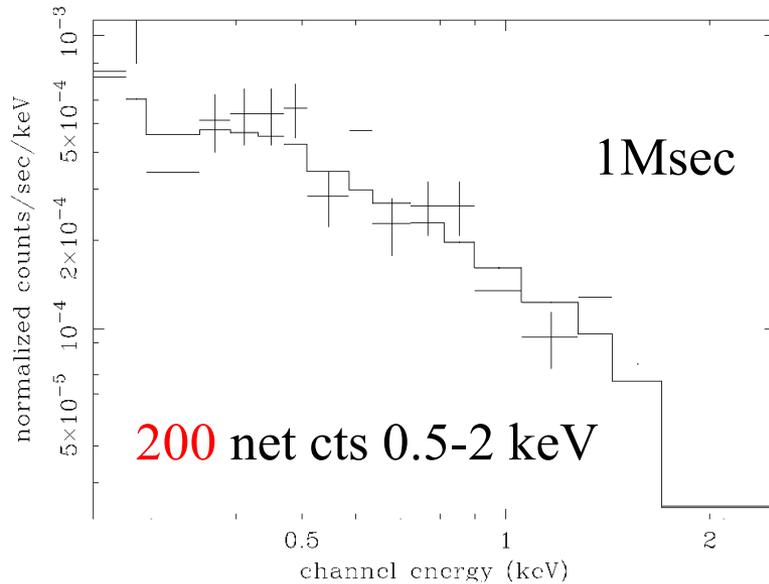
WFI FOV  $\sim 150 \text{ arcmin}^2$ , then  $> 33$  (up to 100?)  
 AGN at  $z > 4$  expected in an IXO Msec field (in absence of vignetting)  
 Increase by 4x if FOV  $25 \times 25 \text{ arcmin}$

# Simulated spectra for faint $z=4$ AGN

(based on a very preliminary response matrix: eff. area =  $3\text{m}^2@1\text{keV}$ ,  $1\text{m}^2@6\text{keV}$ )

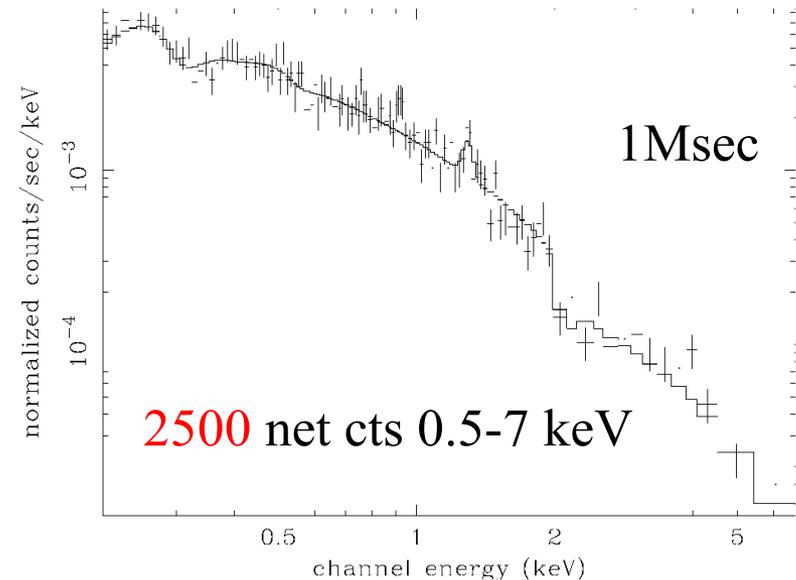
$$F(0.5-2)=10^{-17} \text{ cgs}$$

$$L_x=1.4 \times 10^{42} \text{ erg/s}, \Gamma=1.9$$



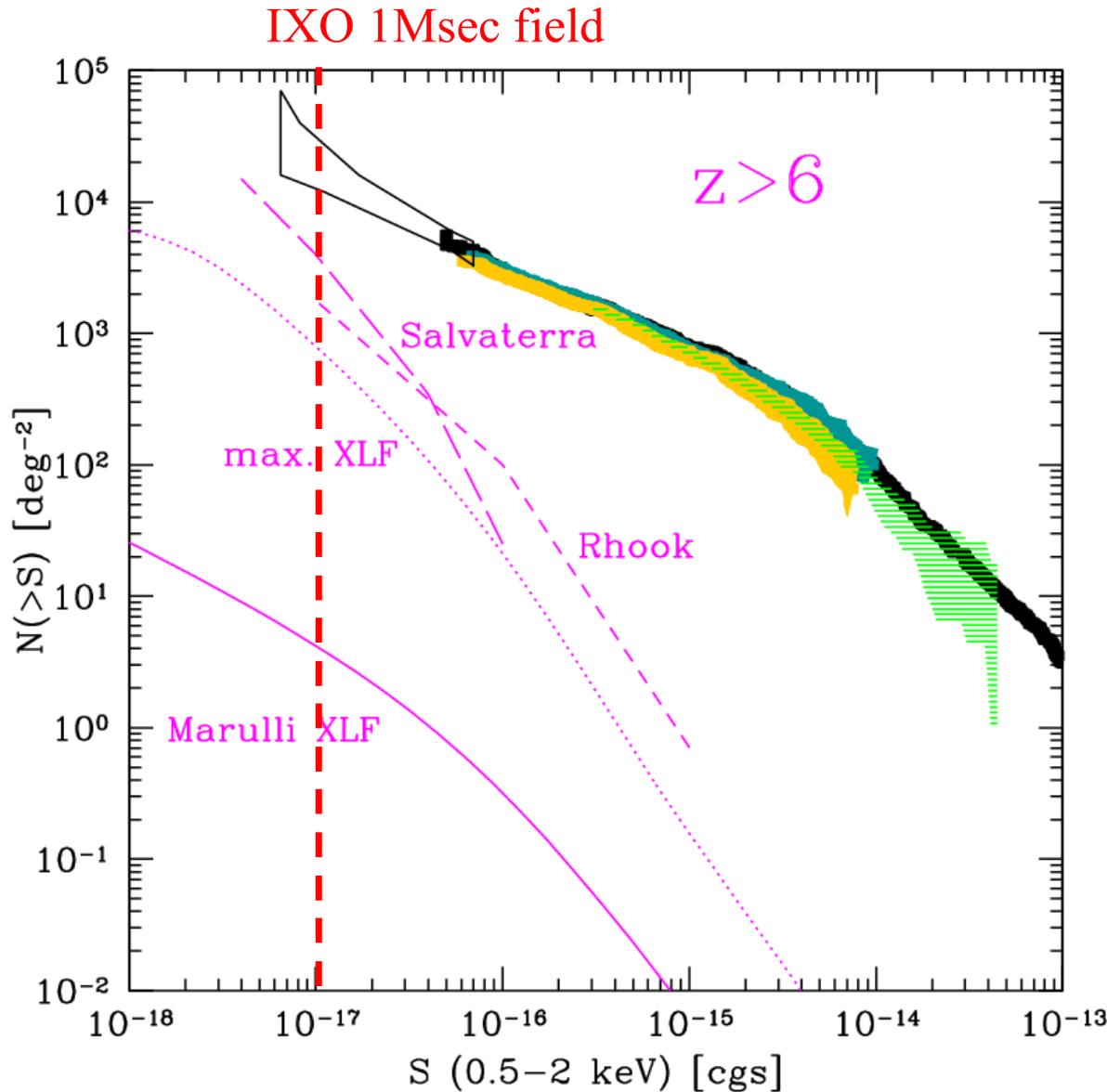
$$F(0.5-2)=10^{-16} \text{ cgs}$$

$$L_x=1.4 \times 10^{43} \text{ erg/s}, \Gamma=1.9$$



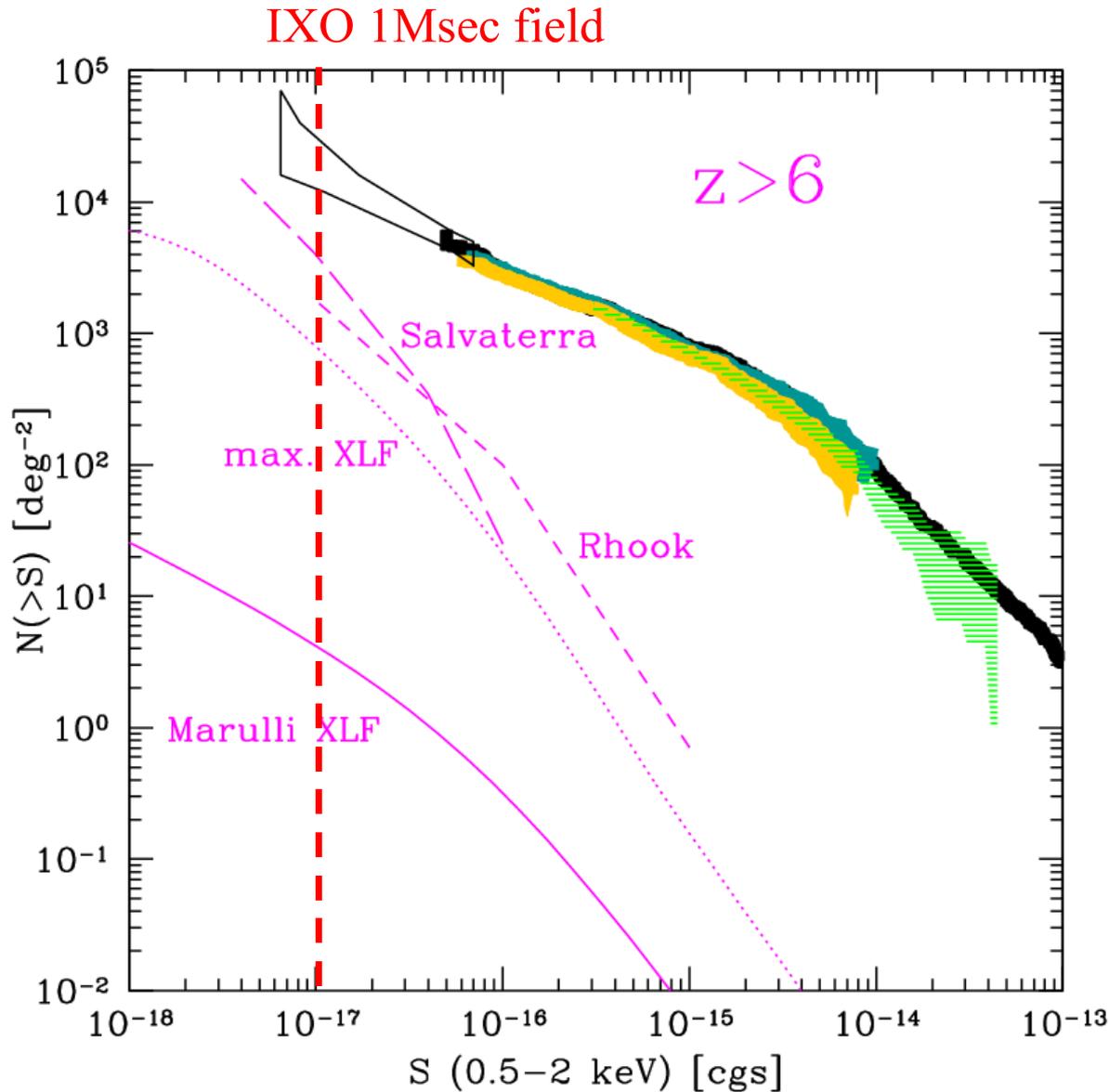
Seyfert galaxies at  $z=4$

**\*\*no background assumed in these simulations:** depending on the bkg level a 1 Msec obs. of a  $10^{-17}$  cgs source might provide from a fairly good X-ray spectrum to just a detection

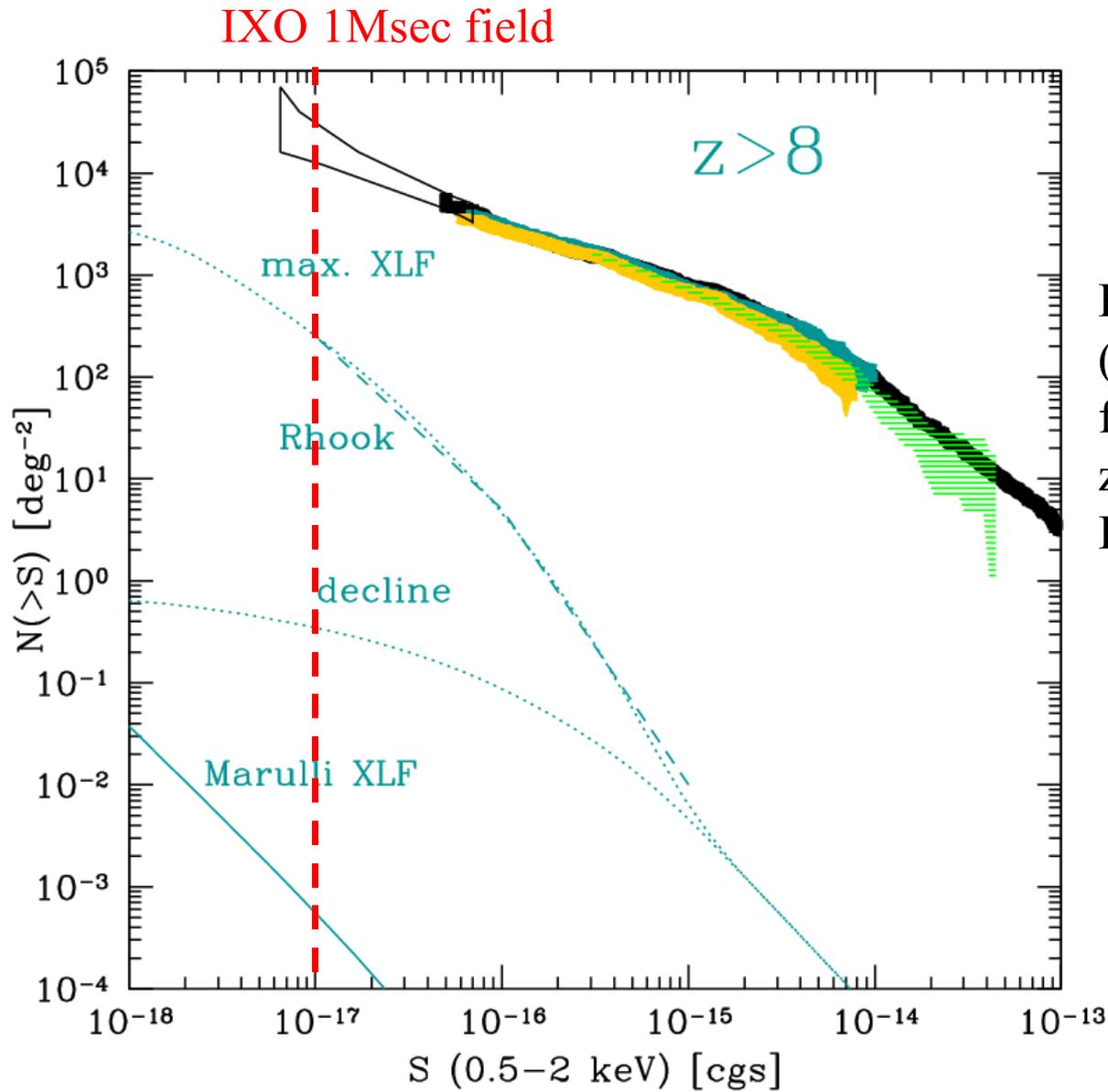


Very wild range of predictions for  $z > 6$  AGN: from 0.2 to 160 expected in an IXO Msec field (in absence of vignetting)

Observations of significant samples at  $z > 6$  would constrain the physics of early BH formation disentangling between several scenarios e.g. providing info on mass of BH seeds, accretion mechanisms (only mergers or also internal processes, and so on).



Also, it is not straightforward to establish an observing strategy which maximizes the high- $z$  AGN yields: this depends on the  $\log N$ - $\log S$  slope  $\alpha$ , which is uncertain. In photon limited regime  $N_{\text{tot}} \approx S^{1-\alpha}$  then if  $\alpha > 1$  the largest number of detections is obtained going deep in a single field (the opposite is true for  $\alpha < 1$ ).



Expectation range  
(no vignetting):  
from  $6 \cdot 10^{-5}$  to 10  
 $z > 8$  objects per  
IXO WFI Msec field

# *Final remarks*

- IXO would provide excellent spectra for moderately bright, known, high- $z$  QSOs. X-ray survey missions with large FOV like eROSITA or WFXT (1deg<sup>2</sup> FOV), or other wide field survey instruments like LSST and Pan-STARRS, would provide the ideal database of moderately bright high- $z$  AGN to be followed up by IXO for spectral analysis. However no  $z=6$  XLF from these samples (apart from WFXT).
- If angular resolution is 5'' HEW **or better**, then sensitivity (confusion limit at  $\sim 10^{-17}$  cgs) coupled with the 150 arcmin<sup>2</sup> FOV **or larger**, might allow IXO to collect  $z>6$  objects in sufficient numbers to build up an XLF and constrain early BH formation and growth.
- IXO appears to be well matched to the sensitivity of other future facilities like JWST and ALMA for observing high- $z$  objects.