

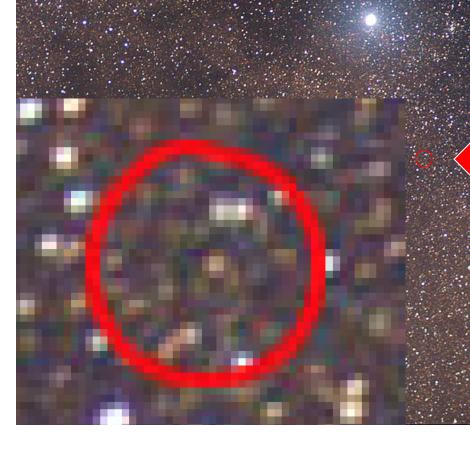
#### Proxima Centauri

Proxima Centauri is Our Sun's nearest neighbor

M5.5V star that is 4.24 l.y: (1.3pc) distant.

It is likely part of the α Centauri system

Similar motion through the Galaxy

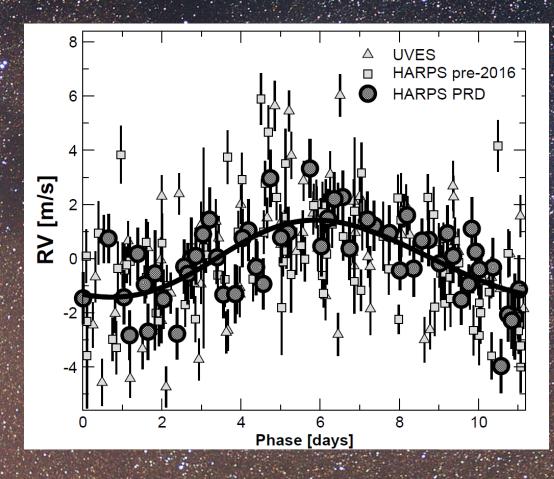


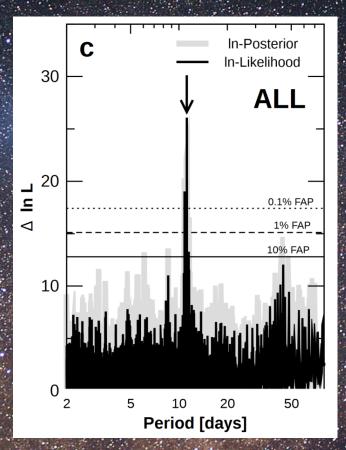
Proxima Cen  $0.12~{\rm M}_{\odot}$ ,  $0.14~{\rm R}_{\odot}$  0.0017 L $_{\odot}$  (Boyajian et al., 2012) ~5 Gyrs

#### Proxima Centauri b

Proxima Centauri b was discovered by Anglada-Escude et al. (2016) using the radial velocity method, and announced on Aug 24th, 2016.

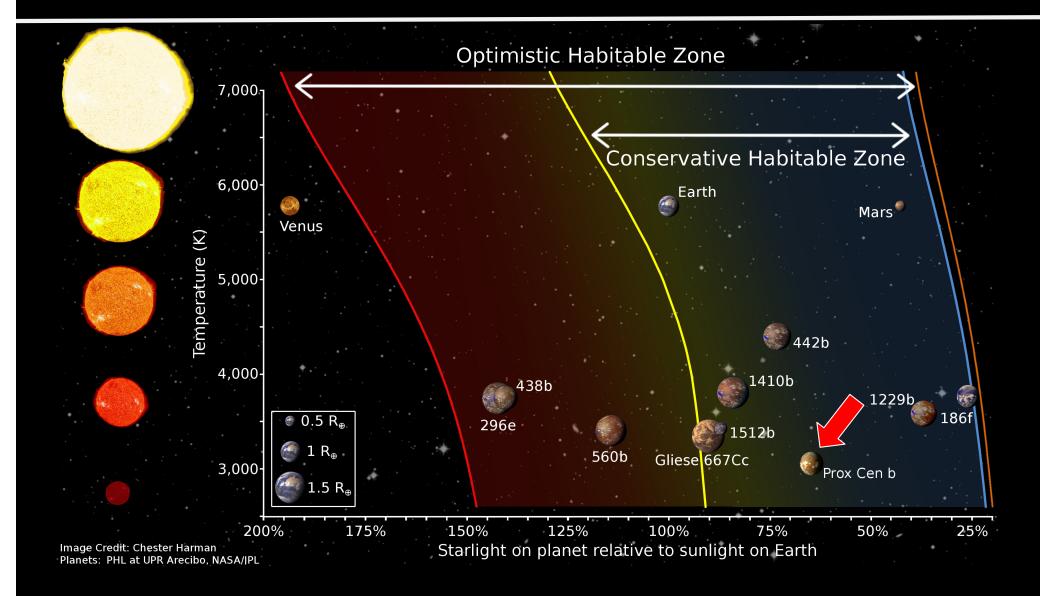
Minimum mass of 1.3 M<sub>Earth</sub>, orbital period of 11.2 days, a=0.0485 AU; e < 0.35 No conclusive evidence that Proxima Cen b transits its star (Kipping et al., 2016)





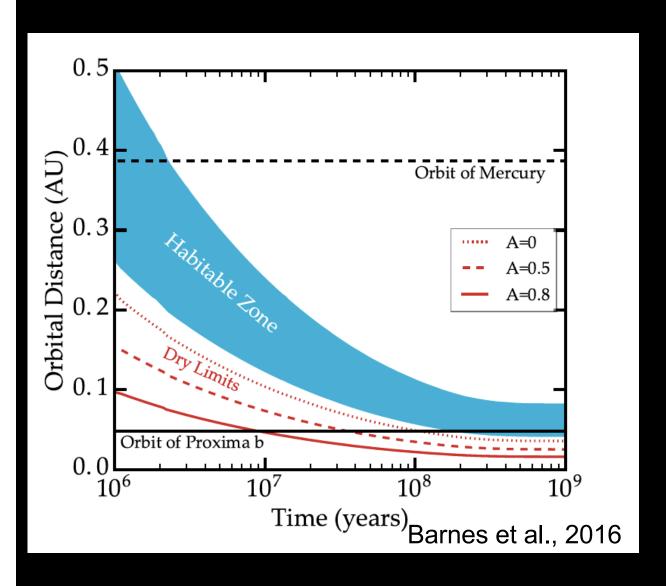
Anglada-Escudé et al., 2016

#### Proxima Cen b orbits within the Habitable Zone



Proxima Cen b receives 65% of the sunlight that the Earth receives

#### Proxima Cen b was not always in the Habitable Zone



The star's likely luminosity evolution suggests that if Proxima Cen b had formed at its current position, then the habitable zone would not have moved in towards the star to encompass the planet until abut 160 Myrs after formation.

# The Significance of Proxima Cen b

- A very nearby potentially habitable planet orbiting an M dwarf, the most common type of star in the Galaxy
  - Proximity means relatively large star-planet separation (for M dwarf)
  - Relatively bright (for a late-type M dwarf!)
  - Oh, and we could go there!!
- Contributes to two key areas of exoplanet research
  - Comparative Planetology: Understand the evolution of terrestrial planets.
  - Astrobiology: Understand the distribution of life in the Universe.

#### M Dwarf Habitable Planets May Be The Most Common

M dwarf stars comprise 75% of the stars in our Galaxy

260 of them are within 10pc

For these stars, the HZ terrestrial planet occurrence rate may be as high as 0.8 per star (Morton&Swift, 2014)

estimate of 0.24 HZ terrestrials per M dwarf predicts that the nearest HZ terrestrial is within 2.6pc (), and the nearest transiting one is within 10.6pc (Dressing and Charbonneau, 2015)

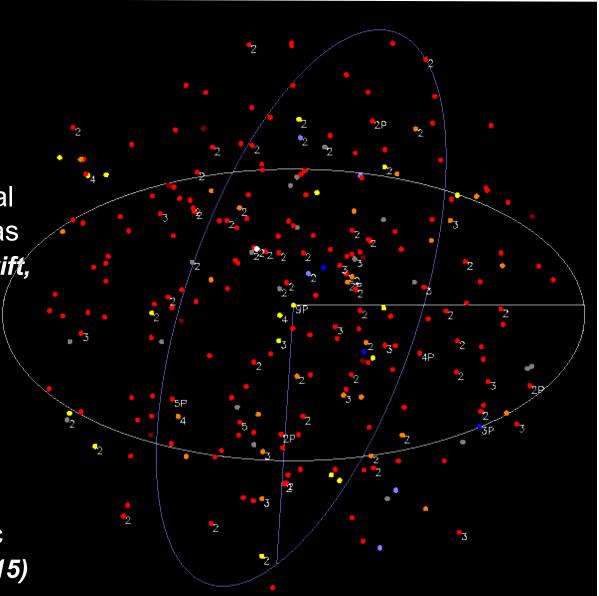
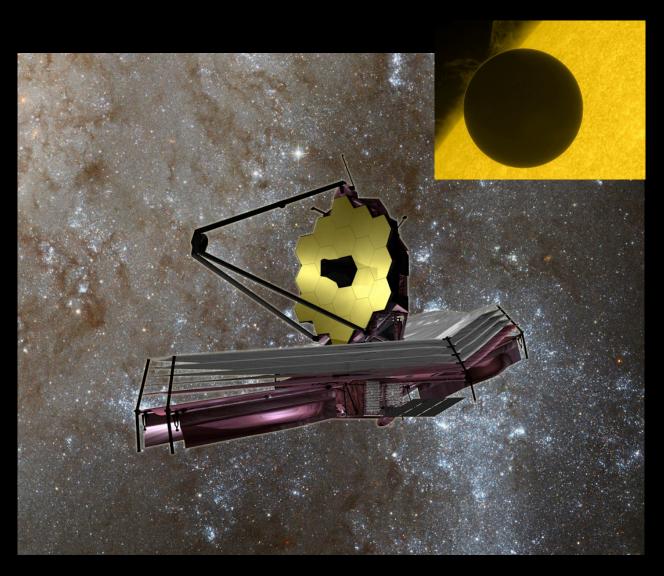


Image: Riedel, Henry, & RECONS group

#### M Dwarf Planets Will Be The First Searched For Life

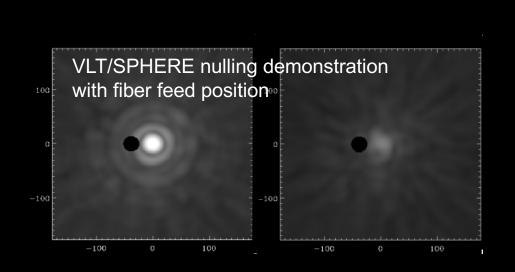


M dwarf planets are prime targets for transmission spectroscopy with JWST

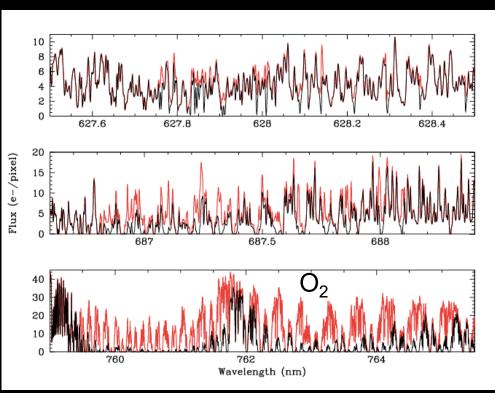
- Star/planet size ratio
- proximity of the HZ

Still challenging though! JWST may obtain spectra of 1-3 M dwarf HZ terrestrials (Cowan et al., 2015).

#### Possible Near-Term Ground-Based Observations

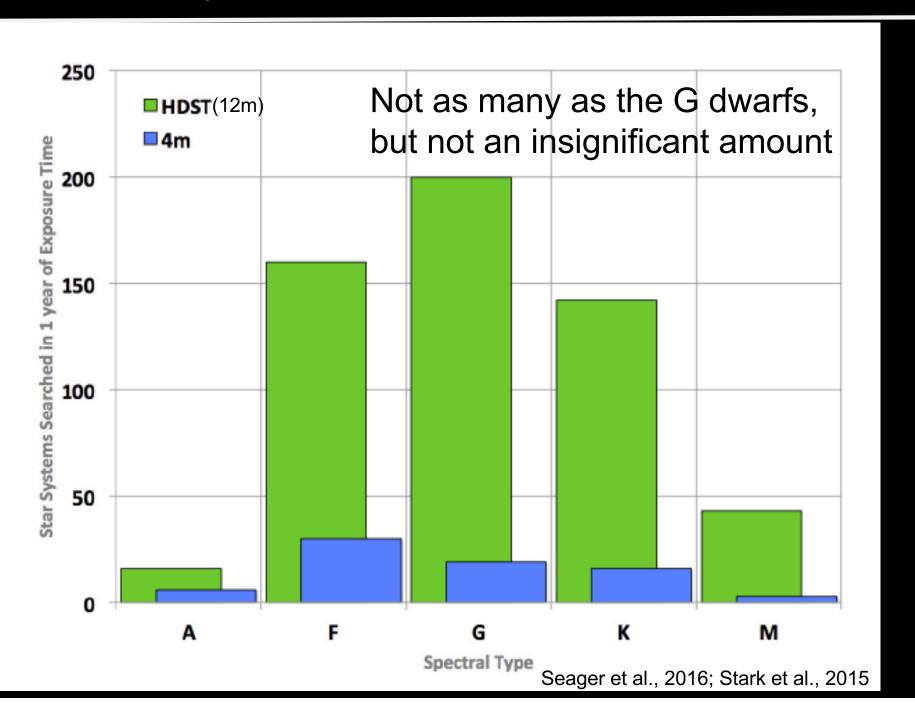


Lovis et al., 2016



- Ground-based telescopes could use direct imaging, ultra-high resolutions spectroscopy, or both to observe M dwarf planets (e.g. Snellen et al., 2013; 2014; Quanz et al., 2014)
- Proposed upgrades to the high-contrast imager SPHERE and the new ESPRESSO highresolution spectrograph on the ESO VLT may provide "early" results.
- Planet detection and mass determination, visible O<sub>2</sub>. CH<sub>4</sub> bands.
- 3-σ O<sub>2</sub> detection in 60 nights of telescope time, spread over 3 years (Lovis et al., 2016).
- Ground-based and space-based observations could be combined (Brogi et al., 2016).

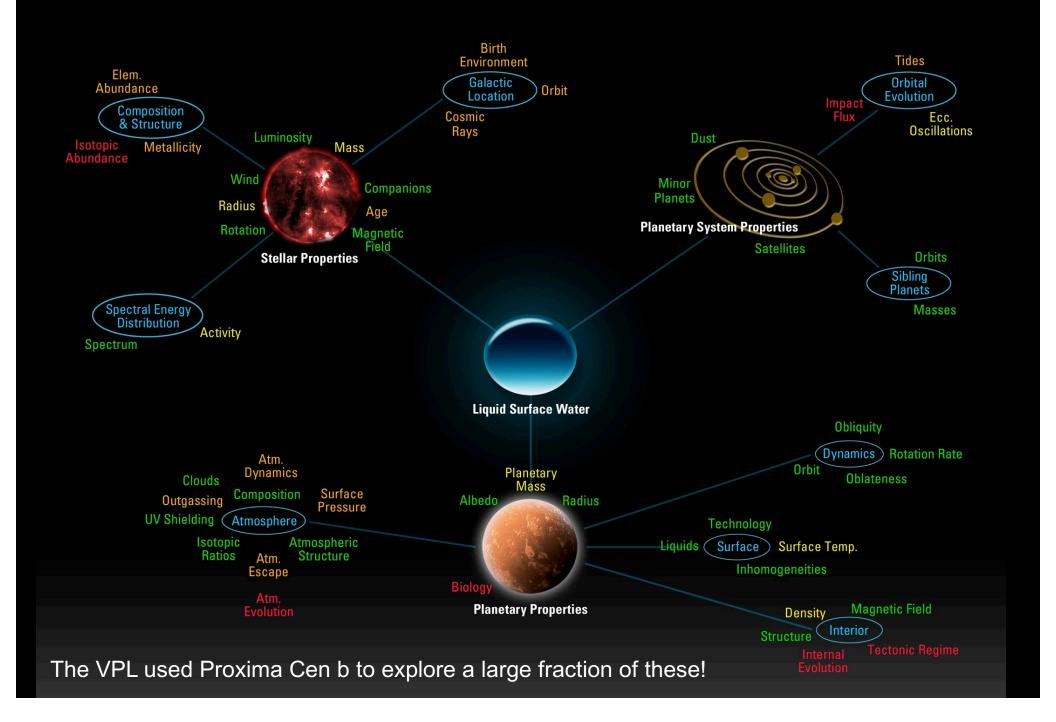
# LUVOIR may also observe M dwarf planets



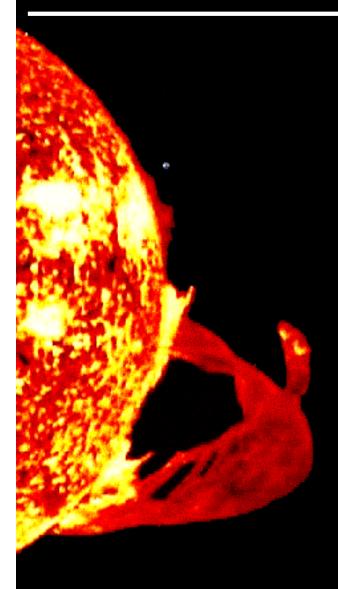
# The Surface Liquid Water Habitable Zone



#### Star/planet/planetary system interactions and evolution can all affect habitability

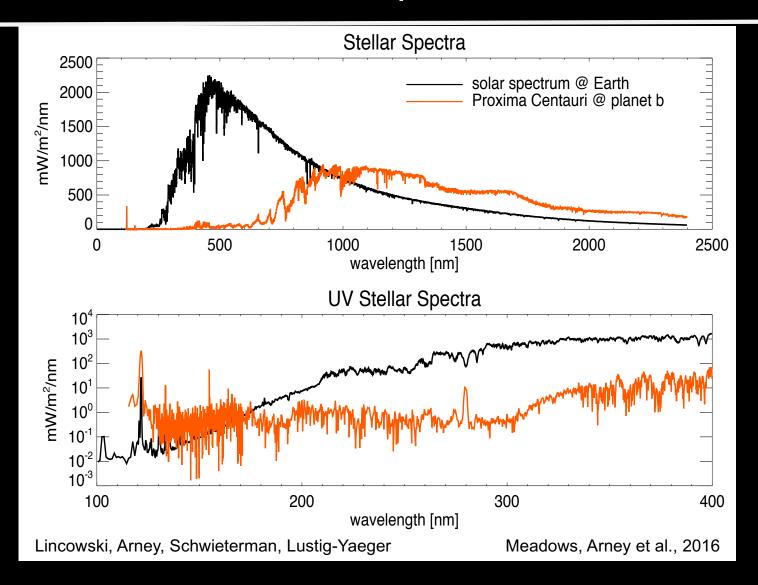


# M Dwarf Planets: Radiative Effects



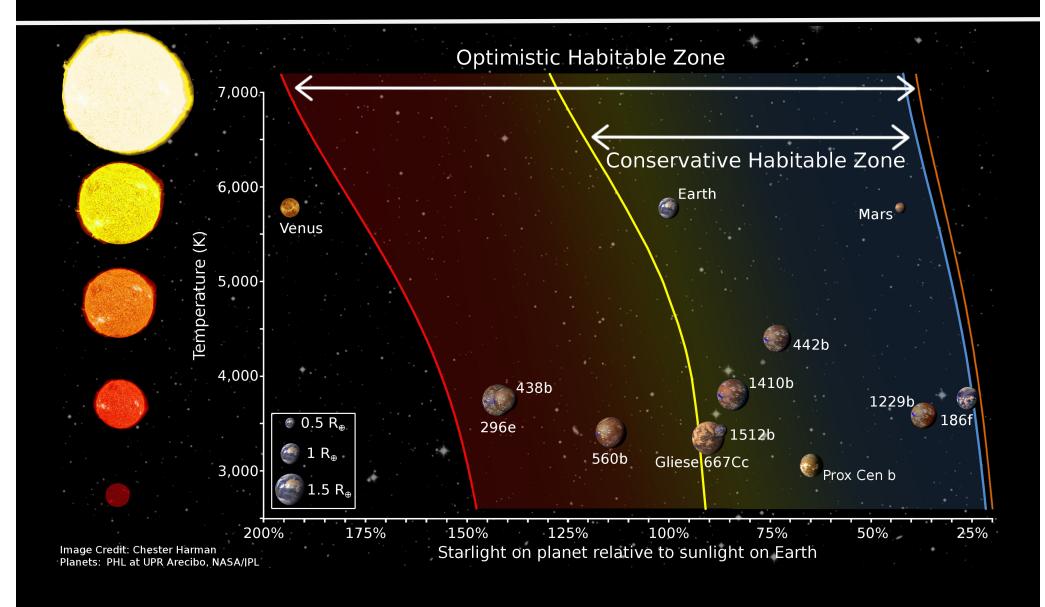
- Spectrum of starlight
  - Affects climate via changes to atmospheric/surface absorption
- Stellar Activity
  - Photochemistry
  - Surface UV fluxes
- Stellar Evolution
  - Extended Pre-Main Sequence

#### M dwarf vs G dwarf stellar spectra



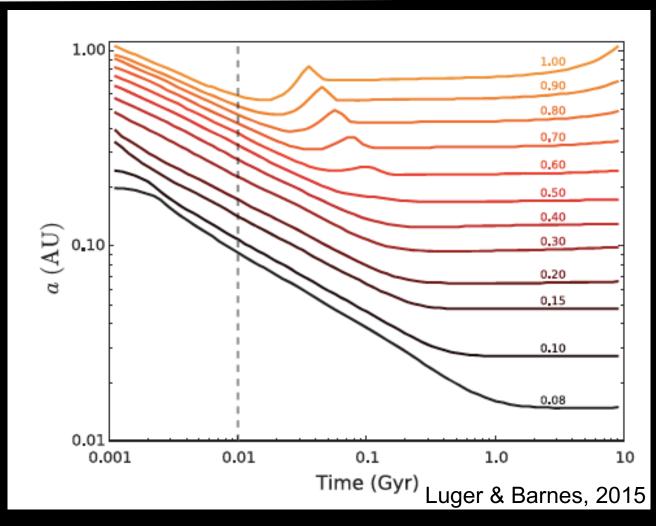
- Lower stellar T produces more radiation at longer wavelengths affects climate
- Higher activity produces more UV radiation affects photochemistry
- Proxima Cen spectrum available for download at https://depts.washington.edu/naivpl/

# Stellar Spectrum Affects Position in the HZ



#### Pre-Main Sequence Super Luminous Phase

Line shows the evolution of the inner edge of the HZ as a function of time

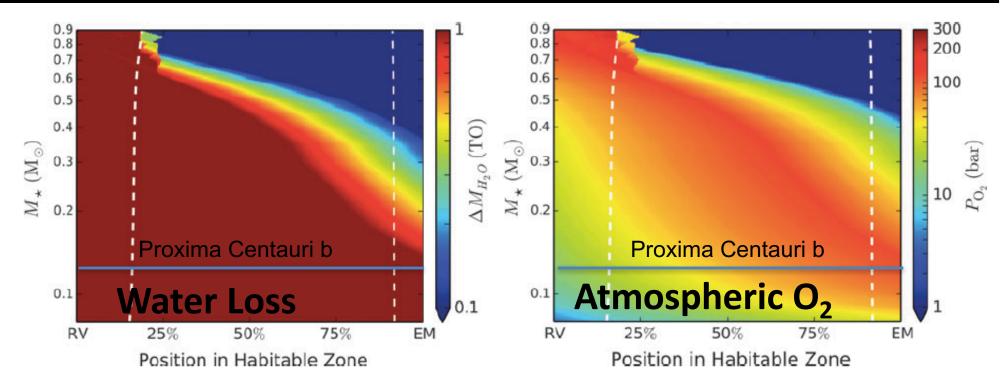


Numbers are stellar mass in solar masses

- Late K and and all M dwarfs undergo a significant super-luminous phase as they contract down on to the main sequence.
- Any planet that forms in what will become the main sequence habitable zone of these stars can be subjected to very high levels of radiation for up to a Gyr.

#### Stellar Evolution, Water Loss and O<sub>2</sub> buildup.





During the Pre-MS of M dwarfs terrestrial planets can lose several Earth oceans of water via hydrodynamic escape (Luger & Barnes, 2015)



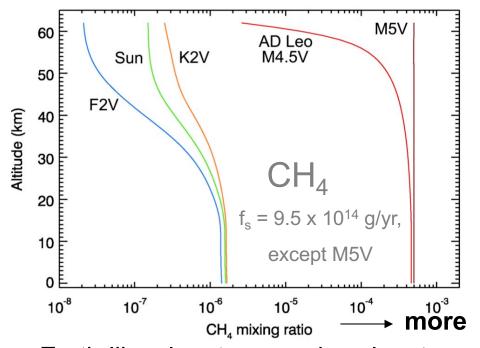
Hot Earths such as GJ1132b (Berta-Thompson et al.,2015) may undergo a similar process (Schaeffer et al., 2016). They will be excellent initial tests of the abiotic production of  $O_2$ , and the planet's ability to sequester it.

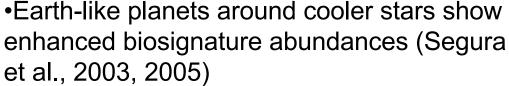
# M Dwarfs May Enhance Biosignature Gases

al., 2003,

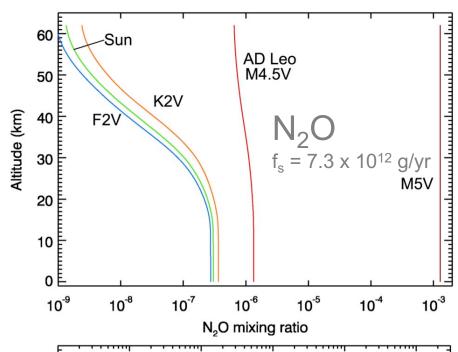
Segura et

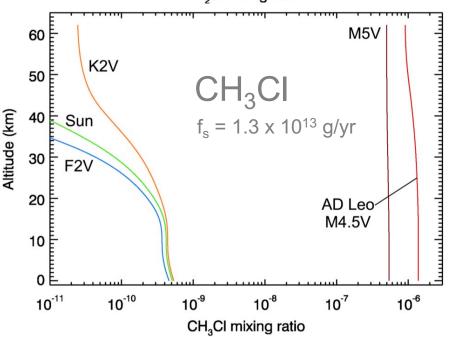




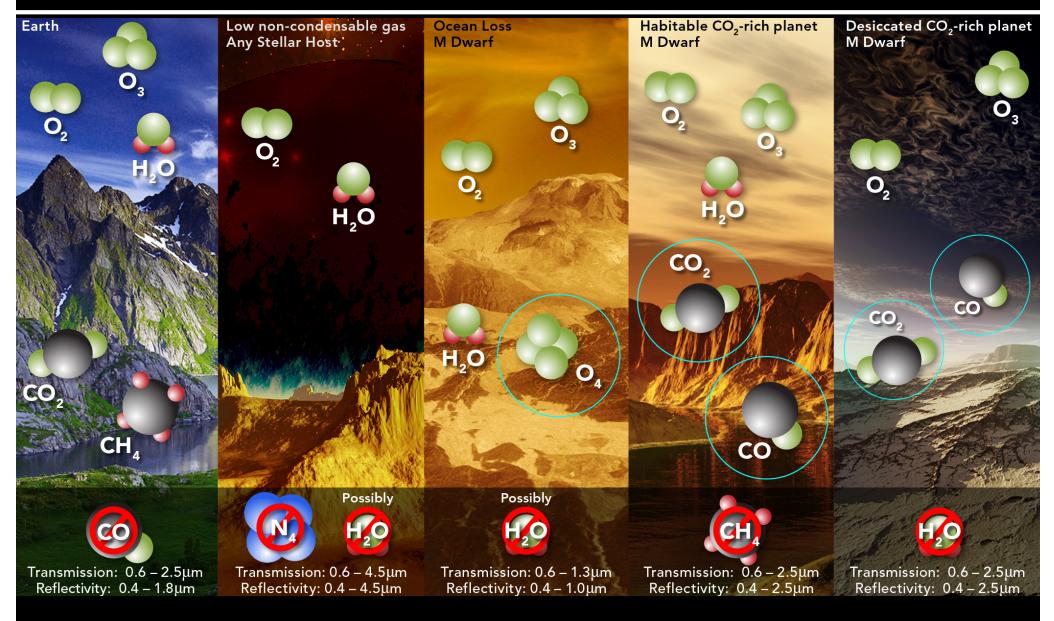


- M stars less effective at O<sub>3</sub> photolysis
- •Enhancements in biosignatures, (including are *also* seen towards the outer edge of its habitable zone (Grenfell et al., 2006, 2007)
- •False positives for O<sub>2</sub> can be generated depending on stellar spectrum.

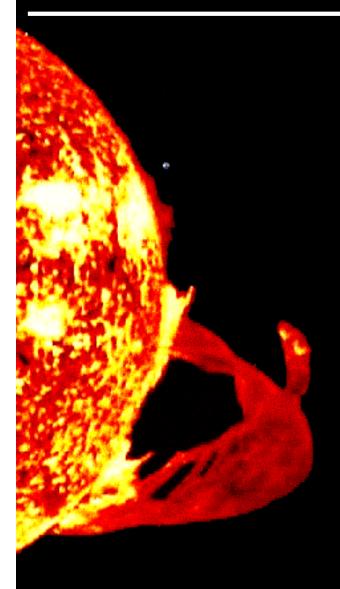




# Several mechanisms may produce biosignature false positives for planets orbiting M dwarfs



# M Dwarf Planets: Gravitational Effects



- Orbital Evolution
  - Tidal Locking
  - Circularization
  - Climate effects
- Tidal Effects
  - Tidal Venuses
  - Loss of magnetic field

# Proxima Centauri b: Things We Considered...

We used coupled models of planetary and Galactic orbital, tidal and rotational evolution, along with models of thermal interior evolution, and radiogenic models. We also used coupled stellar evolution and atmospheric escape models

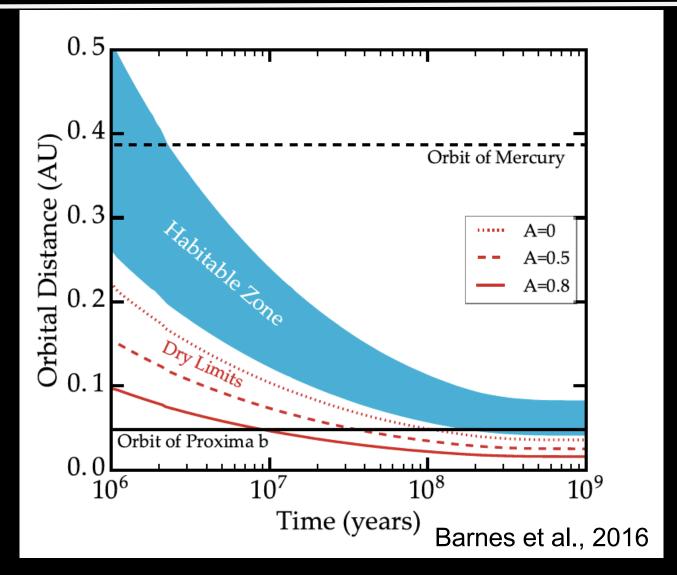
- Galactic Migration
- Star's early brightness
- Flares/Atm. Loss
- Tidal Heating
- Composition
- Magnetic Shielding

- Tidal Locking
- Tidal Circularization
- Tilt Erosion
- Orbital Instabilities
- Orbital Oscillations

We examined all of these, including interactions between multiple processes, in Barnes et al., 2016.

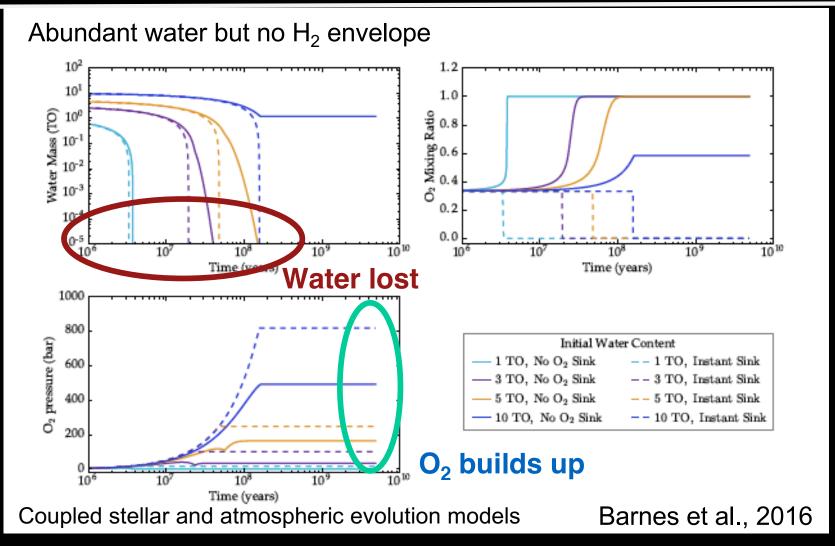
Credit: Kornmesser, ESO

#### Proxima Cen b and Stellar Luminosity Evolution



The star's likely luminosity evolution suggests that if Proxima Cen b had formed at its current position, then the habitable zone would not have moved in towards the star to encompass the planet until abut 160 Myrs after formation.

#### Water Loss from Proxima Cen b



Only one of the modeled scenarios (initial 10 oceans of  $H_2O$ , no  $O_2$  sink) produced a planet that was NOT desiccated in the first 160 Myrs. If Proxima Cen b formed at it current location, it is likely desiccated (c.f Ribas et al., 2016 who used a significantly lower XUV flux and find that Proxima Cen b lost less than an ocean of water).

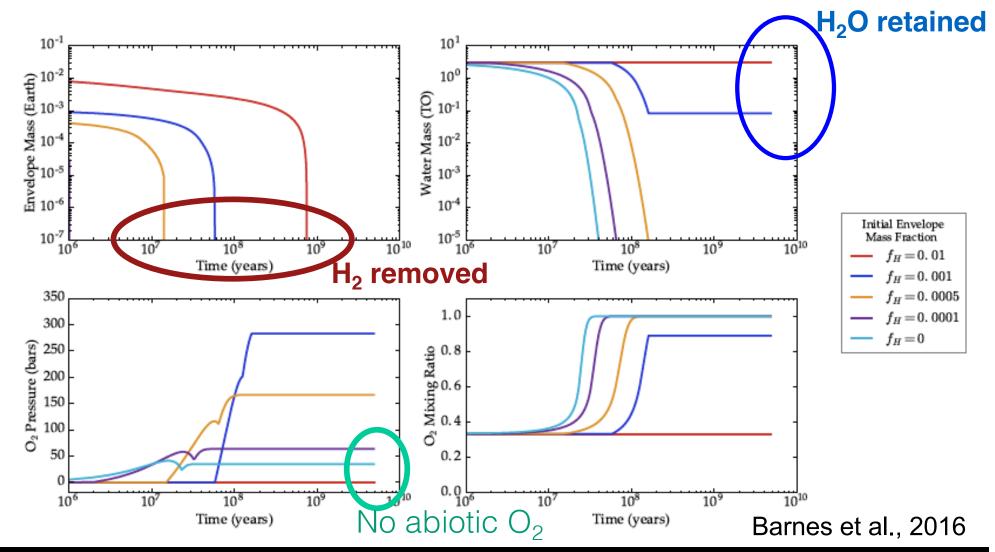
# H<sub>2</sub> Envelope as Water Shield

- If Proxima Centauri b forms with a significant primordial H<sub>2</sub> envelope
  - whether in situ, or
  - as the result of inward migration of a more H<sub>2</sub>-rich body
- the H<sub>2</sub> envelope could act as a sacrificial shield to protect the planet from total water loss, producing a Habitable Evaporated Core.



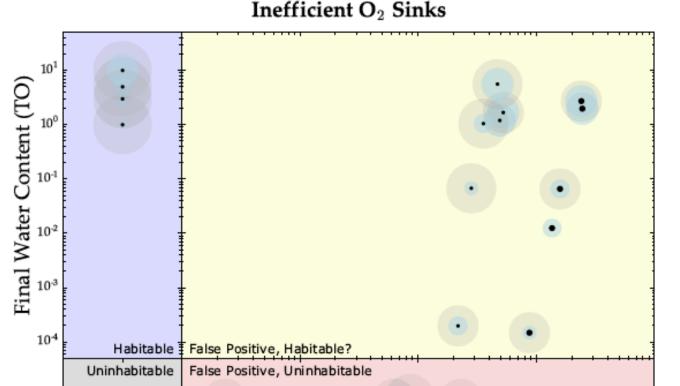
This may be one of the few ways that Proxima Cen b could be habitable.

# Loss of a H<sub>2</sub> envelope



- Too little H<sub>2</sub>, and all water is lost.
- Too much (>1% or a larger mass planet), and the H<sub>2</sub> envelope is not lost, likely rendering the planet uninhabitable (c.f. Owen & Mohanty, 2016).

#### Several evolved states are possible

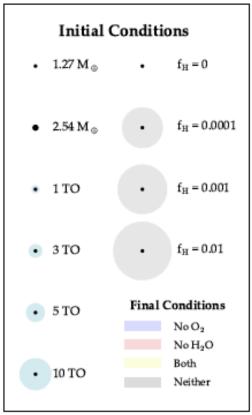


 $10^{1}$ 

No H<sub>2</sub>O

No O2

10°



104

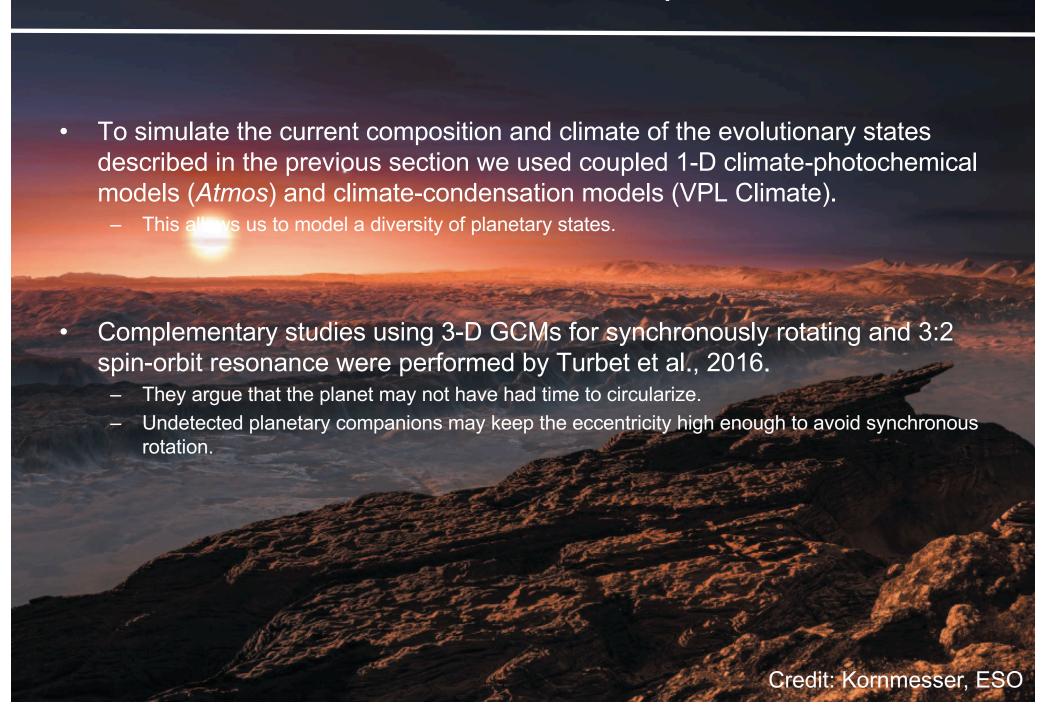
Final Atmospheric Oxygen Pressure (bars)

Barnes et al., 2016

Depending on H<sub>2</sub> envelope fraction, planet mass, and initial water inventory, planets could be habitable/uninhabitable, and have high abiotic O<sub>2</sub>, or not.

An exact mass for Proxima Cen b could help inform the atmospheric escape history.

#### Proxima Centauri b: Current Atmospheric States



# 1D and 3D Climate Model Comparisons

Atmosphere	VPL	Model	Comparison	Reference
1 bar CO <sub>2</sub>	271 K	VPL Climate Meadows et al., 2016	266K (3:2) 247K (synch)	Turbet et al., 2016
4 bar CO <sub>2</sub>	306K	VPL Climate	305K (3:2) 289K (synch)	Turbet et al., 2016
6 bar CO <sub>2</sub>	320K	VPL Climate	324K (3:2) 309K (synch)	Turbet et al., 2016
1 bar N <sub>2</sub> , 376ppm CO <sub>2</sub>	247K	VPL Climate	238K (3:2) 220K (synch)	Turbet et al., 2016
2% CO <sub>2</sub> , 0.02% CH <sub>4</sub> , water	282K	Atmos Arney et al., 2016.	287K	Charnay et al., 2013
6% CO <sub>2</sub> , water	286K	Atmos	288K	Wolf and Toon., 2013

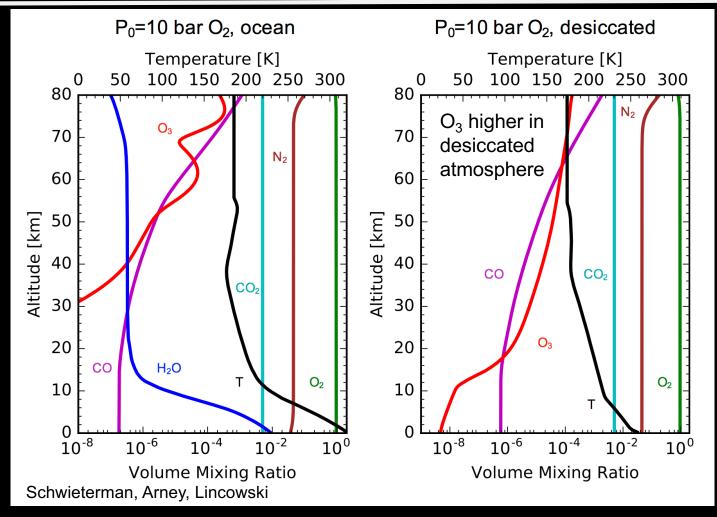
For the 3:2 spin-orbit resonance cases our 1-D models agree with the 3-D GCM results to within ~10K.

#### Summary of Evolutionary End States Considered\*

- O<sub>2</sub>-dominated (formed in situ, ocean loss)
  - 10 bar O<sub>2</sub>-dominated with 0.5% CO<sub>2</sub>, desiccated
  - 10 bar O<sub>2</sub>-dominated with 0.5% CO<sub>2</sub>, water remaining
- CO<sub>2</sub>/O<sub>2</sub>-dominated (post ocean loss, CO<sub>2</sub> outgassed)
  - 90, 10 bar atmospheres 45% CO<sub>2</sub>, 45% O<sub>2</sub>, desiccated.
  - 1 bar desiccated atmosphere with CO<sub>2</sub>/O<sub>2</sub>/CO
- CO<sub>2</sub>-dominated atmospheres (post ocean loss, O<sub>2</sub> lost/sequestered)
  - Venus-like 90, and 10 bar CO<sub>2</sub> atmosphere, 20 ppm water, self-consistent H<sub>2</sub>SO<sub>4</sub> cloud formation.
- N<sub>2</sub>/O<sub>2</sub>-dominated Earth-like (HEC, or planet-planet orbital evolution)
  - photochemically self-consistent with stellar UV
- N<sub>2</sub>/CO<sub>2</sub>/CH<sub>4</sub> anoxic early Earth-like (HEC, or orbital evolution)
  - Self consistent formation of hydrocarbon haze.

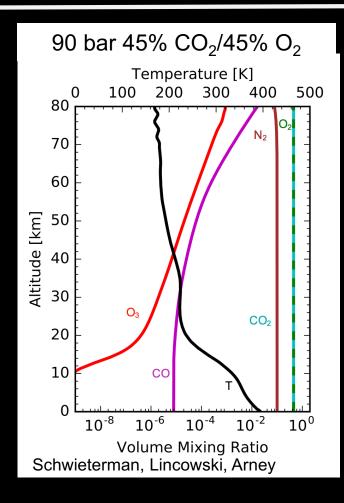
<sup>\*</sup>representative, not complete!

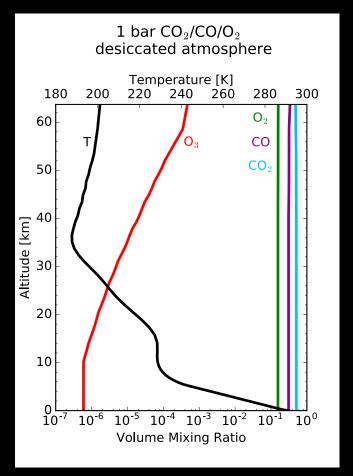
# O<sub>2</sub>-dominated, post ocean loss



- Self-consistent "ocean-remaining" and "desiccated" cases
- Lack of water (OH radicals) affected CO, O<sub>3</sub> profiles and surface temperature
- Tsurf = 320K and water present for ocean remaining (habitable?)
- Tsurf = 257K and desiccated (cold and dry not habitable)

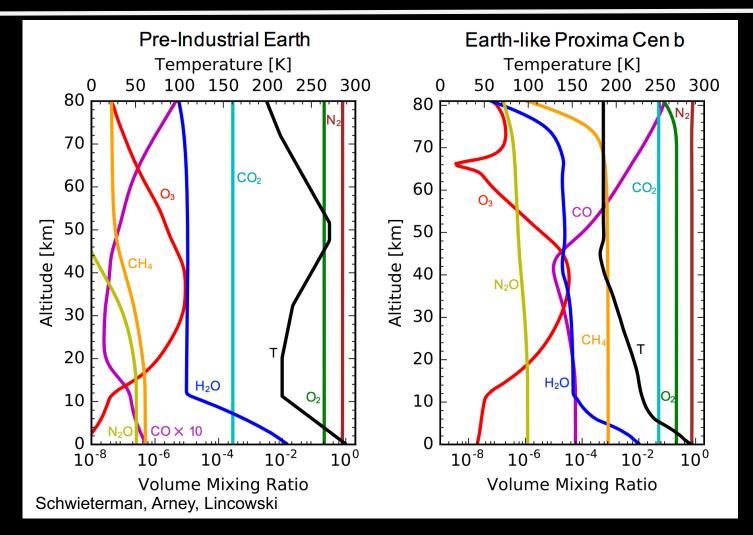
# CO<sub>2</sub>/O<sub>2</sub> and CO<sub>2</sub>– dominated, post ocean loss





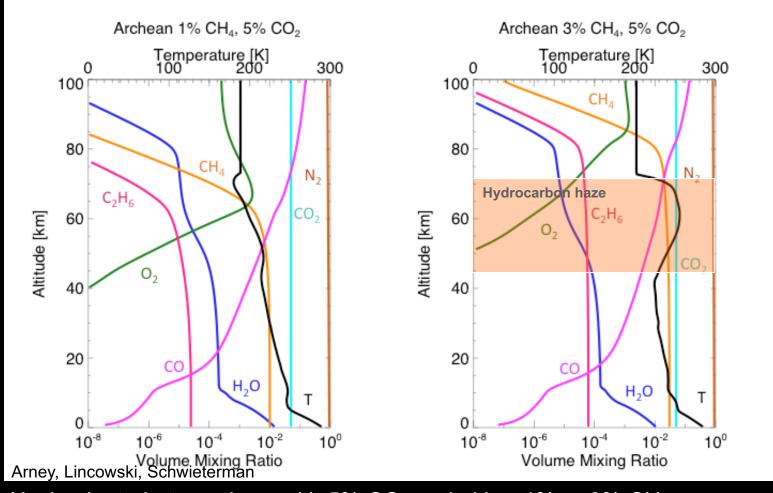
- 10 and 90 bar 45% CO<sub>2</sub>, 45% O<sub>2</sub> and ~ 10% N<sub>2</sub> atmosphere
- 1-bar desiccated CO<sub>2</sub>/O<sub>2</sub>/CO atmosphere (Gao et al., 2016)
- 90 bar Tsurf = 383K, 10 bar Tsurf=342K (dessicated not habitable)
- 1 bar Tsurf = 297K (nice!) but desiccated (not nice)
- 10 and 90 bar Venus-like (96% CO<sub>2</sub>, 20ppm H<sub>2</sub>O) Tsurf = 428-568K (not habitable!)

#### $N_2/O_2$ -dominated, HEC or orbital evolution



- 1-bar N<sub>2</sub>/O<sub>2</sub> atmosphere with trace gases determined self-consistently with the star's UV flux Earth's pre-industrial surface fluxes.
- CH<sub>4</sub>,N<sub>2</sub>O are photochemically enhanced around Proxima Cen b
- With 5% CO<sub>2</sub>, Tsurf = 283K, water present (habitable)

#### N<sub>2</sub>/CO<sub>2</sub> dominated (early Earth), HEC or orbital evolution



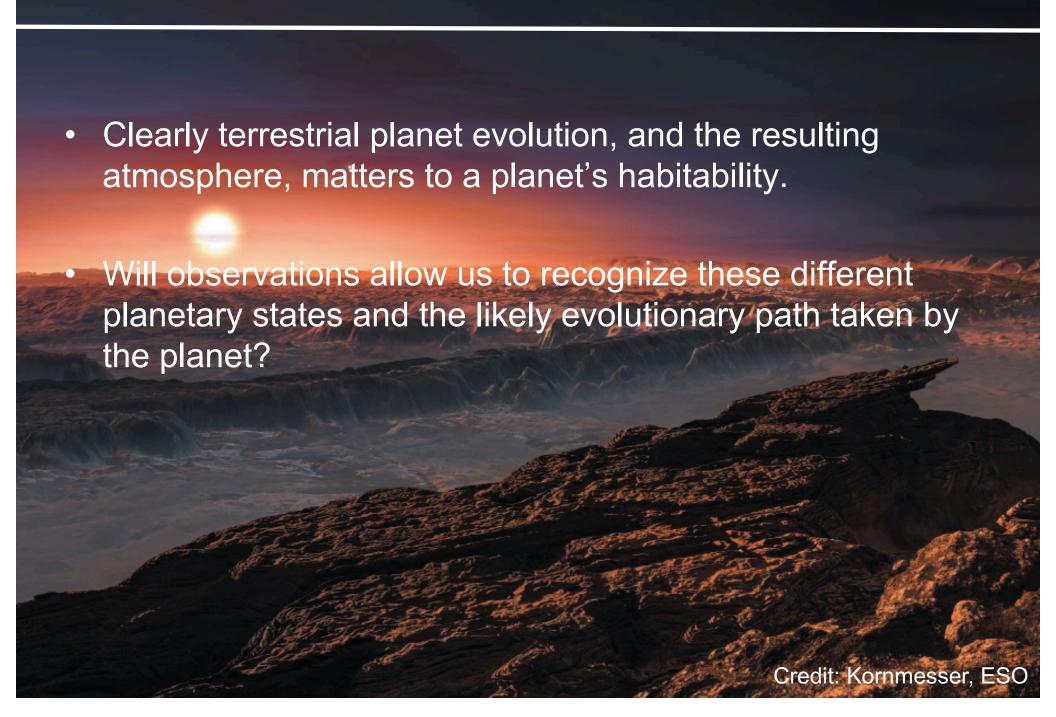
- 1-bar N<sub>2</sub>-dominated atmosphere with 5% CO<sub>2</sub> and either 1% or 3% CH<sub>4</sub>.
- The larger CH<sub>4</sub> abundance produces a hydrocarbon haze.
- Haze-free 1% CH<sub>4</sub>, Tsurf = 289K (habitable)
- Hazy 3% CH4, Tsurf= 285K (habitable) Haze has little effect for M dwarfs
- Haze as a possible biosignature (Arney et al., 2016b; Guzman-Marmolejo et al., 2013)

#### Proxima Cen b: A World of Climate Possibilities

Case	Tsurf	H <sub>2</sub> O?	Notes	Hab?			
N <sub>2</sub> /O <sub>2</sub> -rich, Modern Earth- like, 1 bar, H <sub>2</sub> O cloud.	283K	<b>~</b>	5% CO <sub>2</sub> , self-consistent w/star, HEC or orbital evolution	•			
N <sub>2</sub> /CO <sub>2</sub> /CH <sub>4</sub> Archean Earth- like, haze,1 bar	289K 285K	<b>✓</b>	$5\% \text{ CO}_2$ , $1\% \text{ CH}_4$ - $3\% \text{ CH}_4$ for haze, HEC or orbital evolution	•			
O <sub>2</sub> -rich, H <sub>2</sub> O-remains, 10 bar	320K	•	0.5% CO <sub>2</sub> , incomplete ocean loss. O <sub>2</sub> may hamper life's origin	<b>√</b> ?			
CO <sub>2</sub> /CO/O <sub>2</sub> , no-H <sub>2</sub> O 1 bar	298K	X	Stable mix if H < 1ppm. CO <sub>2</sub> recombination if H <sub>2</sub> O/catalysts.	X			
O <sub>2</sub> -rich, H <sub>2</sub> O lost 10 bar	257K	X	0.5% CO <sub>2</sub> , ocean loss, some O <sub>2</sub> sequestration	X			
O <sub>2</sub> /CO <sub>2</sub> -rich, H <sub>2</sub> O lost 10 and 90 bar	342K 383K	X	Ocean loss, outgassing of $CO_2$ , some $O_2$ sequestration	X			
CO <sub>2</sub> -rich,20 ppm H <sub>2</sub> O 10 and 90 bar	428K 567K	X	Ocean loss, outgassed CO <sub>2</sub> , all O <sub>2</sub> sequestered, Venus-like	X			

Although it sits squarely in the habitable zone, depending on the evolutionary path taken, Proxima Cen b may or may not be habitable.

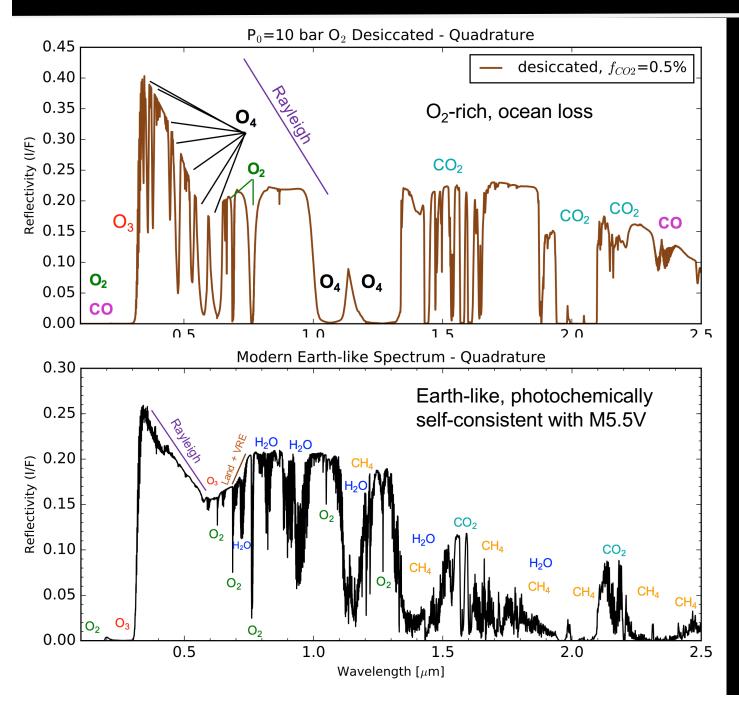
# What do we take away from this?







#### O<sub>4</sub> Discriminates Ocean Runaway vs Inhabited Earth-Like Planets

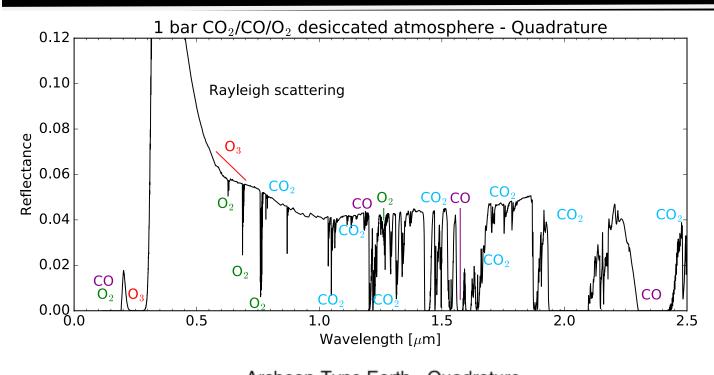


#### $O_2$ -rich, ocean lost Strong $O_2$ and $O_3$ $O_4$ CIA (0.35 - 1.3 $\mu$ m) $H_2$ O weak or missing $CO_2$ present

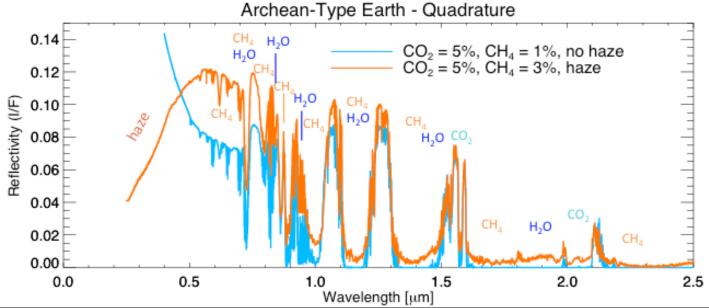
# Earth-like Biosphere Strong O<sub>2</sub> and O<sub>3</sub> No O<sub>4</sub> CIA Strong H<sub>2</sub>O Strong CH<sub>4</sub> CO<sub>2</sub> present

Schwieterman et al., 2016: Meadows, Arney et al., 2016

#### Desiccated O<sub>2</sub>/CO<sub>2</sub> Atmospheres and Archean-type

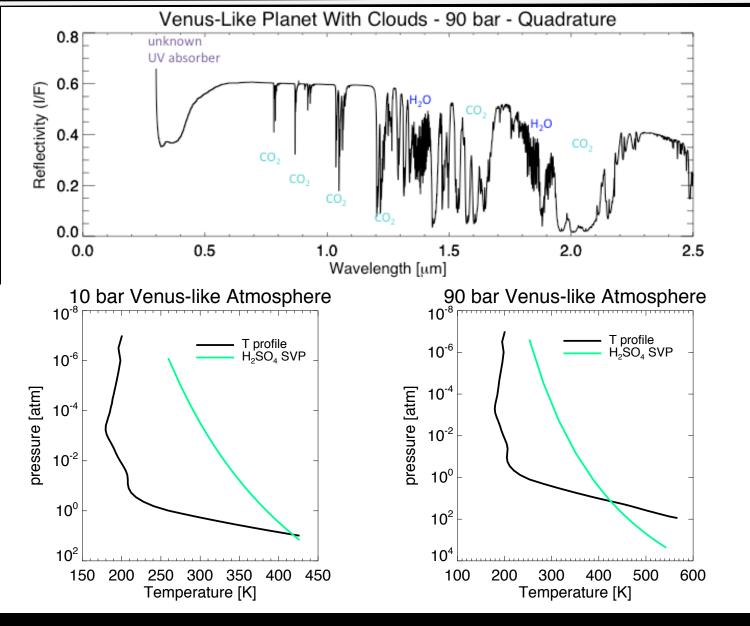


Lack of H<sub>2</sub>O indicates that the O<sub>2</sub> is more likely to have an abiotic source (Gao et al., 2016; Schwieterman et al., 2016)



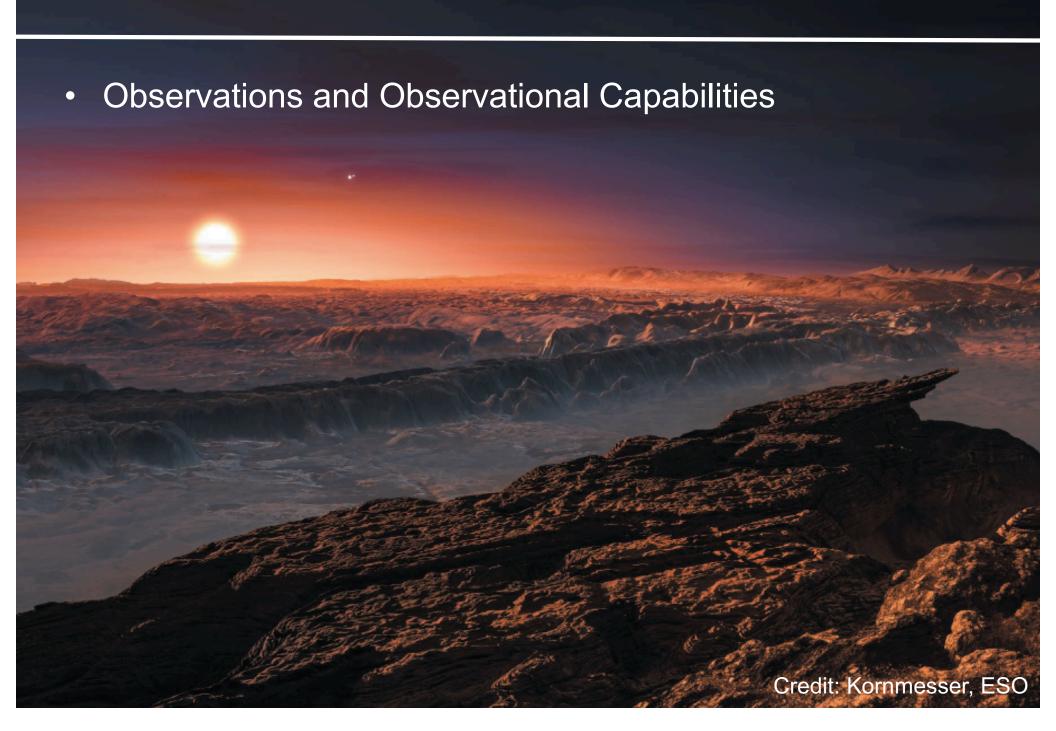
Early Earth shows strong CO<sub>2</sub>, CH4 and haze absorption.
(Arney et al., 2016)

# Venus-like planet shows strong CO<sub>2</sub>, and possibly H<sub>2</sub>O

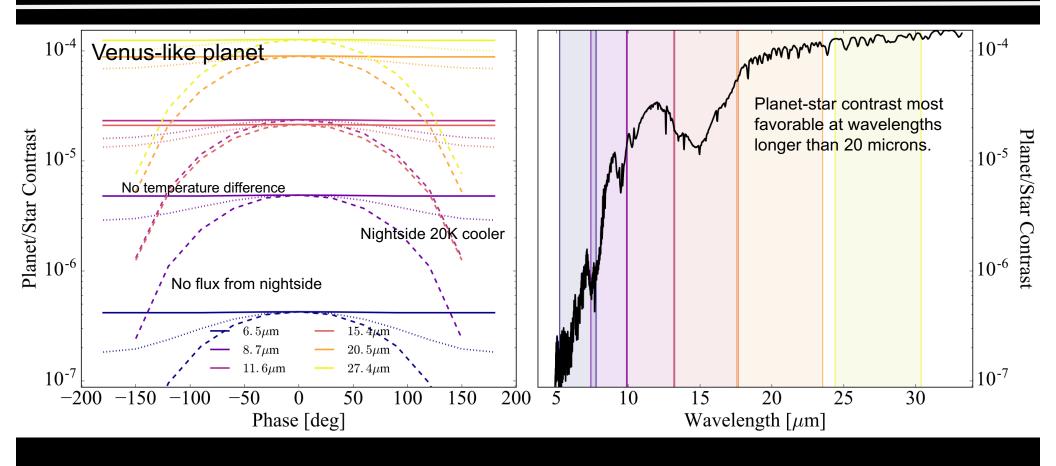


Model validated by predicting altitude of Venus cloud base at 48km

# Proxima Centauri b

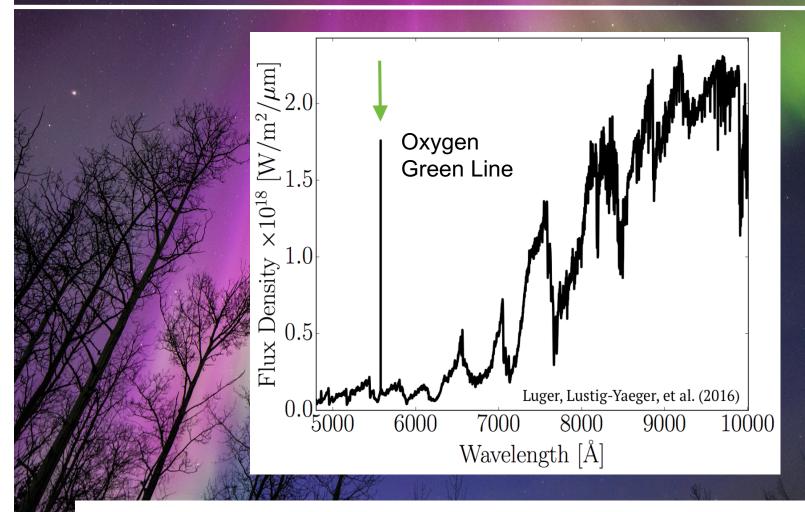


# Proxima Cen b - Detecting Day/Night with JWST



- At  $\lambda > 7\mu m$ , 20 hour exposures with JWST at two phase angles could detect the planet and search for day-night temperature differences, revealing atmospheric heat transport.
- (Meadows et al., 2016; see also Turbet et al., 2016, Kreidberg & Loeb, 2016)
- Detection of ocean glint is highly unlikely with JWST, but may be within the reach of larger aperture telescopes.
  - 2λ/D needed for LUVOIR to do glint, 3λ/D for ground-based telescopes (Meadows et al.,2017).

# Exo-aurorae may reveal a planetary atmosphere



Detect in 40 hours with ground-based 30 meter telescope if it achieves a coronagraph design contrast of 10<sup>-7</sup> and negligible instrumental noise

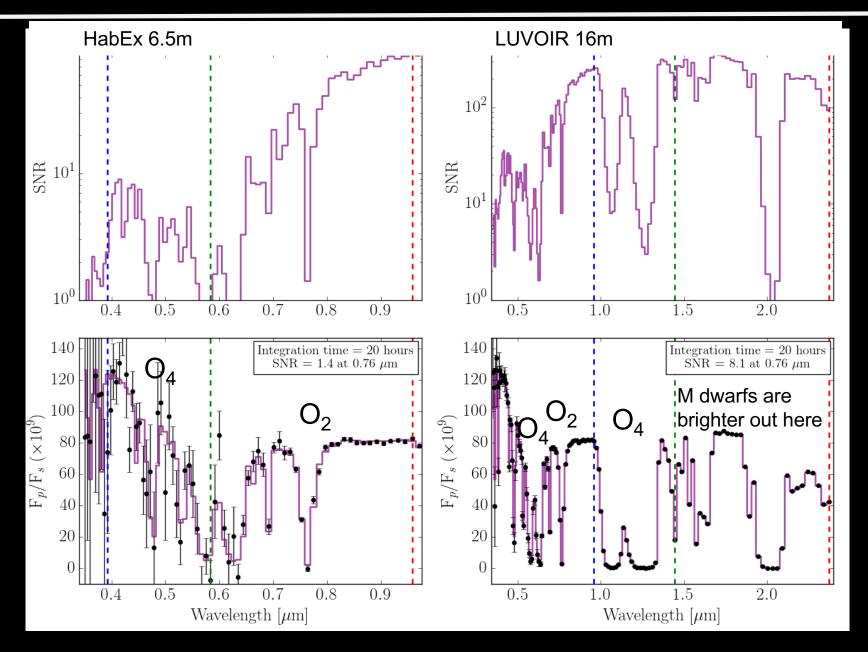
#### Direct Imaging Observations of Proxima Cen b

Table 3. Inner Working Angles for future telescopes

Case	$\lambda \ (\mathbf{IWA} = 3\lambda/D)$	$\lambda \text{ (IWA} = 2\lambda/D)$	$\lambda$ (IWA = 1.22 $\lambda/D$ )
Ground (40 m)	2.41 μm	3.61 μm	5.92 μm
Ground (30 m)	1.80 µm	2.71 μm	4.44 μm
LUVOIR (16 m)	0.96 μm	1.44 μm	2.37 μm
LUVOIR (10 m)	0.60 μm	0.90 μm	1.48 µm
LUVOIR (8 m)	0.48 μm	0.72 μm	1.18 µm
HabEx (6.5 m)	0.39 μm	0.59 μm	0.96 μm
HabEx (4 m)	0.24 μm	0.36 μm	0.59 μm
WFIRST (2.4 m)	0.14 μm	0.21 μm	0.36 μm

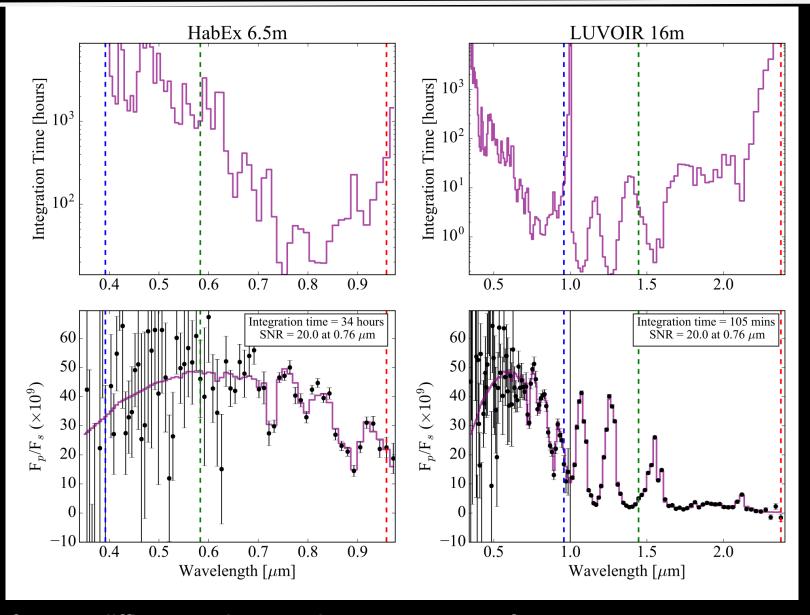
- $O_4$  at 0.35-0.65, 1.-6-1.27,  $O_3$  at 0.5-0.7,  $O_2$  at 0.76um,  $H_2O$  at 0.94um,  $CO_2$  at 1.6um CO at 2.3um
- Need large aperture, starshade, or both.

# Space-based Direct Imaging Spectroscopy



The LUVOIR (16m) simulation shows a S/N~8 at the oxygen A-band in a 20 hr exposure

# Direct Imaging of Early-Earth-Type



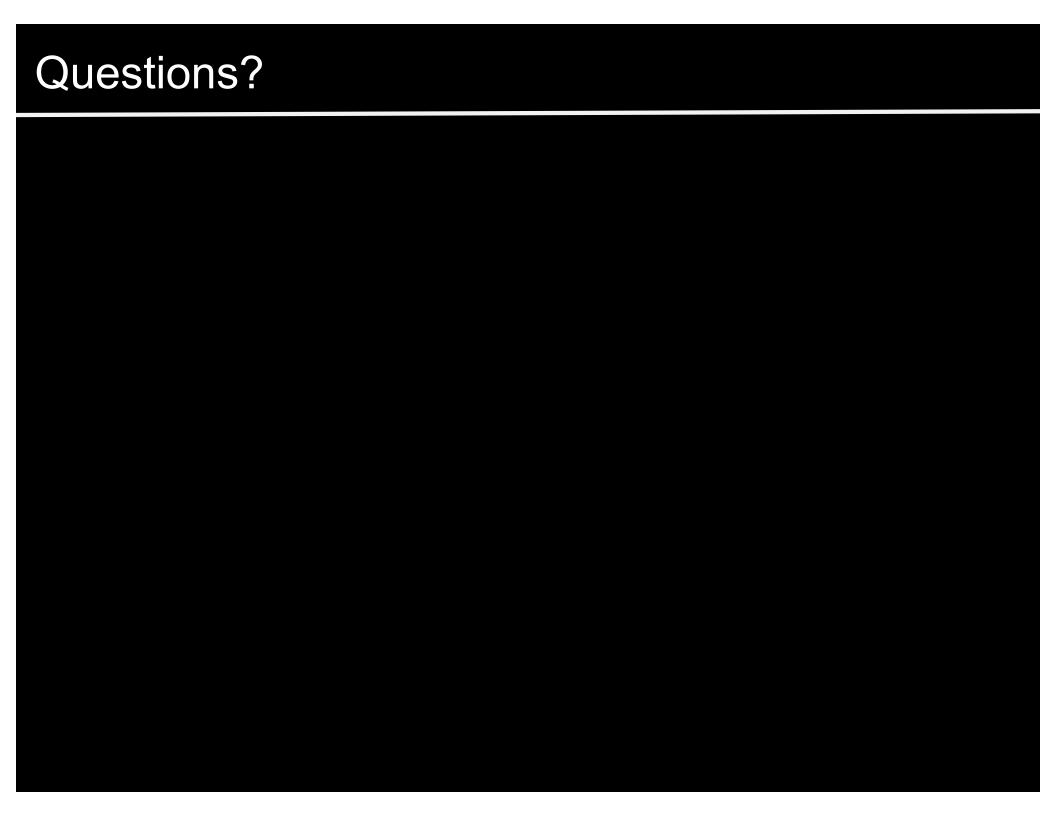
- Haze feature difficult to observe due to low stellar flux
- Low stellar flux and small HZ separation conspire against small telescopes!

#### Proxima Centauri b

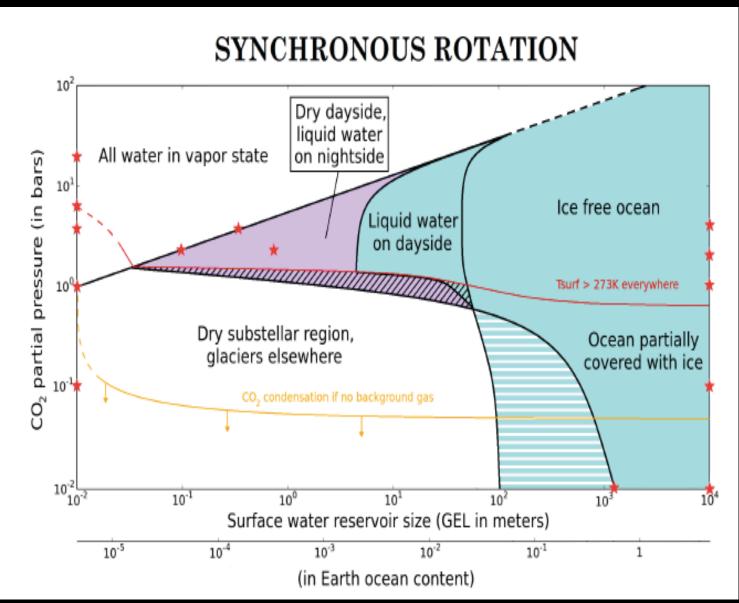


- → If the planet formed at its current location, it was likely desiccated by the Pre-MS
- → Migration or 1% H₂ envelope may have preserved H₂O
- ★ Atmospheres could be O₂-rich initially, becoming more CO₂-rich as O₂ is sequestered and CO₂ is outgassed. Water may or may not have been retained.
- → Models suggest that several of these environments could be habitable (Earth-like, early Earth-like and O₂-rich with water) but many are not, due to early desiccation or higher levels of CO₂
- ★ Thermal phase curves longward of 7µm could be accessible with JWST, and may reveal the effects of an atmosphere. Ocean glint is not possible for this target with JWST.
- → Observational discriminants including O<sub>4</sub>, O<sub>3</sub>, O<sub>2</sub>, CO<sub>2</sub>, CO, CH<sub>4</sub>, H<sub>2</sub>O and organic haze may be accessible to future direct imaging telescopes in space and on the ground.

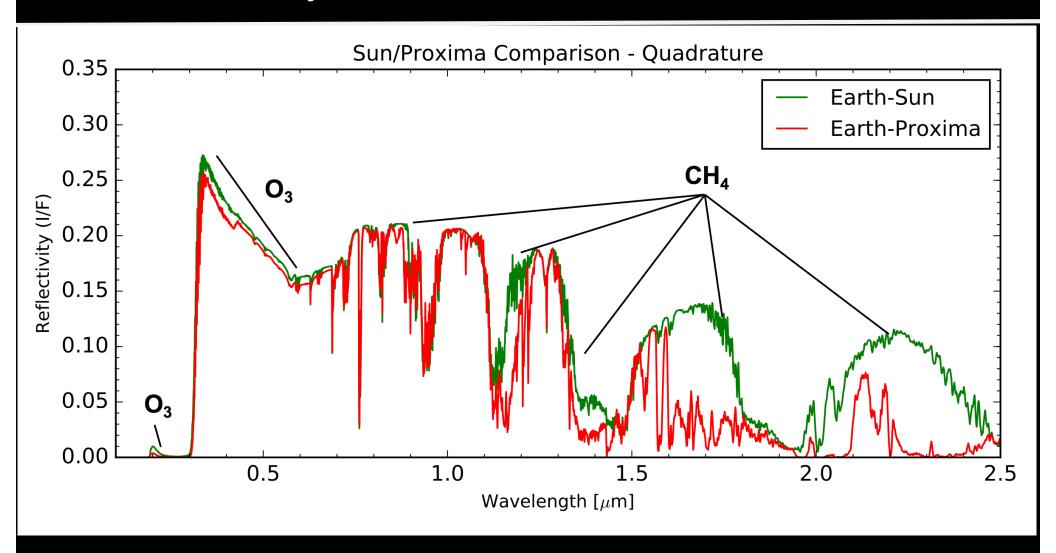
Barnes et al., 2016; Meadows et al., 2016.



# Backup Slides

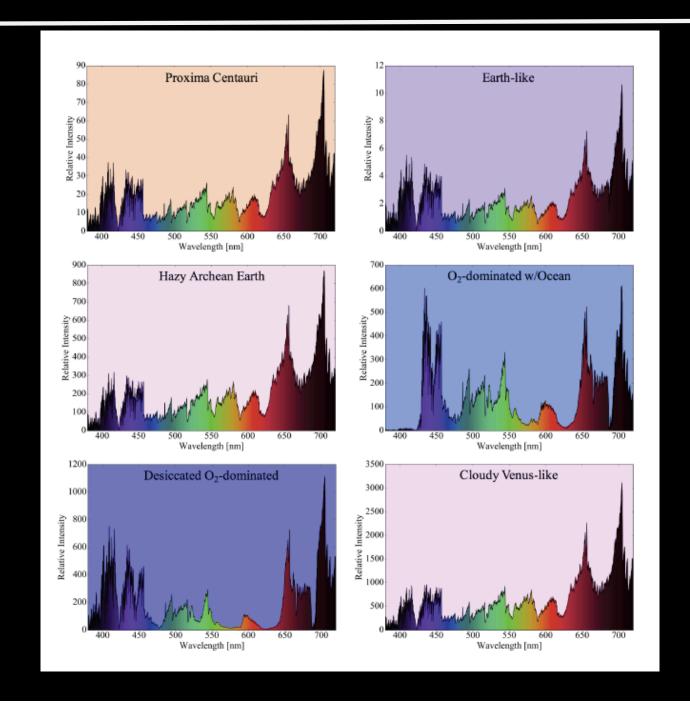


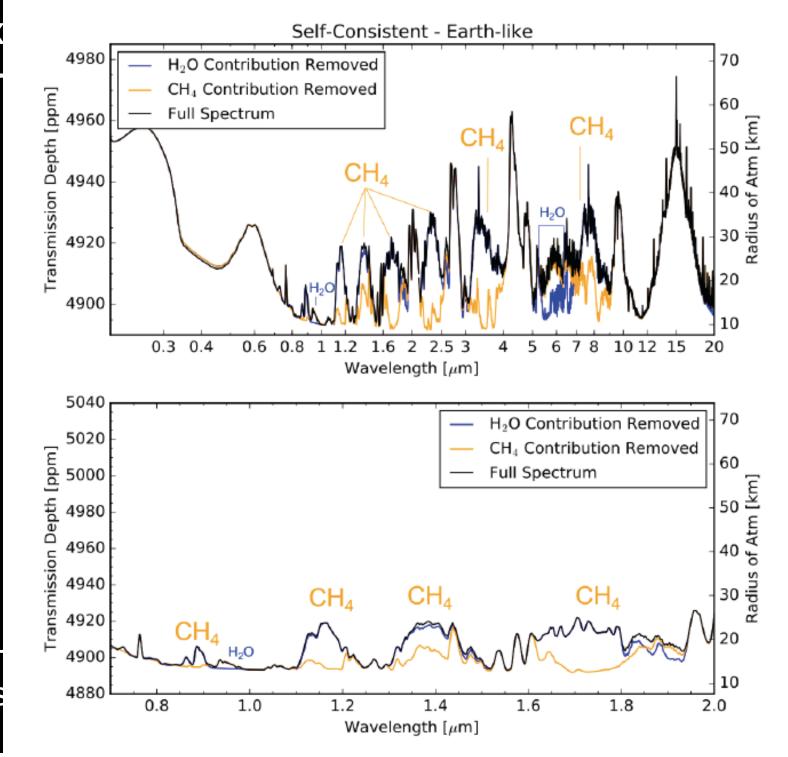
## Photochemistry matters! Stellar UV matters!



 CH<sub>4</sub> has a longer lifetime in the planet's atmosphere under M dwarf UV irradiation, and so has higher abundance for the same surface flux.

# Proxima Cen b: The Pale Lavender Dot





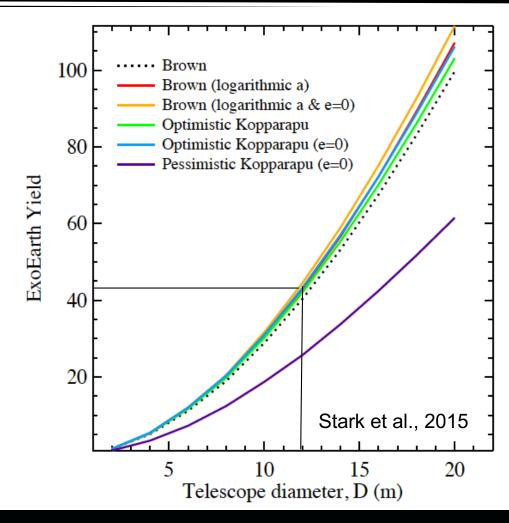
al to

# The High Definition Space Telescope Concept

Will be able to directly image tens of Earth-sized planets in the Habitable Zone. HabEx a smaller but exoplanet dedicated telescope also under consideration

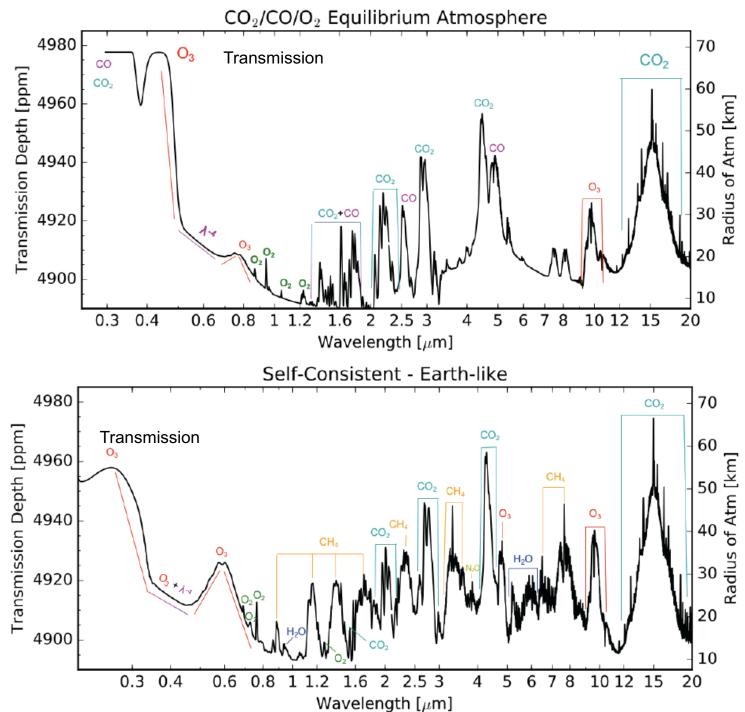
Ultraviolet Visible

**HDST** 12 m



2.4 m

#### Proxima Cen b simulated environments - Transmission



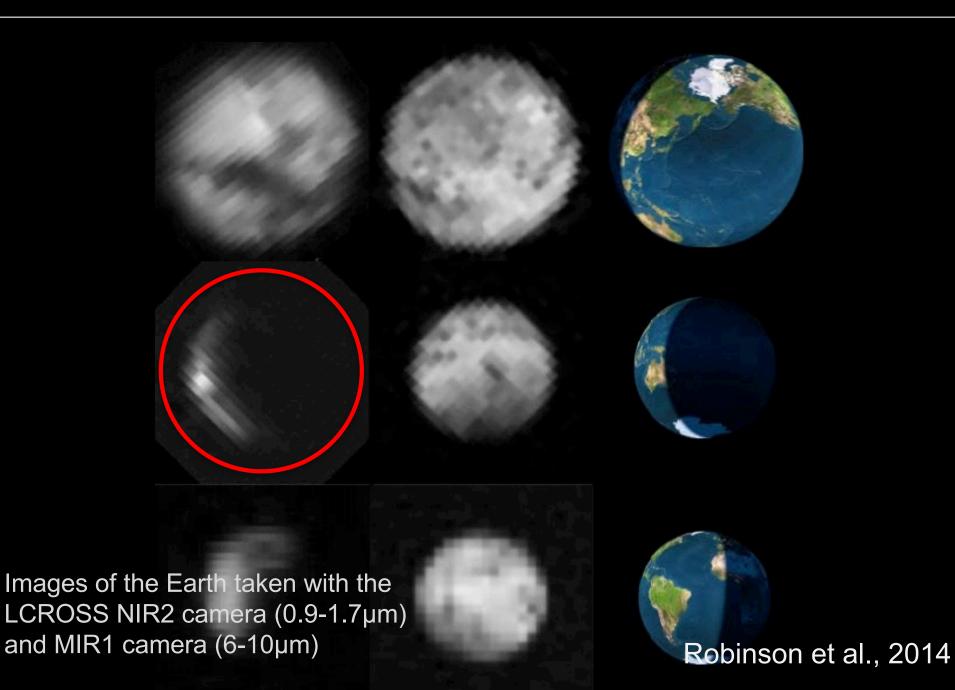
Segura et al., 2005; Gao et al., 2015; Schwieterman et al., 2016: Meadows et al., 2016

# Are Terrestrial Planets Orbiting M Dwarfs Habitable?

This is a very rich area with many avenues to explore:

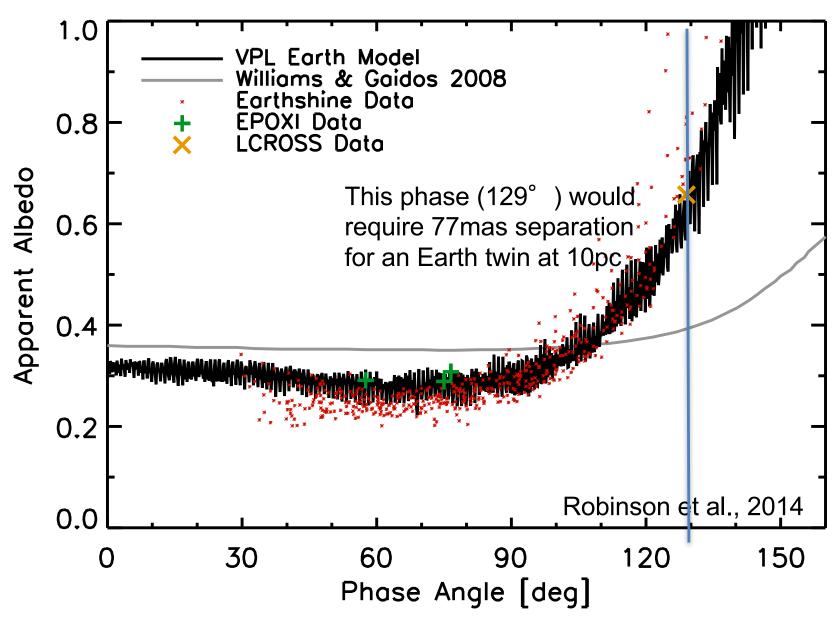
- How common are potentially habitable planets orbiting M dwarfs?
- How do parent stars of terrestrial planets shape habitability over long timescales?
- Can M dwarf HZ terrestrial planets produce, keep, and if necessary, get rid
  of atmospheres?
- What is the nature of the delivery, cycling and loss of H<sub>2</sub>O and N<sub>2</sub> for terrestrial planets?
- What role does the planetary magnetic field play, if any, in retention of an atmosphere and protection from surface radiation and particles?
- What is the effect of synchronous rotation and clouds on M dwarf planet habitability?
- How do we identify the best M dwarf planets for spectroscopic follow-up?
- How do we detect signs of habitability on M dwarf planets?

#### LCROSS Observations of Earth Glint



# LCROSS Data Confirm VPL Glint Predictions

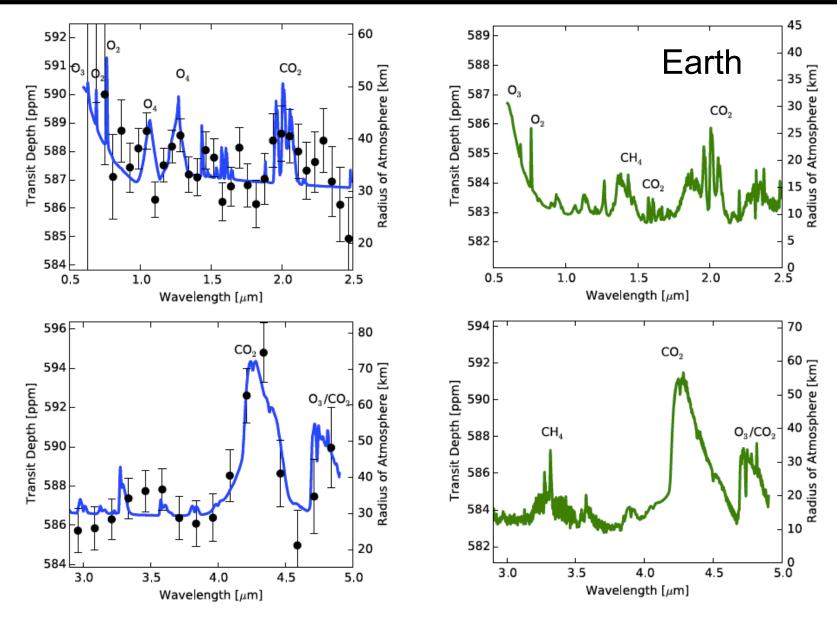




Earthshine data provided by Enric Pallé (Pallé et al., 2003);, EPOXI data provided by Tim Livengood (Livengood et al.2013; LCROSS data provided by Kimberley Ennico (Robinson et al., 2014).

# Massive O<sub>2</sub> atmospheres will likely have O<sub>4</sub>



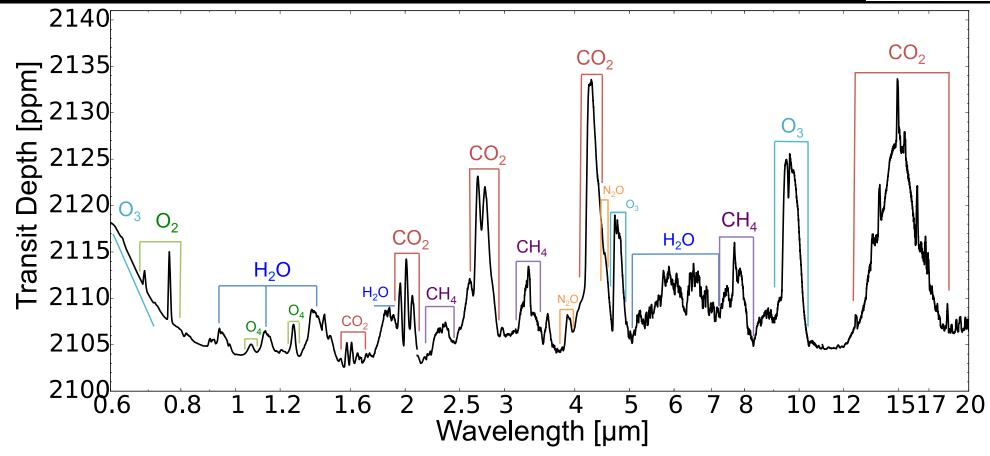


JWST transmission simulations (65 hrs)

Schwieterman et al., 2016

# Modern Earth orbiting an M5V





Features at 2-30 ppm. Water vapor seen at the few ppm level.

Transmission model (includes refraction) from Misra et al., (2014)

Model is cloud-free, however continuum corresponds to 8km above the planetary surface, likely above any actual cloud deck.

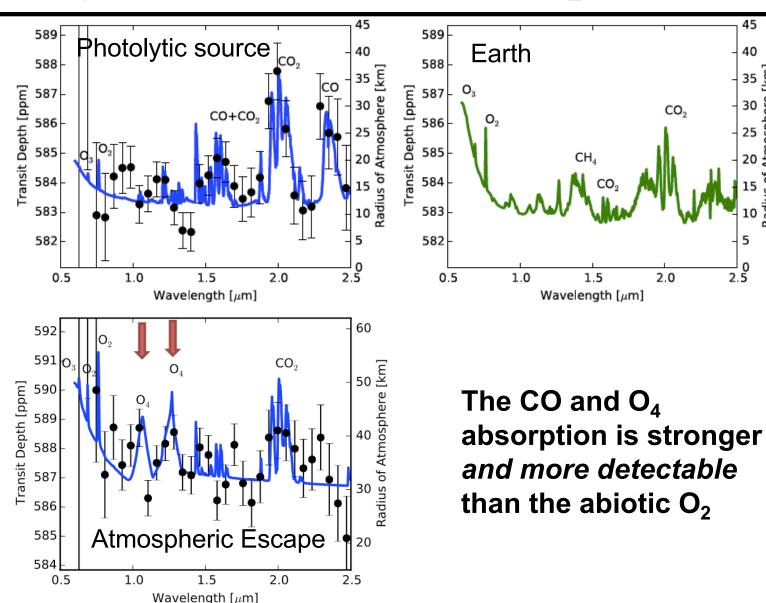
# CO and O<sub>4</sub> may help identify abiotic O<sub>2</sub> generation



45

40

\_\_0 2.5



Schwieterman et al., 2016