

Tales of Hierarchical Three-body Systems

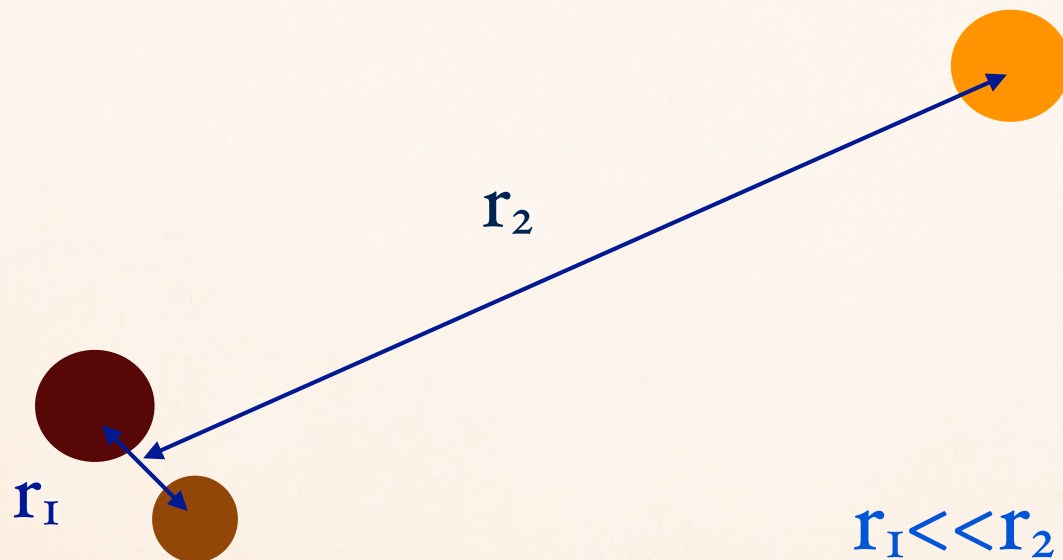
Gongjie Li

Harvard University → Georgia Tech

Main Collaborators: Smadar Naoz (UCLA), Bence Kocsis (IAS/Eotvos)
Matt Holman (Harvard), Avi Loeb (Harvard)

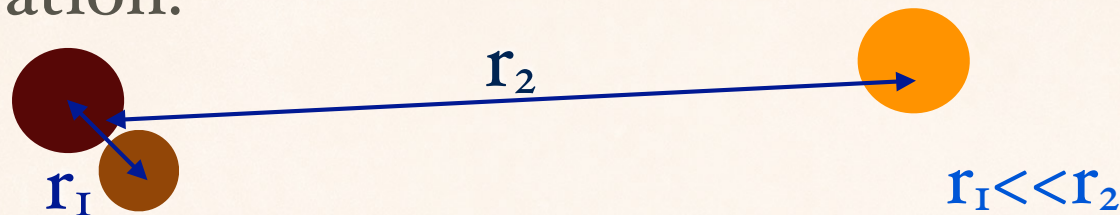
HIERARCHICAL THREE-BODY SYSTEMS

- Configuration:



HIERARCHICAL THREE-BODY SYSTEMS

- Configuration:



- Hierarchical configurations are **COMMON**:

- For binaries with period < 3 days, $\geq 96\%$ are in systems with multiplicity ≥ 3 . (*Tokovinin et al. 2006*)

- 282 of the 299 triple systems ($\sim 94.3\%$) are hierarchical. (*Eggleton et al. 2007*)

- Hierarchical 3-body dynamics gives **insight** for hierarchical multiple systems formation/evolution.

OUTLINE

- Dynamical properties:
 - Flips of inner binary
 - Eccentricity excitation of the inner binary
- Examples:
 - Formation of misaligned hot Jupiters
 - Enhancement of tidal disruption rates for stars in galactic nuclei

CONFIGURATION OF HIERARCHICAL 3-BODY SYSTEM

System is stable and can be thought of as interaction between two orbital wires (secular approximation):



CONFIGURATION OF HIERARCHICAL 3-BODY SYSTEM

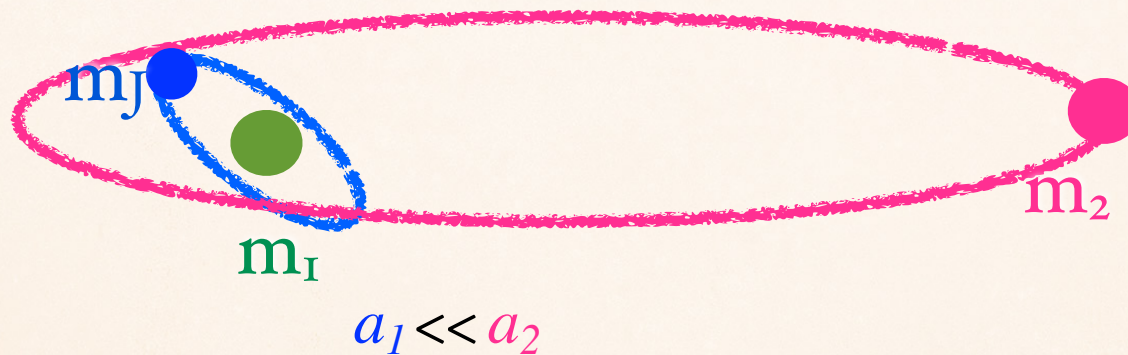
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- Inner wires (1): formed by m_I and m_j .
- Outer wires (2): m_2 orbits the center mass of m_I and m_j .

CONFIGURATION OF HIERARCHICAL 3-BODY SYSTEM

System is stable and can be thought of as interaction between two orbital wires (secular approximation):



- Inner wires (1): formed by m_I and m_j .
- Outer wires (2): m_2 orbits the center mass of m_I and m_j .
- a_I/a_2 small, expand H in a_I/a_2 and apply perturbative analysis

LIDOV-KOZAI MECHANISM

Lidov-Kozai Mechanism

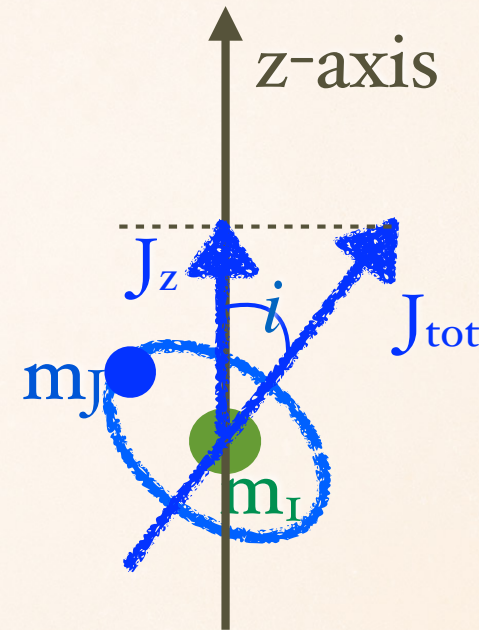
$$(e_2 = 0, m_J \rightarrow 0)$$

(Kozai 1962; Lidov 1962:
Solar system objects)

- Octupole level $O((a_1/a_2)^3)$ is zero.
- Quadrupole level $O((a_1/a_2)^2)$:

$$\Rightarrow J_z = \sqrt{1 - e_1^2} \cos i_1 \text{ conserved}$$

(axi-symmetric potential).



LIDOV-KOZAI MECHANISM

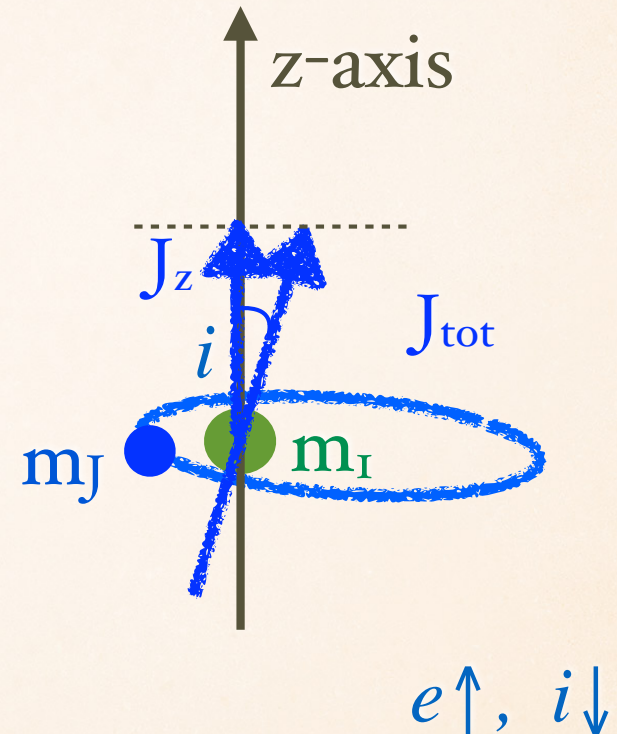
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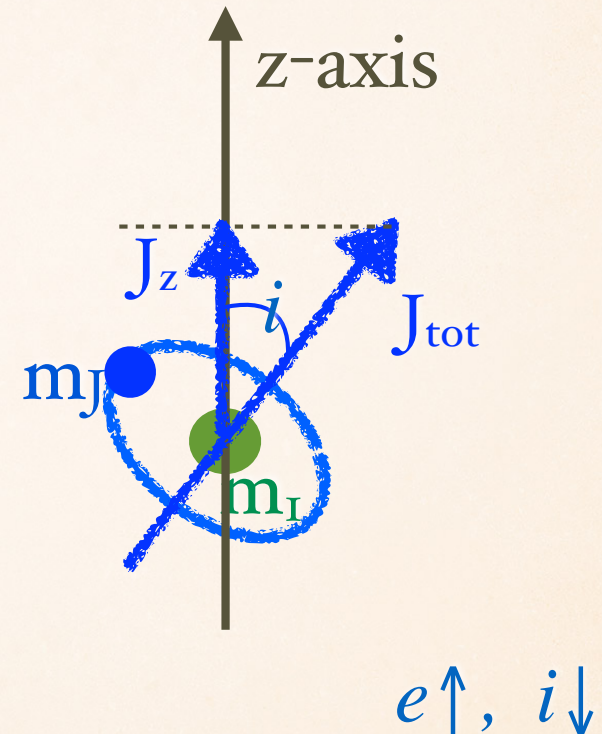
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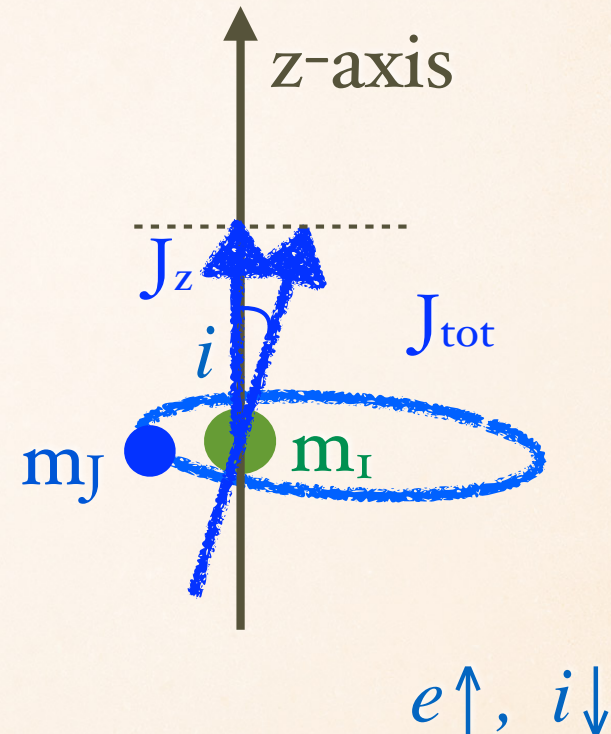
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i does not cross 90°

LIDOV-KOZAI MECHANISM

Lidov-Kozai Mechanism

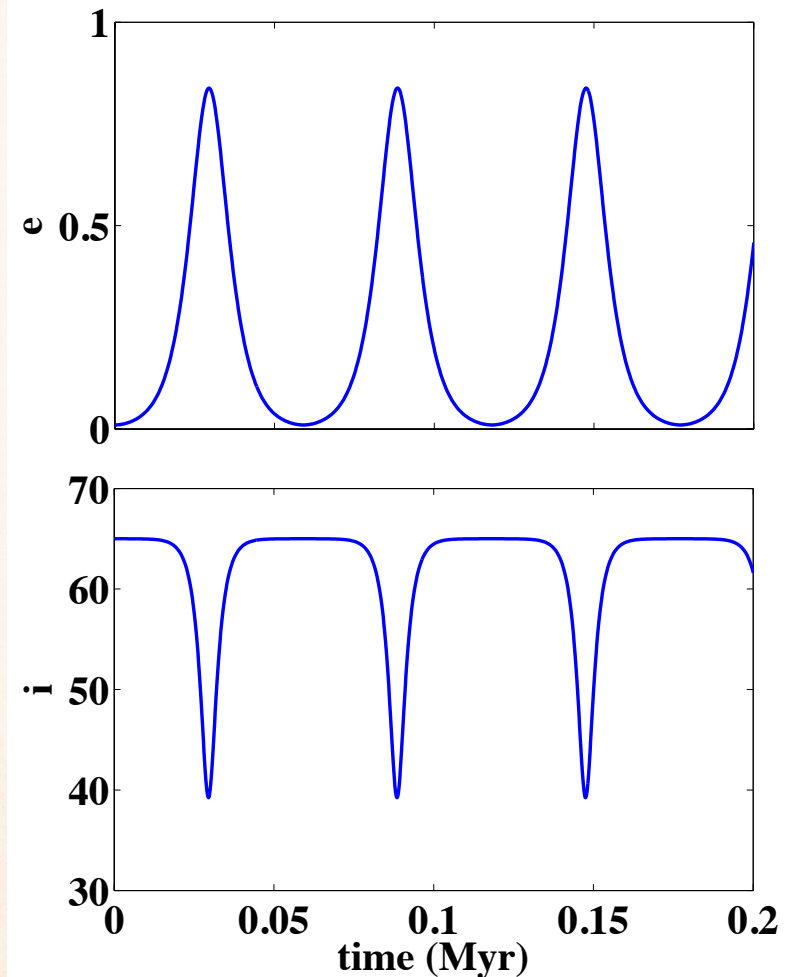
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\Rightarrow when $i > 40^\circ$, e_1 and i oscillate with large amplitude.



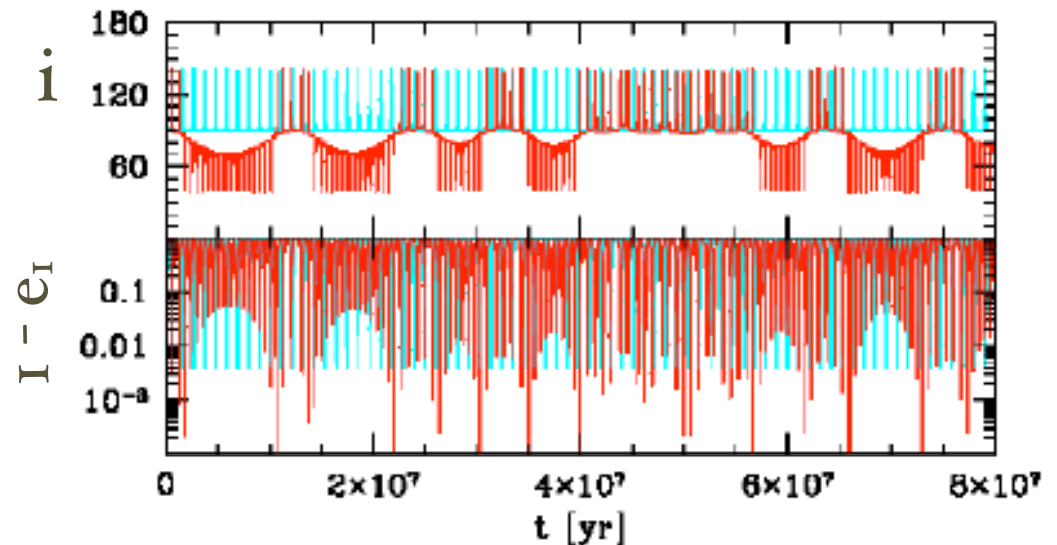
Example of Lidov-Kozai Mechanism.

OCTUPOLE LIDOV-KOZAI MECHANISM

$e_2 \neq 0$ (Eccentric Lidov-Kozai Mechanism) or $m_j \neq 0$:

(e.g., *Naoz et al. 2011, 2013, test particle case: Katz et al. 2011, Lithwick & Naoz 2011*):

- J_z NOT constant, octupole $\neq 0$.
- when $i > 40^\circ$: $e_I \rightarrow 1$.
- when $i > 40^\circ$: i crosses 90°



Cyan: quadrupole only.

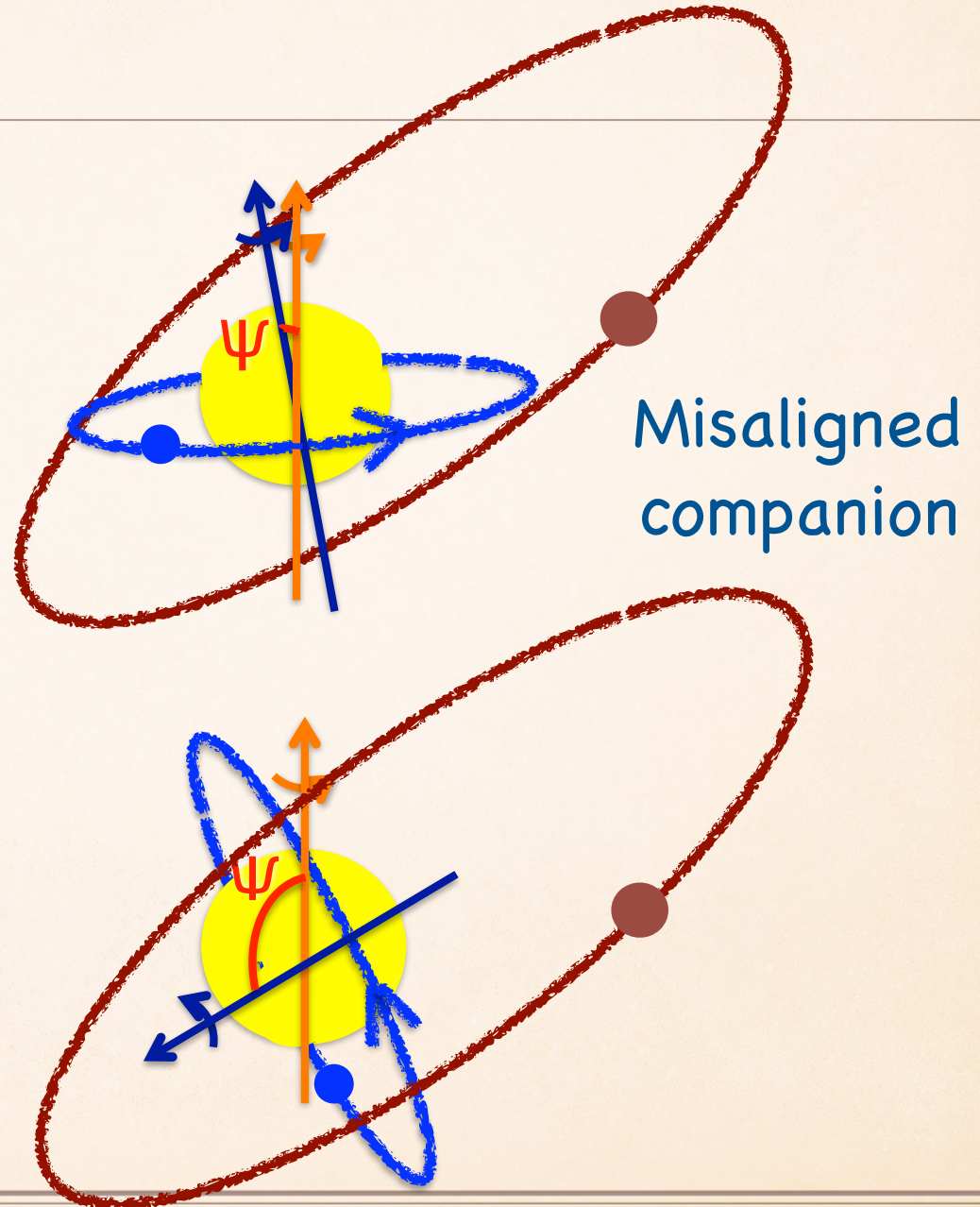
Red: quadrupole + octupole. Naoz et al 2013

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- Consequence:
 - Produces retrograde hot Jupiters ($i > 90^\circ$) (e.g., *Naoz et al. 2011*)

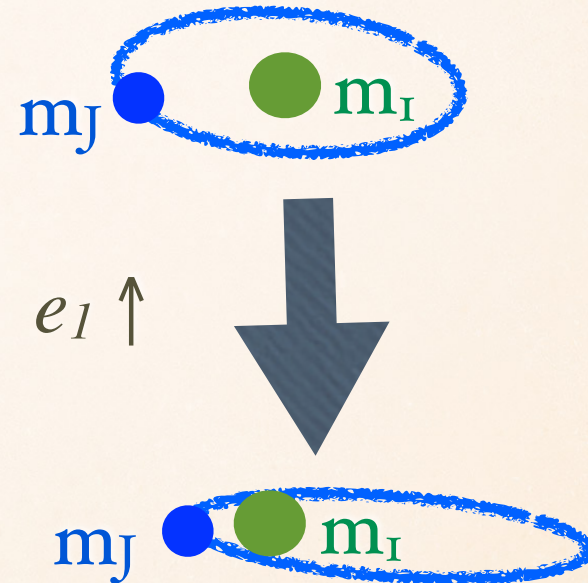


OCTUPOLE LIDOV-KOZAI MECHANISM

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- Consequence:
 - Tidal disruption rate enhancement ($e_1 \rightarrow 1$)
(e.g., *Chen et al. 2009, Bode & Wegg 2014, Li et al. 2015*)



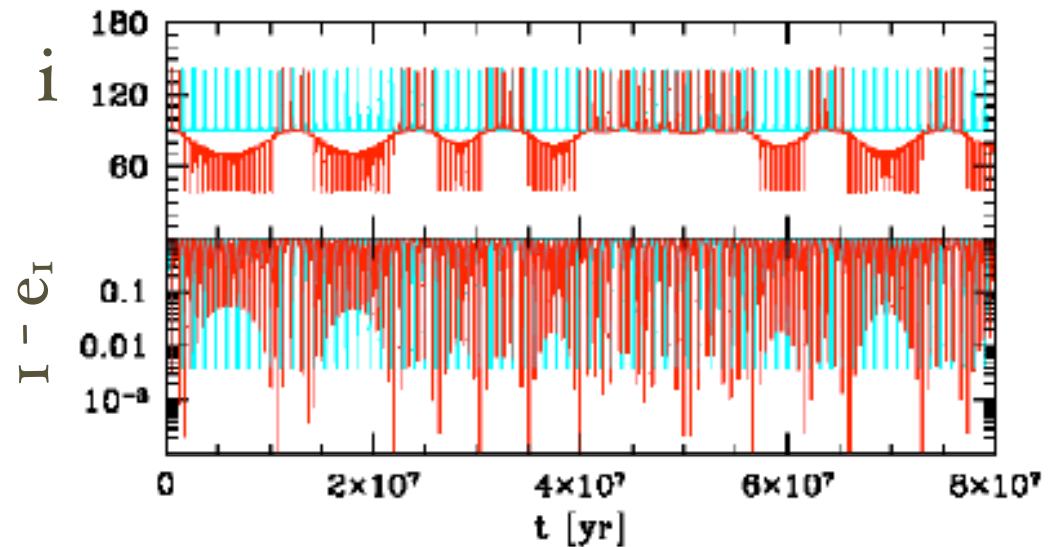
$$R_p \propto 1 - e_1$$

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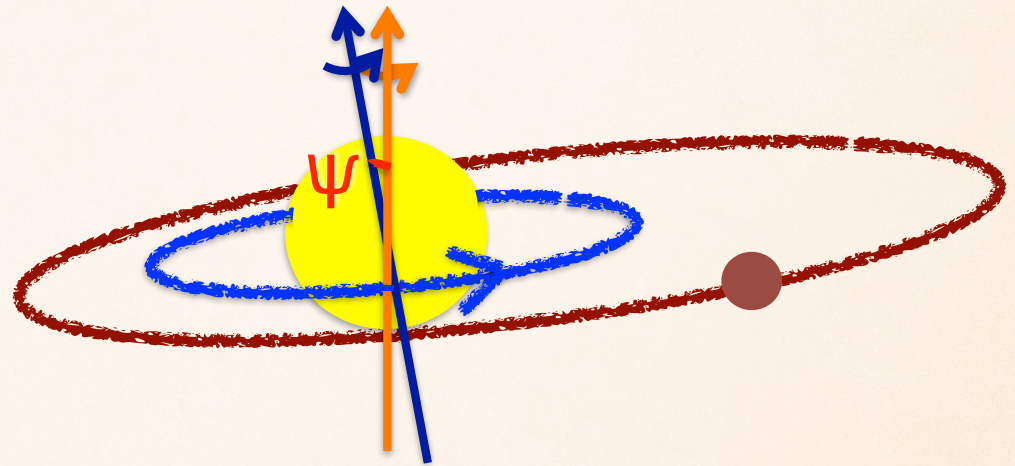
Cyan: quadrupole only.

Red: quadrupole + octupole. *Naoz et al 2013*

$$40^\circ < i < 140^\circ$$

COPLANAR FLIP

- Starting with $i \approx 0$,
 $e_1 \geq 0.6$, $e_2 \neq 0$:
 $e_1 \rightarrow 1$, i flips by $\approx 180^\circ$
(*Li et al. 2014a*).

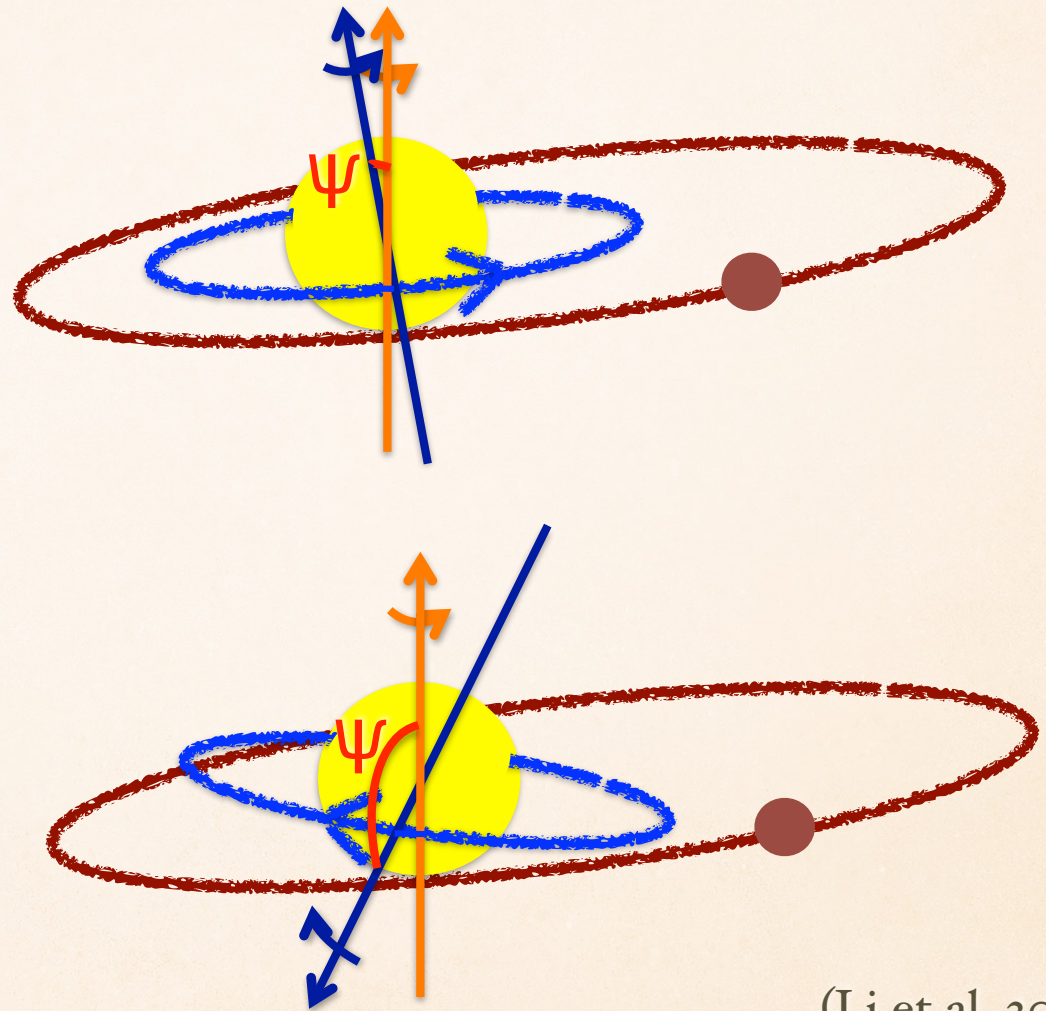


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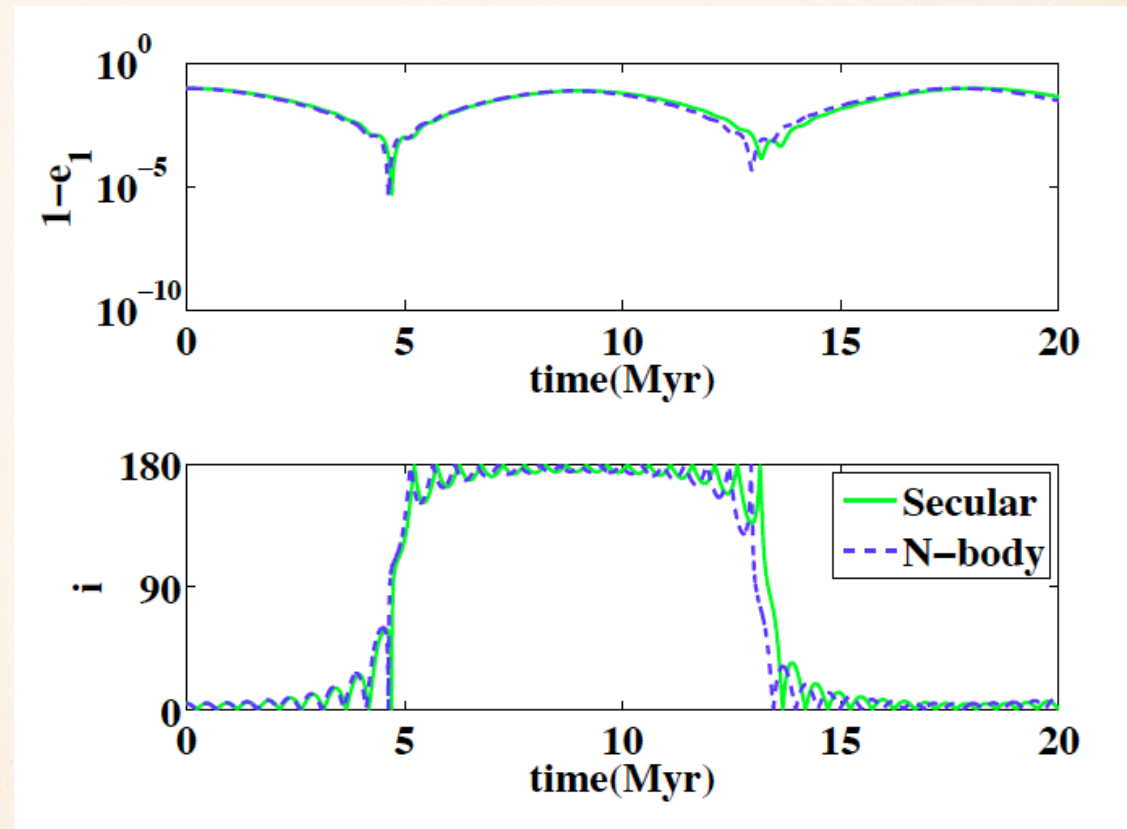
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(Li et al. 2014a)

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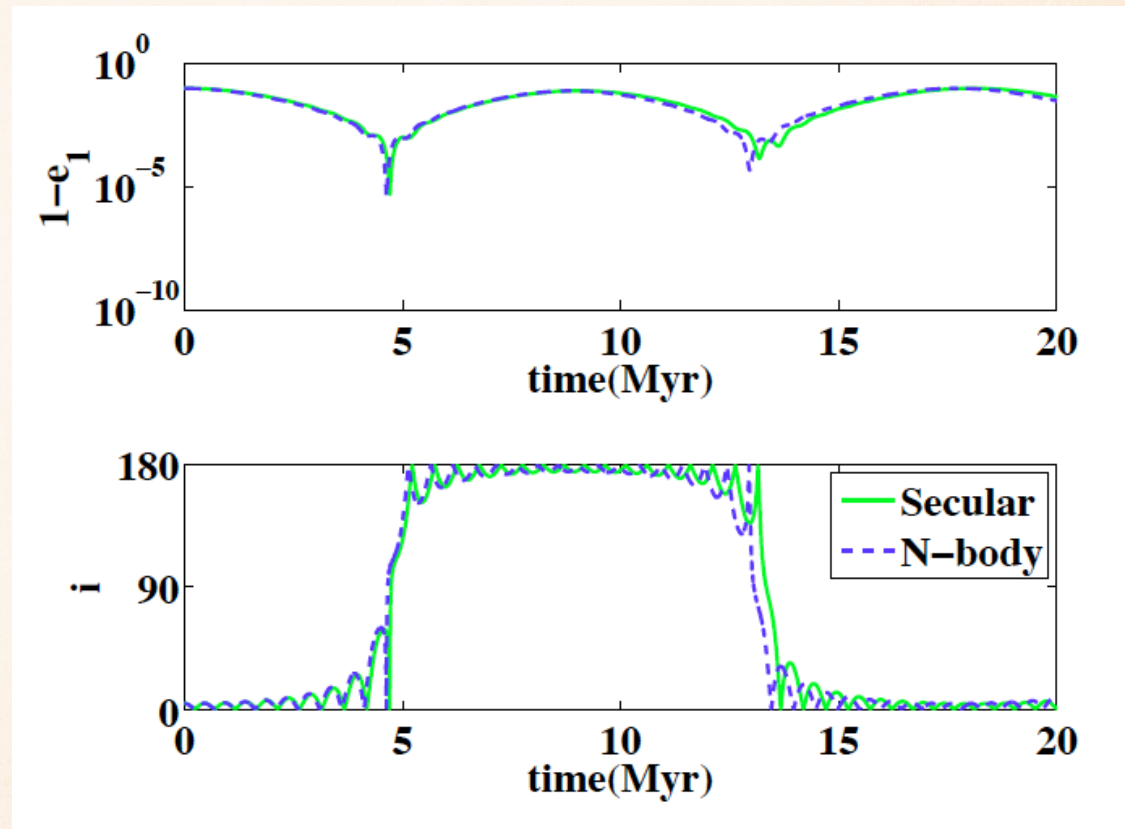
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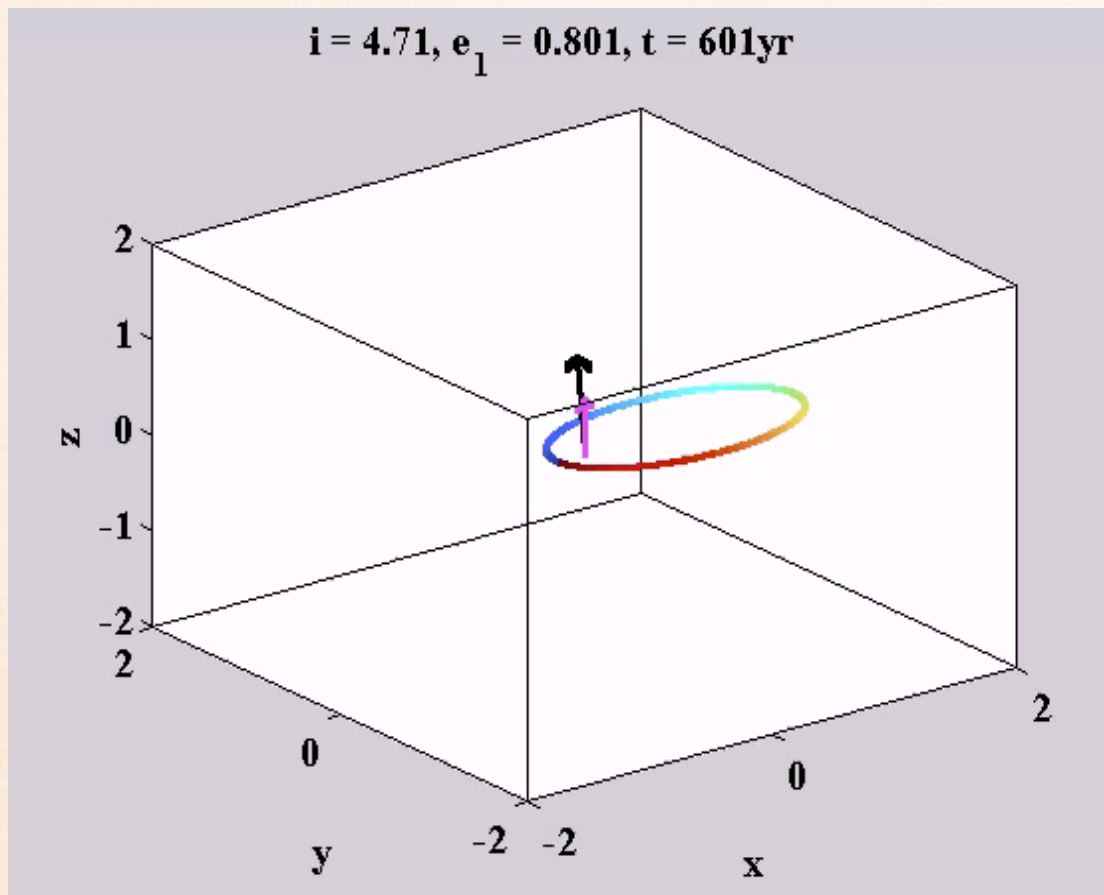
- => Increase the parameter space of interesting behaviors.
- => Produces counter orbiting hot Jupiters.
- => Enhance tidal disruption rates.



(Li et al. 2014a)

DIFFERENCES BETWEEN HIGH/LOW I FLIP

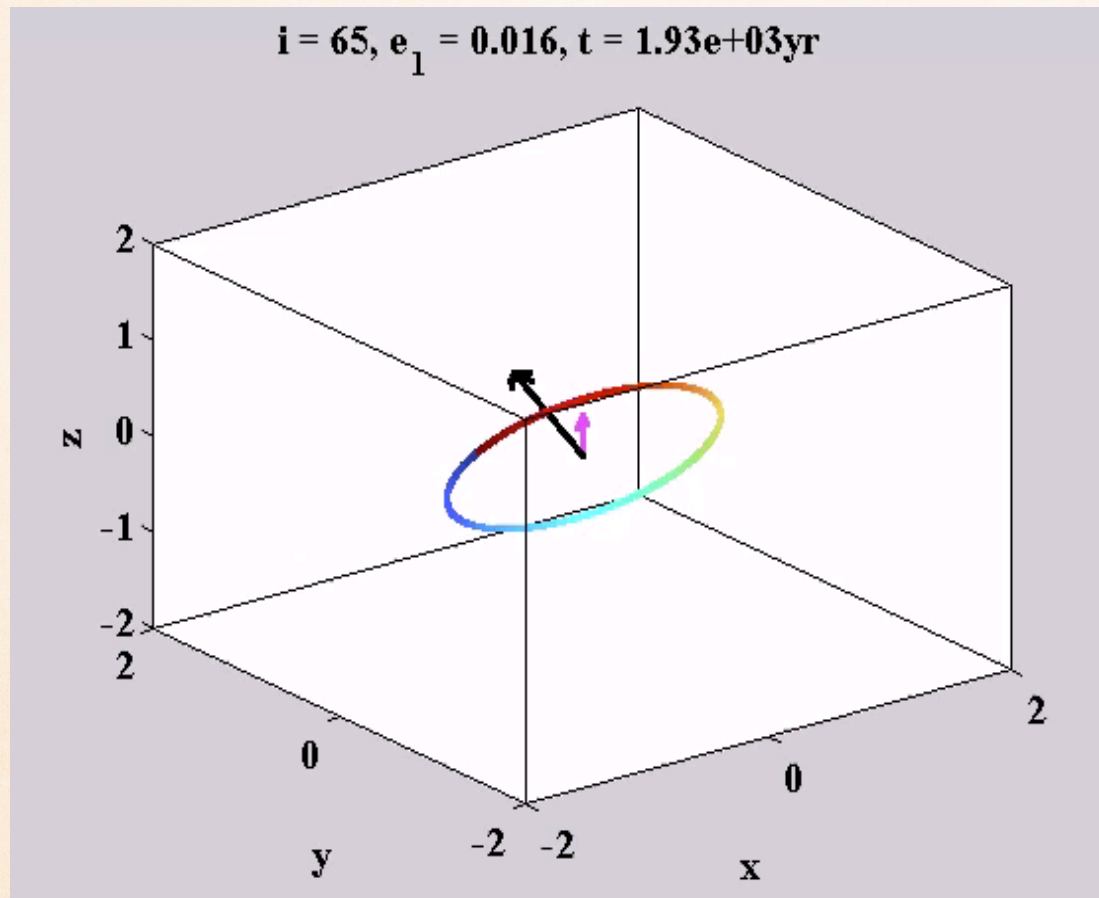
- Low inclination flip



- For simplicity:
take $m_j \rightarrow 0 \Rightarrow$ outer orbit stationary.
- z direction: angular momentum of the outer orbit.
- \uparrow : direction of J_I .
- \uparrow : $J_{zI} \Rightarrow$ indicates flip.
- Colored ring: inner orbit.
Color: mean anomaly.

DIFFERENCES BETWEEN HIGH/LOW I FLIP

- High inclination flip



- For simplicity:
take $m_j \rightarrow 0 \Rightarrow$ outer orbit stationary.
- z direction: angular momentum of the outer orbit.
- \uparrow : direction of J_I .
- \uparrow : $J_{z_I} \Rightarrow$ indicates flip.
- Colored ring: inner orbit.
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ANALYTICAL OVERVIEW

- Hamiltonian has two degrees of freedom in test particle limit:

$$(J = \sqrt{1 - e_1^2}, Jz = \sqrt{1 - e_1^2} \cos i_1, \omega, \Omega)$$

2 conjugate pairs: J & ω , Jz & Ω

- The Hamiltonian up to the Octupole order:

$$H = F_{quad}(J, Jz, \omega) + \epsilon F_{oct}(J, Jz, \omega, \Omega)$$

Quadrupole order:
Independent of Ω
 $\Rightarrow Jz$ constant

ϵ : hierarchical
parameter:
$$\epsilon = \frac{a_1}{a_2} \frac{e_2}{1 - e_2^2}$$

Octupole order:
Depend on both
 Ω & $\omega \Rightarrow J$ and
 Jz not constant

CO-PLANAR FLIP CRITERION

- Hamiltonian (at $O(i)$):
 - Evolution of e_1 only due to octupole terms:
 $\Rightarrow e_1$ does not oscillate before flip
 - Depend on only J_1 and $\varpi_1 = \omega_1 + \Omega_1$
 - \Rightarrow System is integrable.
 - $\Rightarrow e_1(t)$ can be solved.
 - \Rightarrow The flip timescale can be derived.
 - \Rightarrow The flip criterion can be derived.

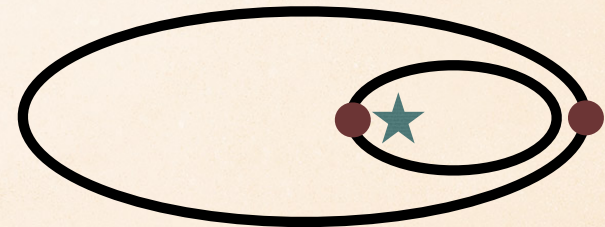
$$\varepsilon > \frac{8}{5} \frac{1 - e_1^2}{7 - e_1(4 + 3e_1^2) \cos(\omega_1 + \Omega_1)}$$

CO-PLANAR FLIP CRITERION

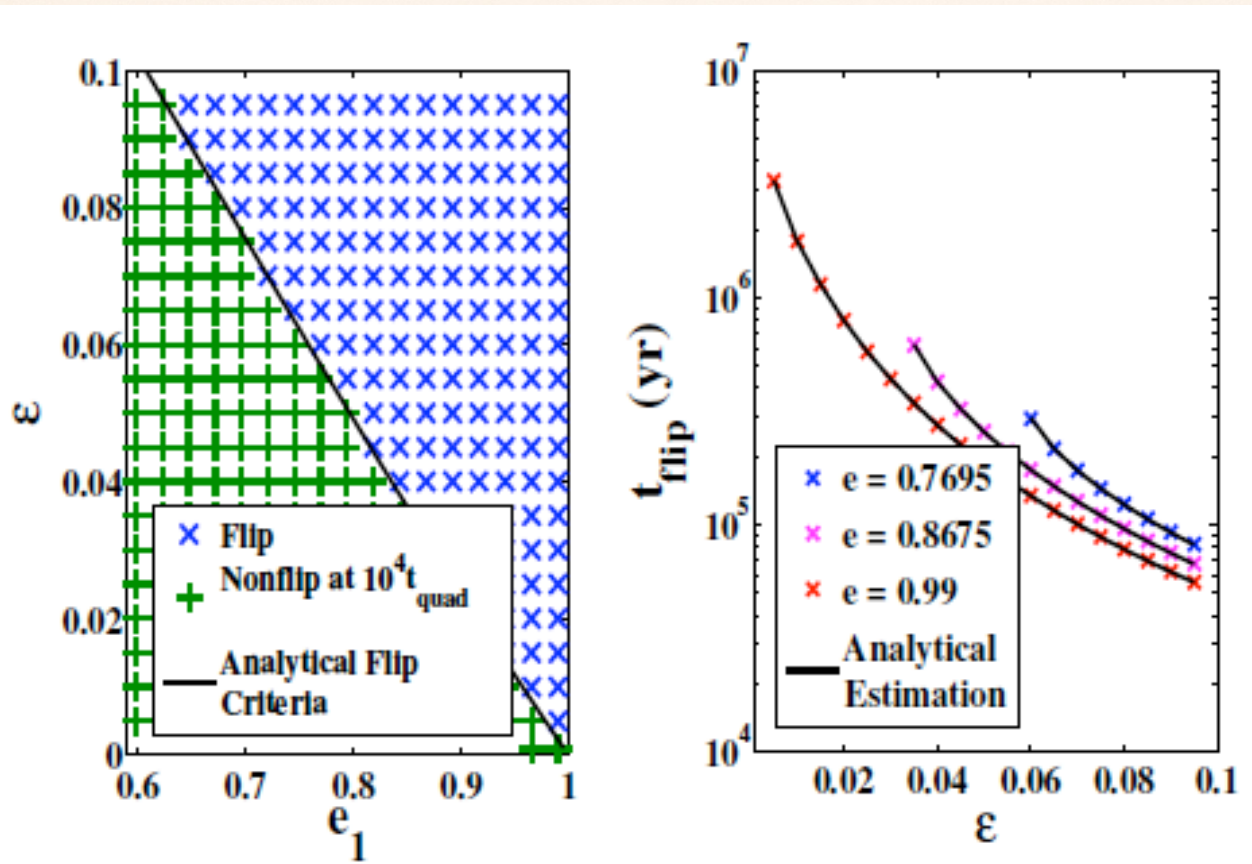
- Hamiltonian (at $O(i)$):
 - Evolution of e_I only due to octupole terms:
=> e_I does not oscillate before flip
 - Depend on only J_I and $\varpi_I = \omega_I + \Omega_I$
 - => System is integrable.
 - => $e_I(t)$ can be solved.
 - => The flip timescale can be derived.
 - => The flip criterion can be derived.

Easier to flip:

- * e_I larger
- * $\varpi_I = \omega_I + \Omega_I \sim 180^\circ$



ANALYTICAL RESULTS V.S. NUMERICAL RESULTS

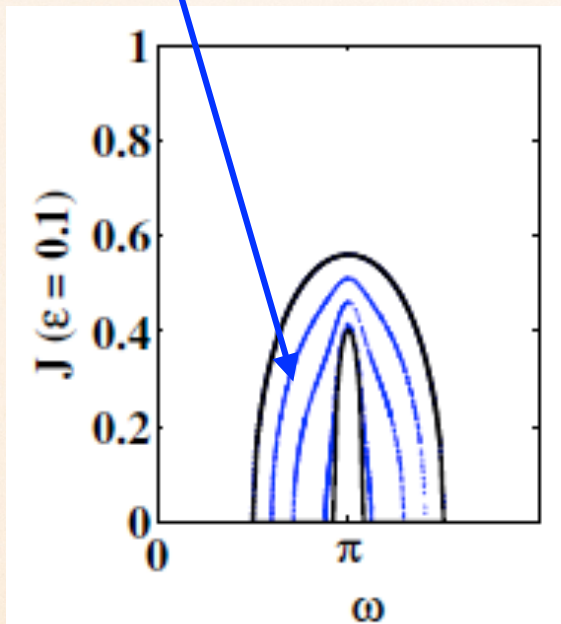


IC: $i=5^\circ$.

- The **flip criterion** and the **flip timescale** from secular integration are consistent with the analytical results.

SURFACE OF SECTIONS

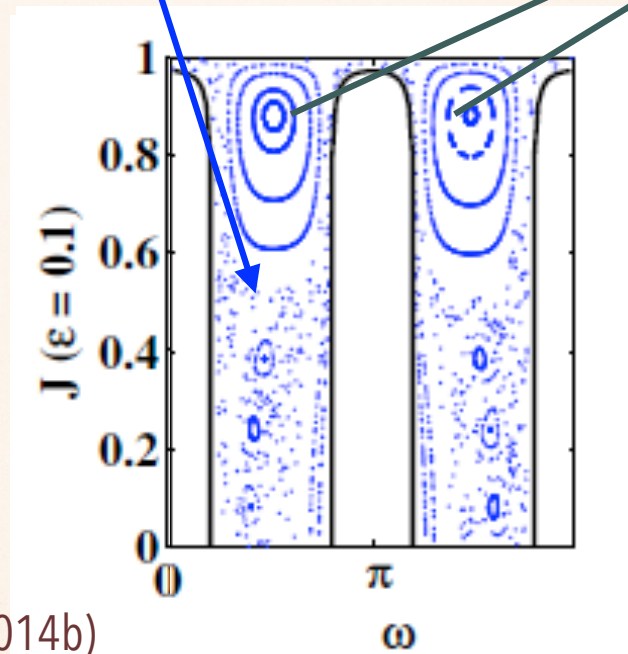
Coplanar Flip:



(Gongjie Li et al. 2014b)

Caused by the octupole resonance, Regular (ϖ librates around π)

High inclination Flip:



Quadrupole resonances
(e.g., Kozai 1962)

Caused by the overlap of quadrupole and octupole resonances, Chaotic: $t_L \sim 6t_K$

Examples --- 1. Formation of Misaligned Hot Jupiters via Lidov-Kozai Oscillations

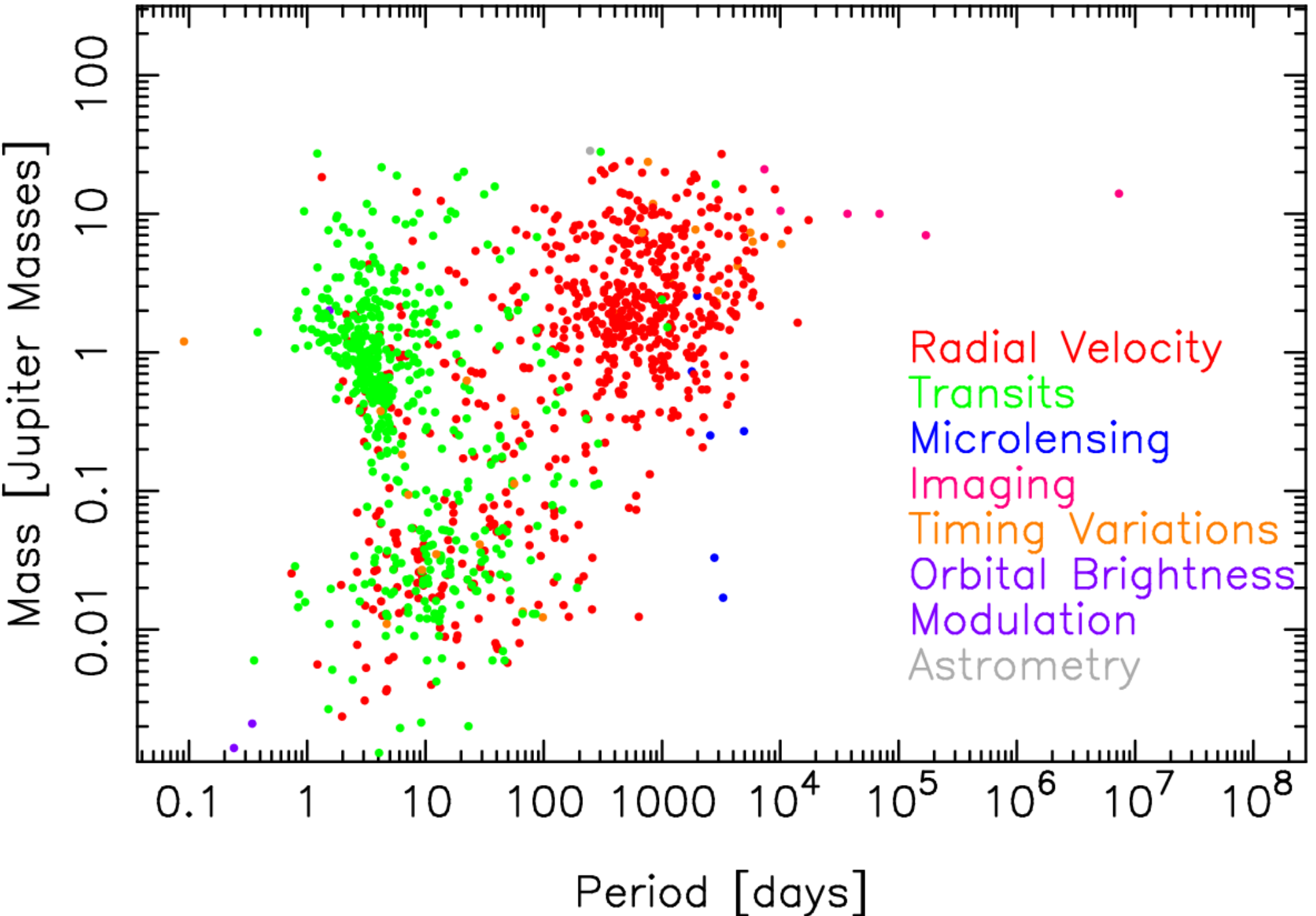


Credit: ESA/C. Carreau

Mass – Period Distribution

28 Sep 2017

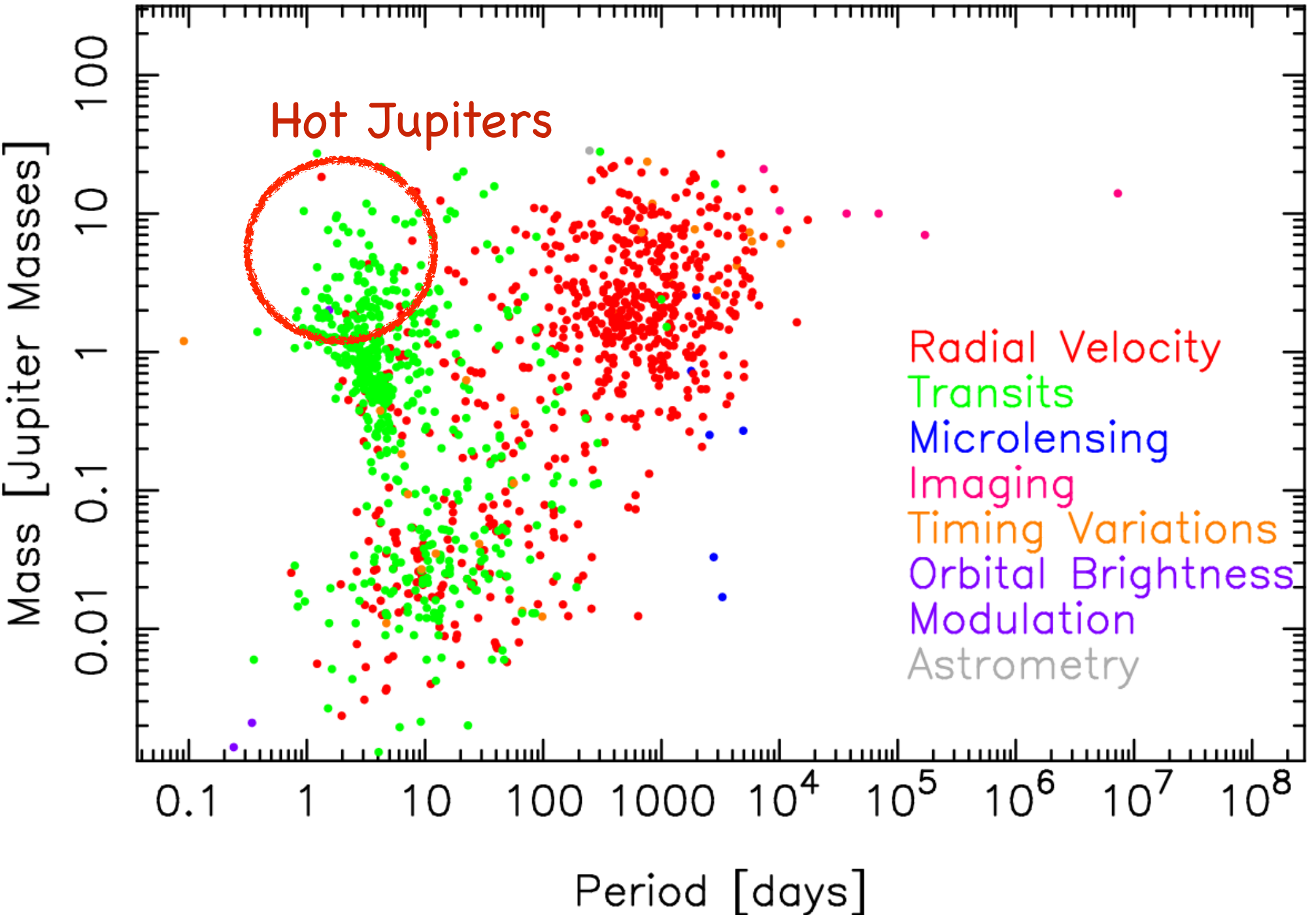
exoplanetarchive.ipac.caltech.edu



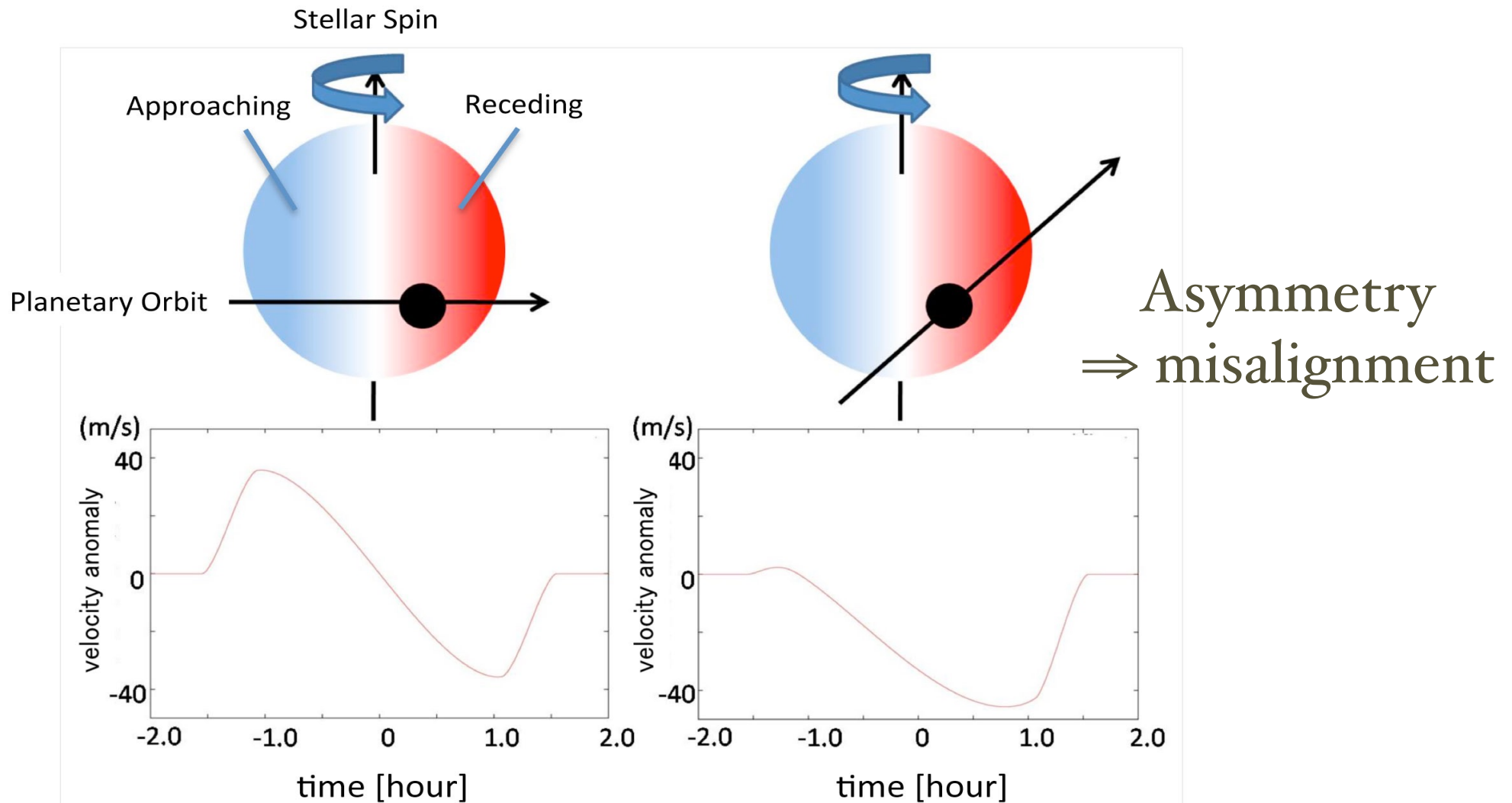
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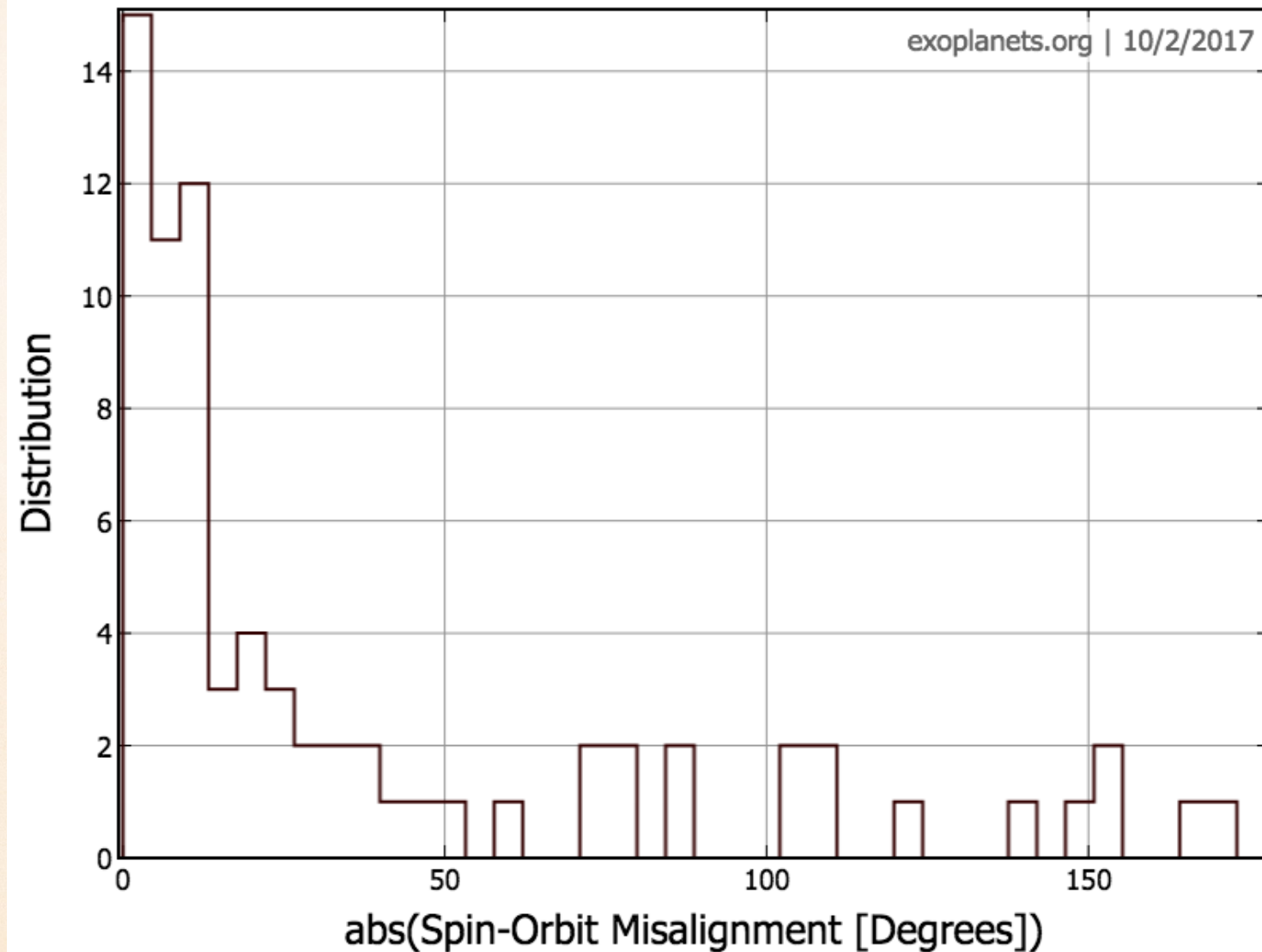
SPIN-ORBIT MISALIGNMENT (ROSSITER-MCLAUGHLIN METHOD)



e.g., Ohta et al. 2005, Winn 2006

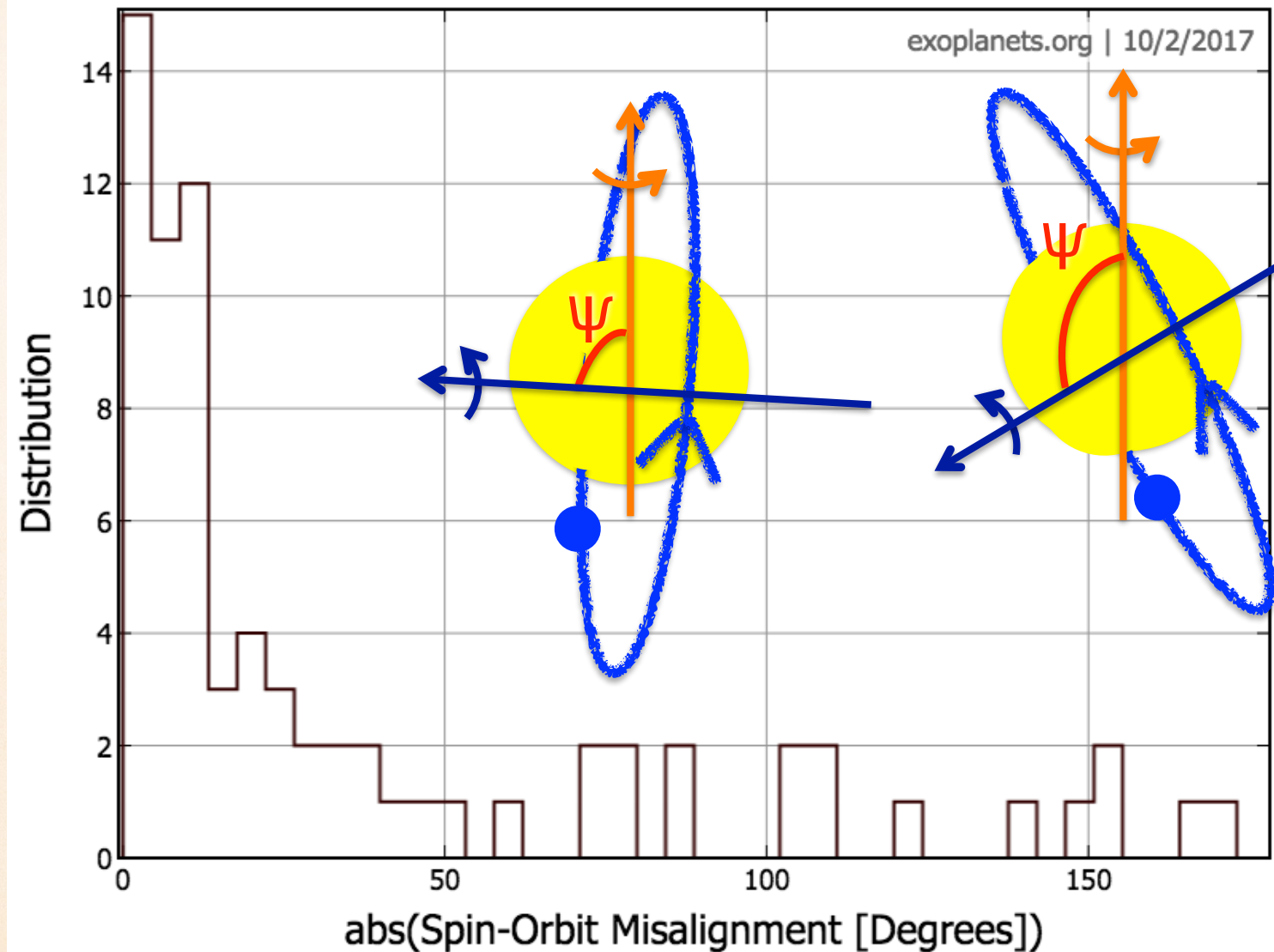
OBSERVED SPIN-ORBIT MISALIGNMENT

Solar System: misalignment $\Psi \lesssim 7^\circ$

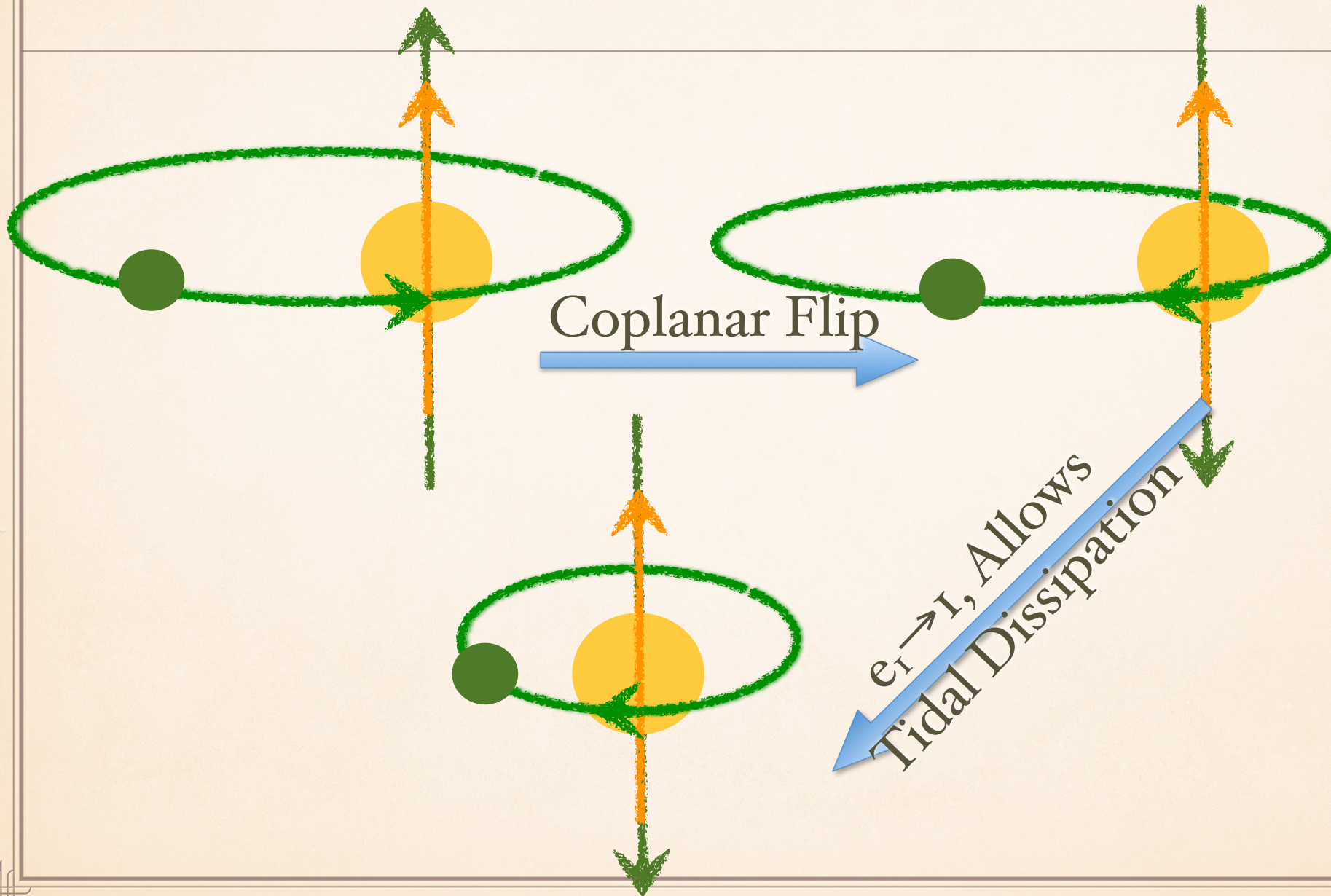


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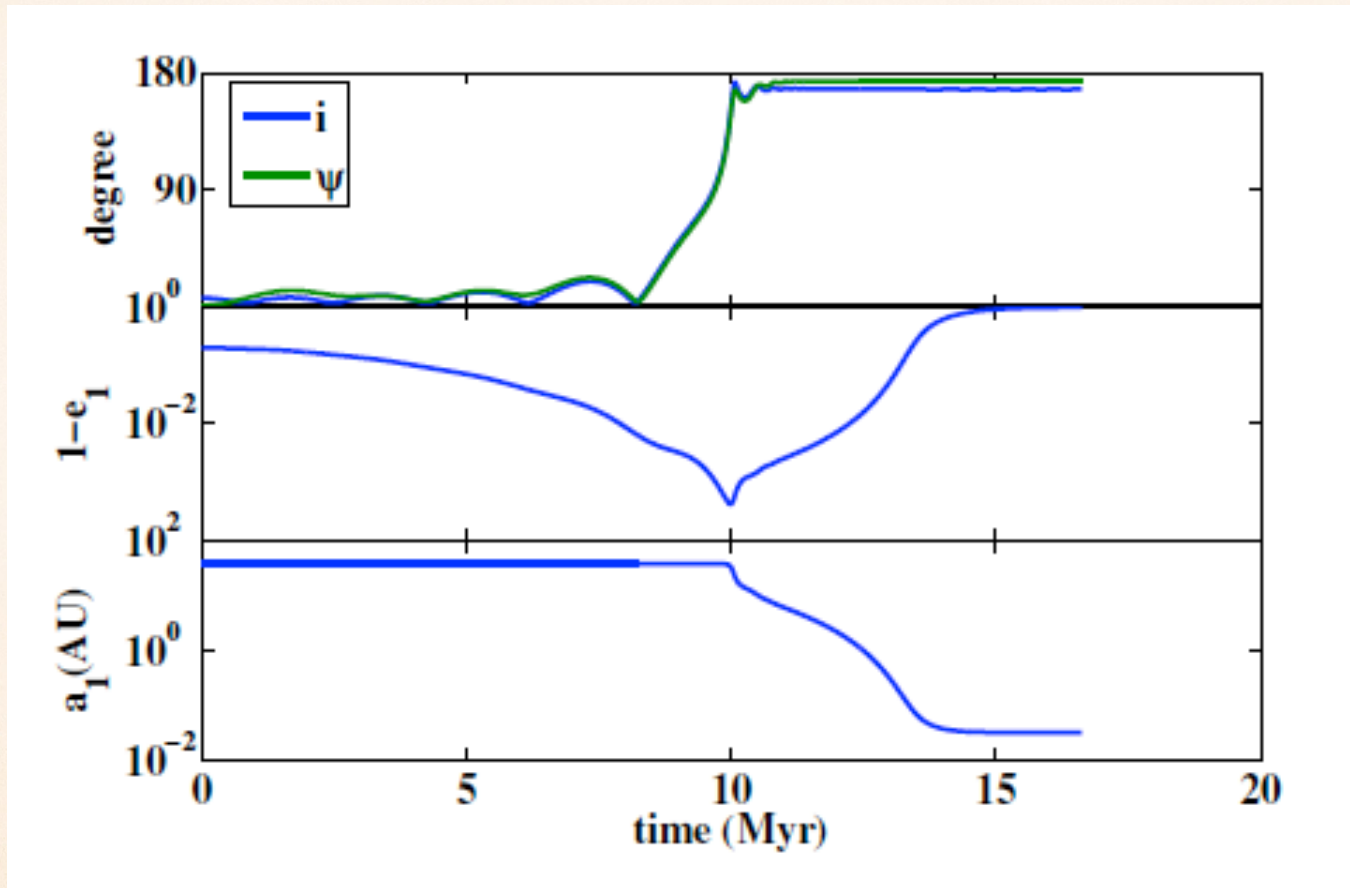
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FORMATION OF COUNTER ORBITING HOT JUPITERS (LK + TIDE)



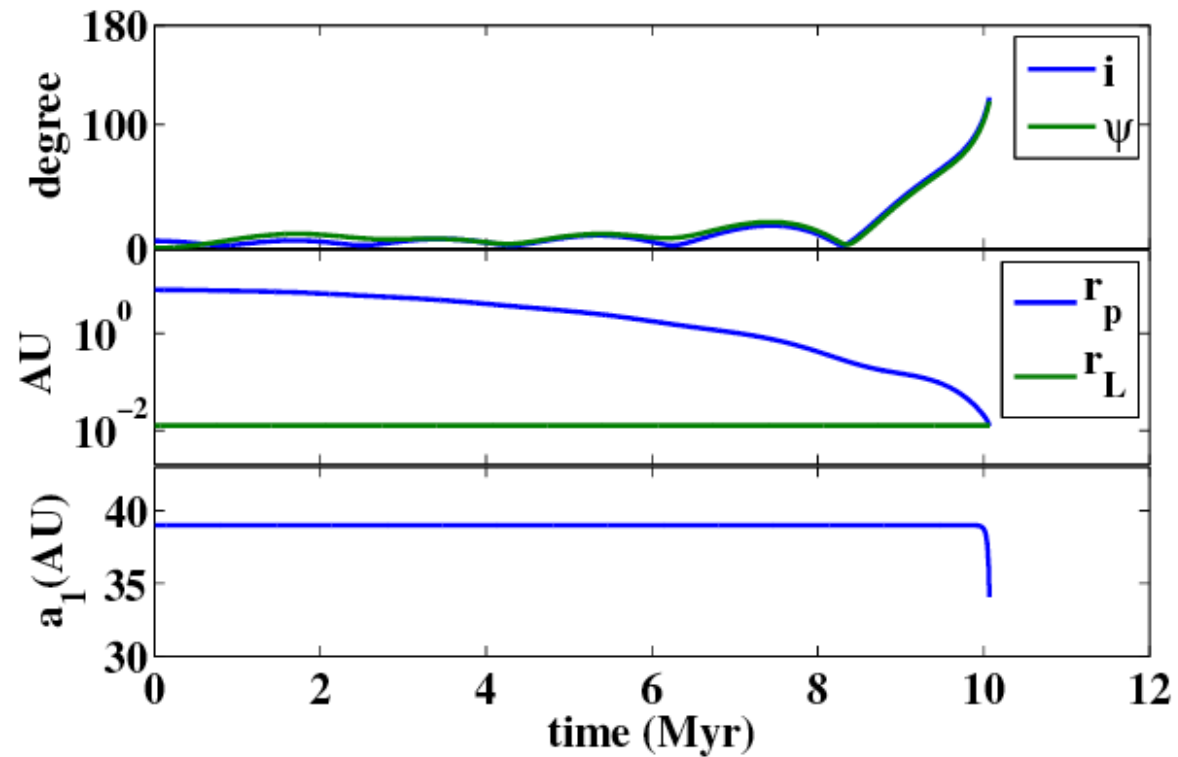
FORMATION OF COUNTER ORBITING HOT JUPITERS (LK + TIDE)



$e_I \rightarrow 1$ during the flip
 $\Rightarrow r_p \downarrow$, tide dominates.

$\Rightarrow e_I \rightarrow 0, a_I \downarrow, i, \psi \approx 180^\circ$.

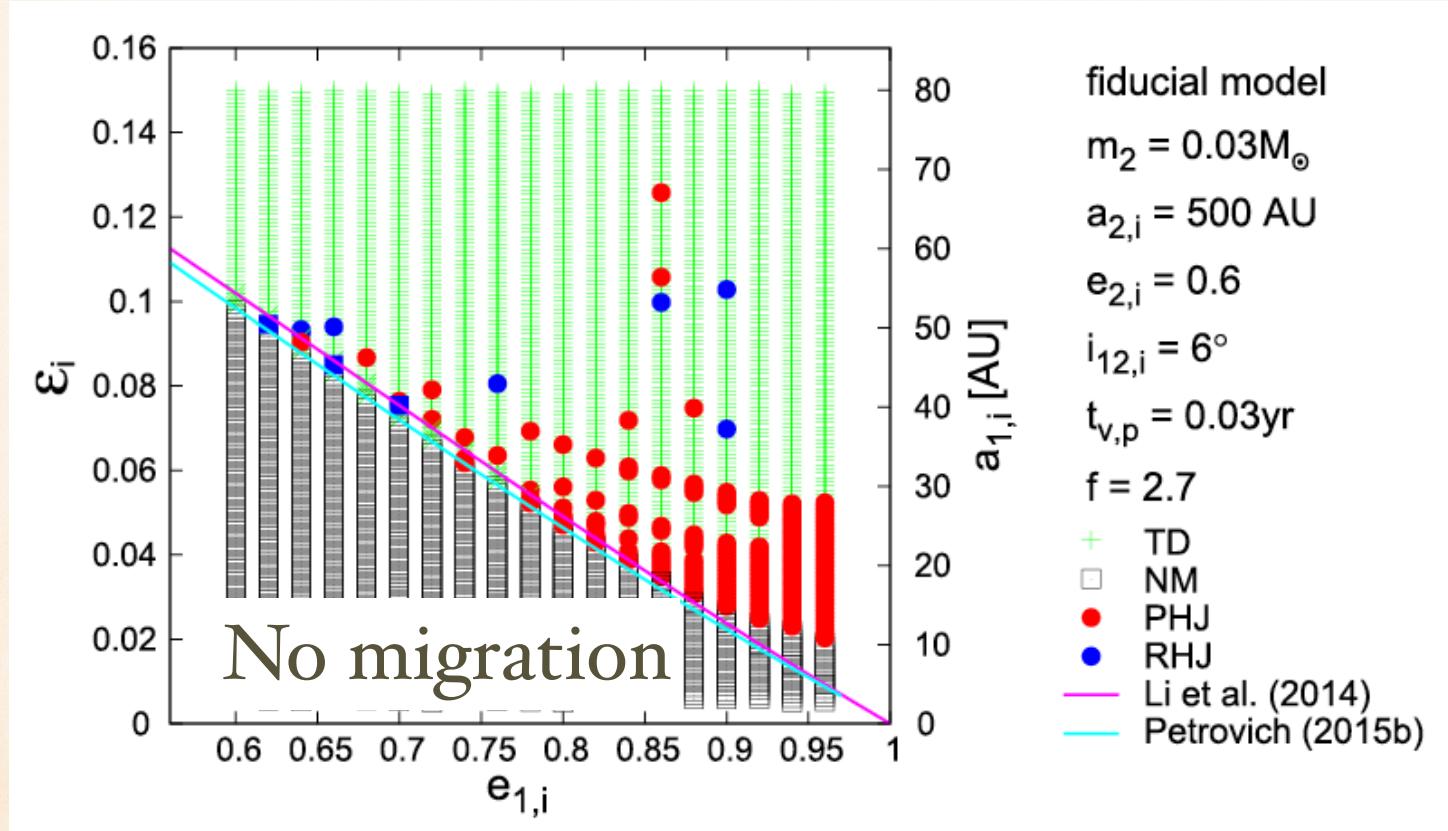
FORMATION OF COUNTER ORBITING HOT JUPITERS (LK + TIDE)



May produce tidal disruption events

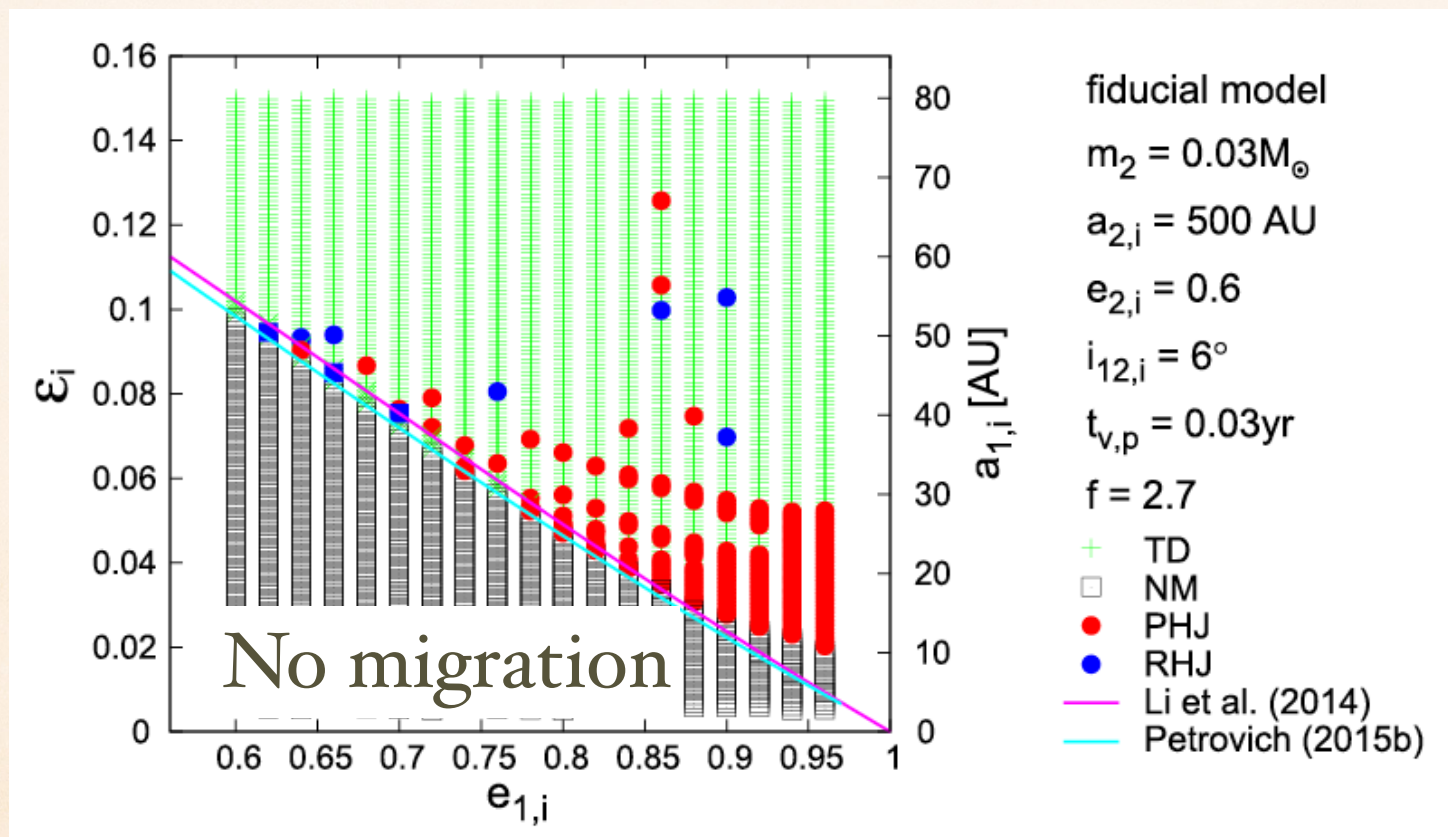
DIFFICULTY IN THE FORMATION OF COUNTER-ORBITING HOT JUPITERS

Including short range forces, a small fraction survive and produce retrograde planets

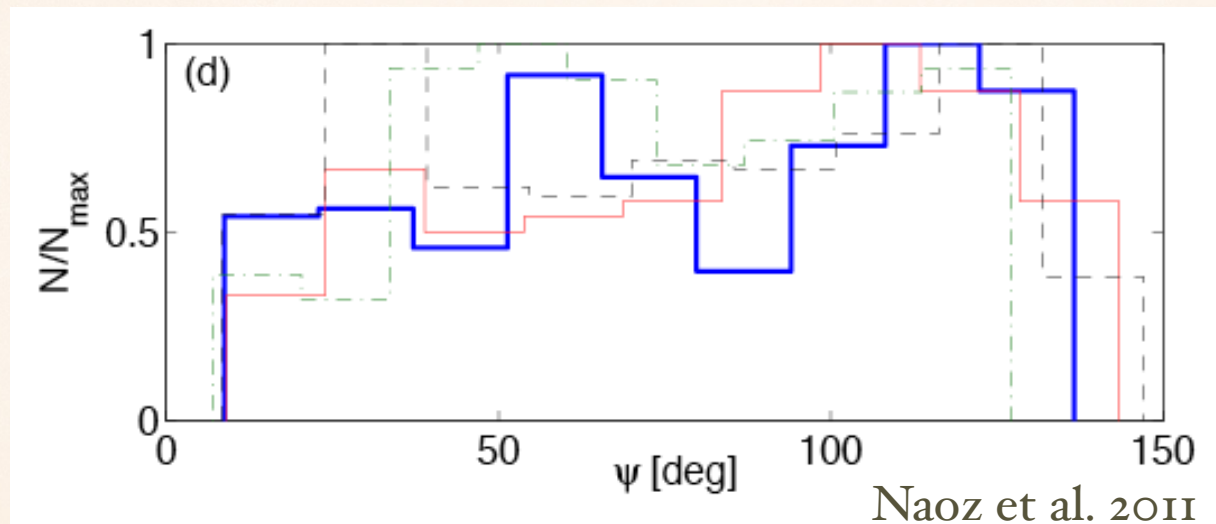


DIFFICULTY IN THE FORMATION OF COUNTER-ORBITING HOT JUPITERS

Flip condition (with no short range forces) is also a good approximation for migration condition

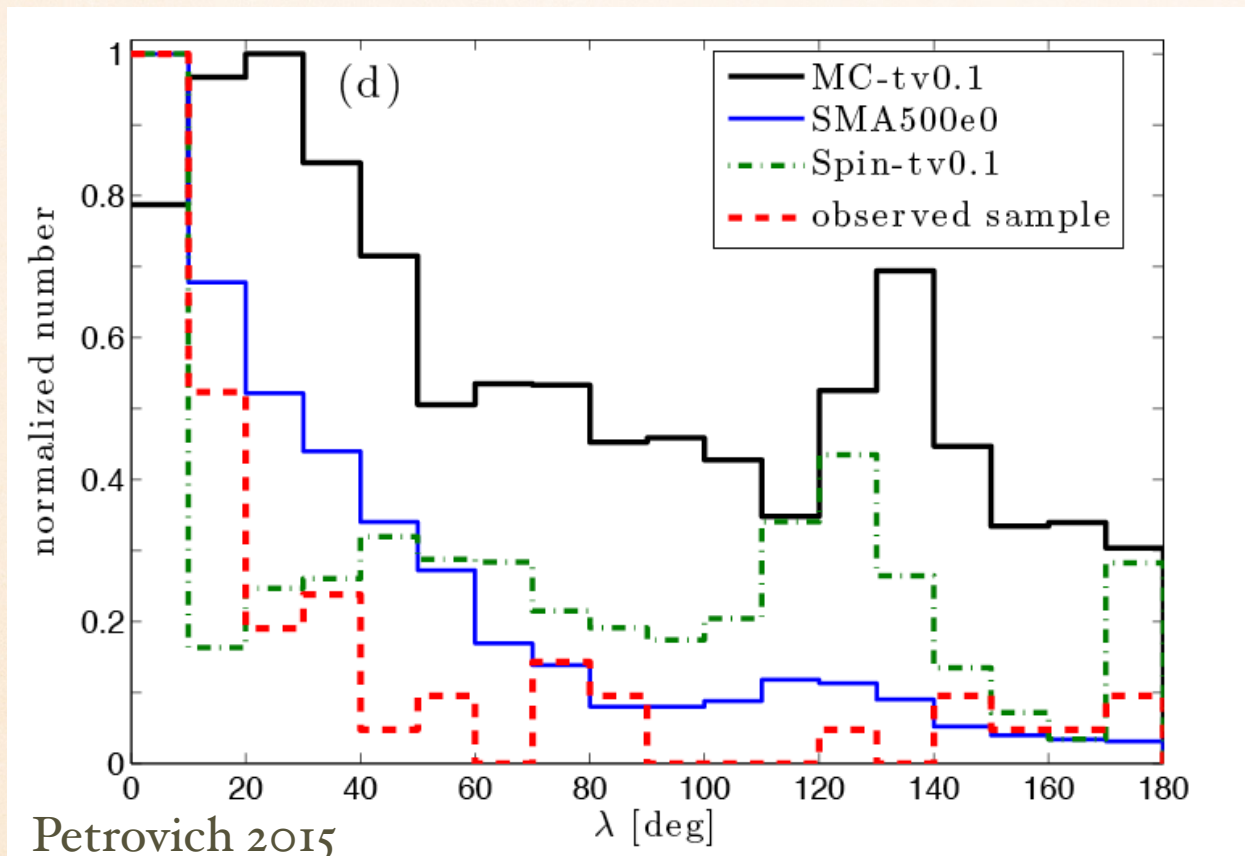


FORMATION OF MISALIGNED HOT JUPITERS (LK + TIDE) BY POPULATION SYNTHESIS



- 15% of systems produce hot Jupiters
- ELK may account for about 30% of hot Jupiters (Naoz et al. 2011)

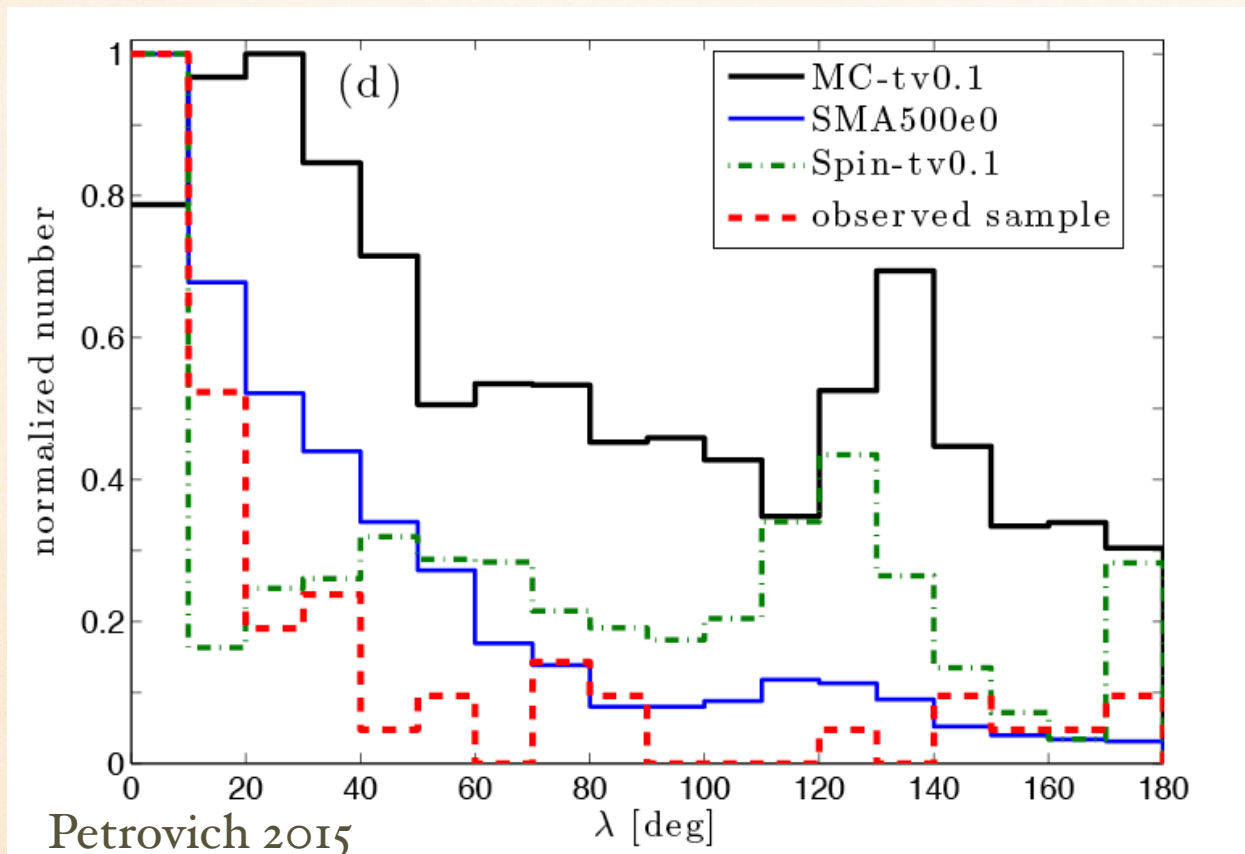
FORMATION OF MISALIGNED HOT JUPITERS (LK + TIDE) BY POPULATION SYNTHESIS



Population synthesis
study of interaction
of two giant planets.

=> a different
mechanism is needed
(Petrovich 2015)

FORMATION OF MISALIGNED HOT JUPITERS (LK + TIDE) BY POPULATION SYNTHESIS

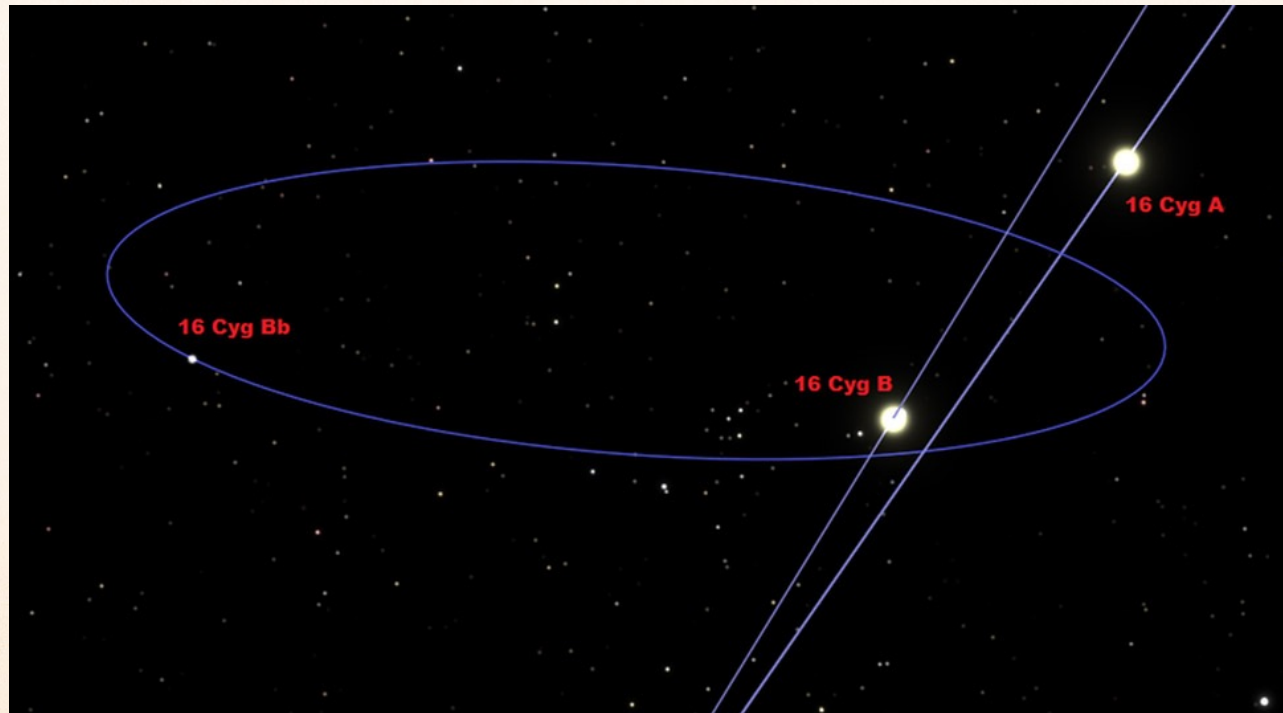


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LK produces $\sim 20\%$ of the observed HJs

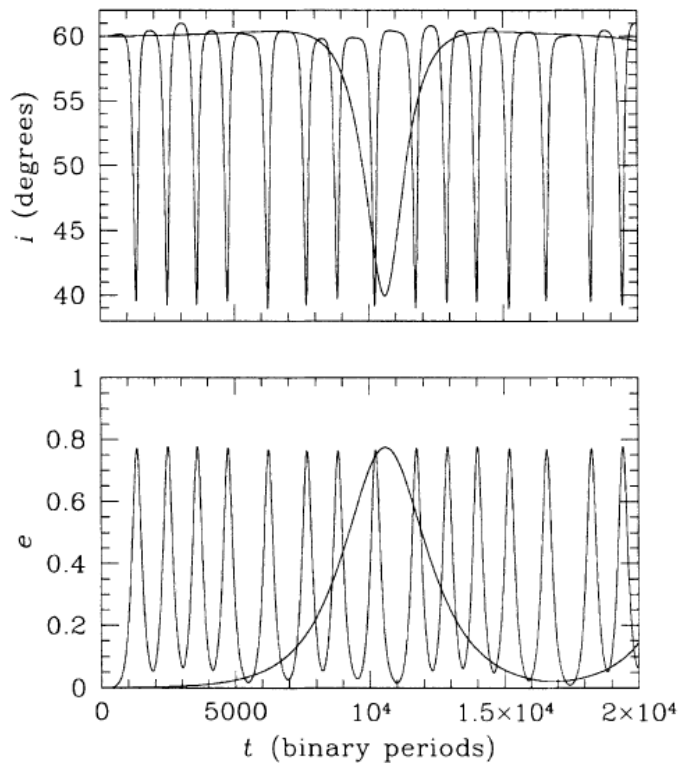
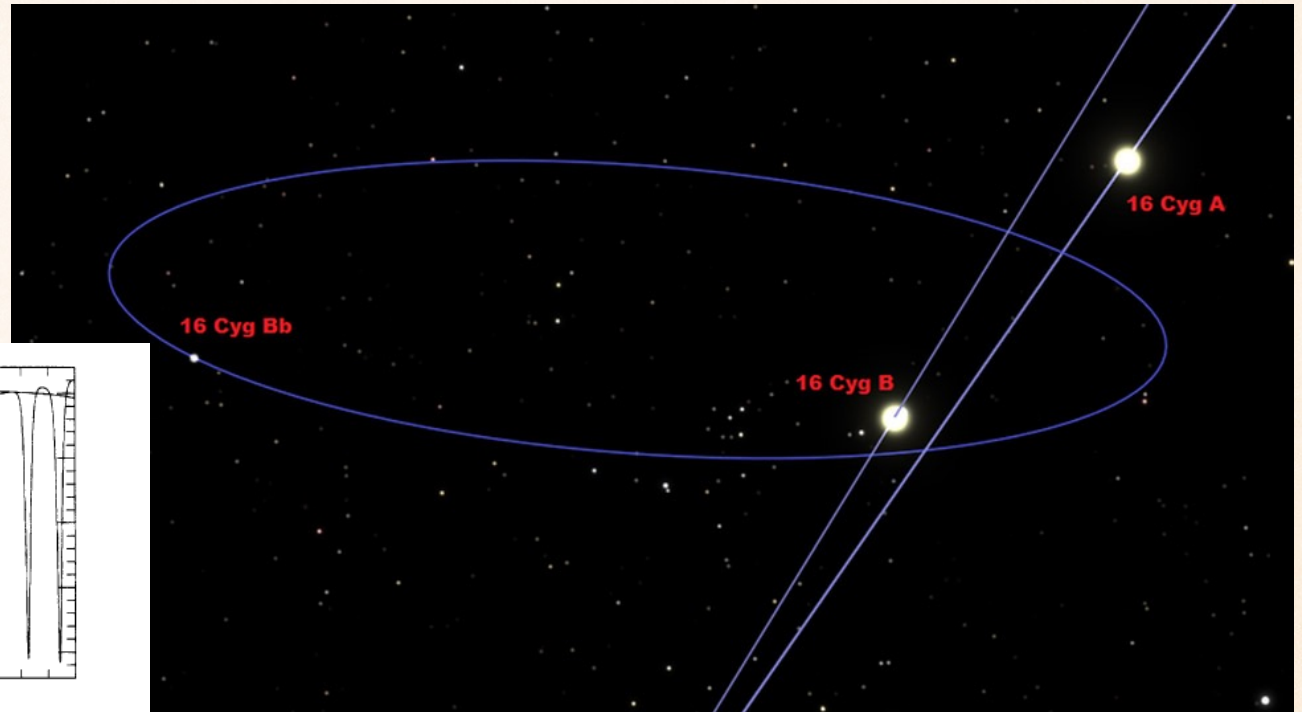
FORMATION OF HOT JUPITERS — OBSERVATIONAL EVIDENCES



16 Cygni Bb: $e = 0.67$

Cochran et al. 1996

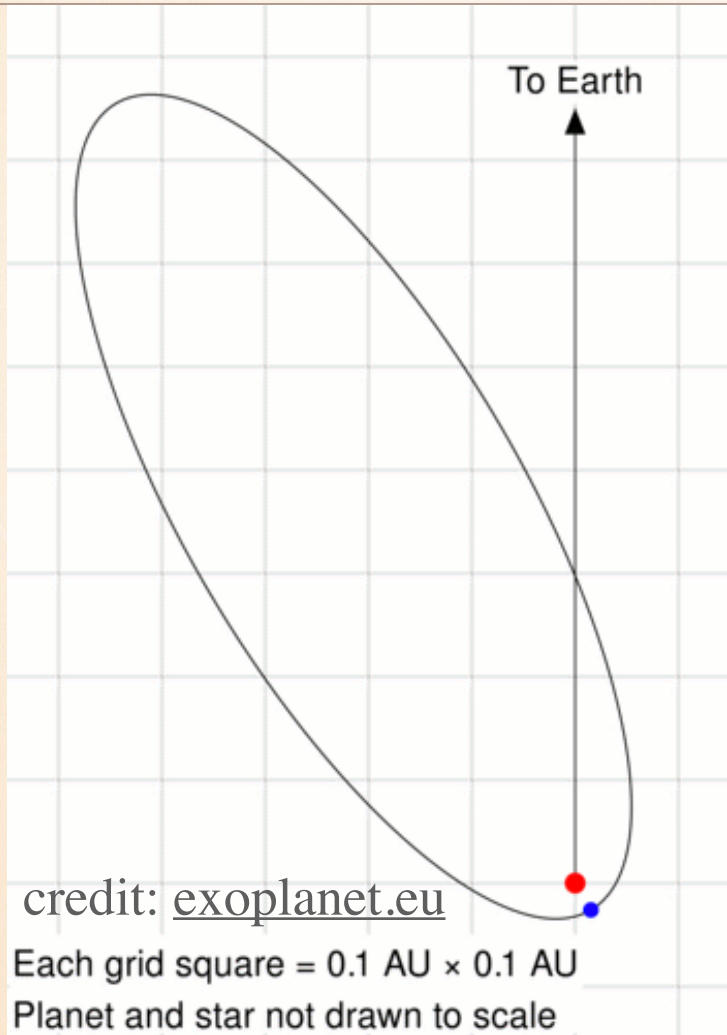
FORMATION OF HOT JUPITERS



16 Cygni Bb: $e = 0.67$, can be produced by Lidov-Kozai mechanism

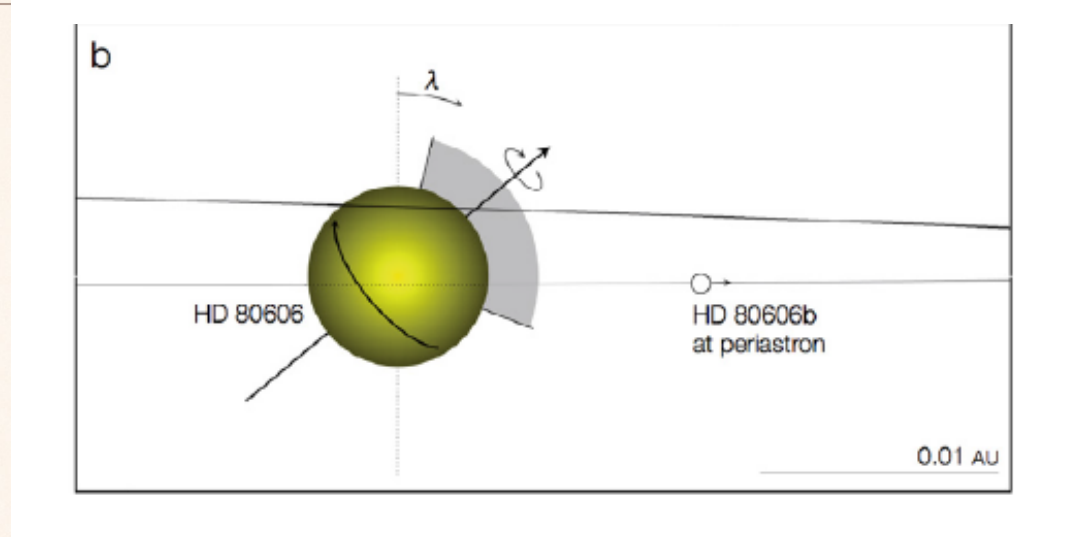
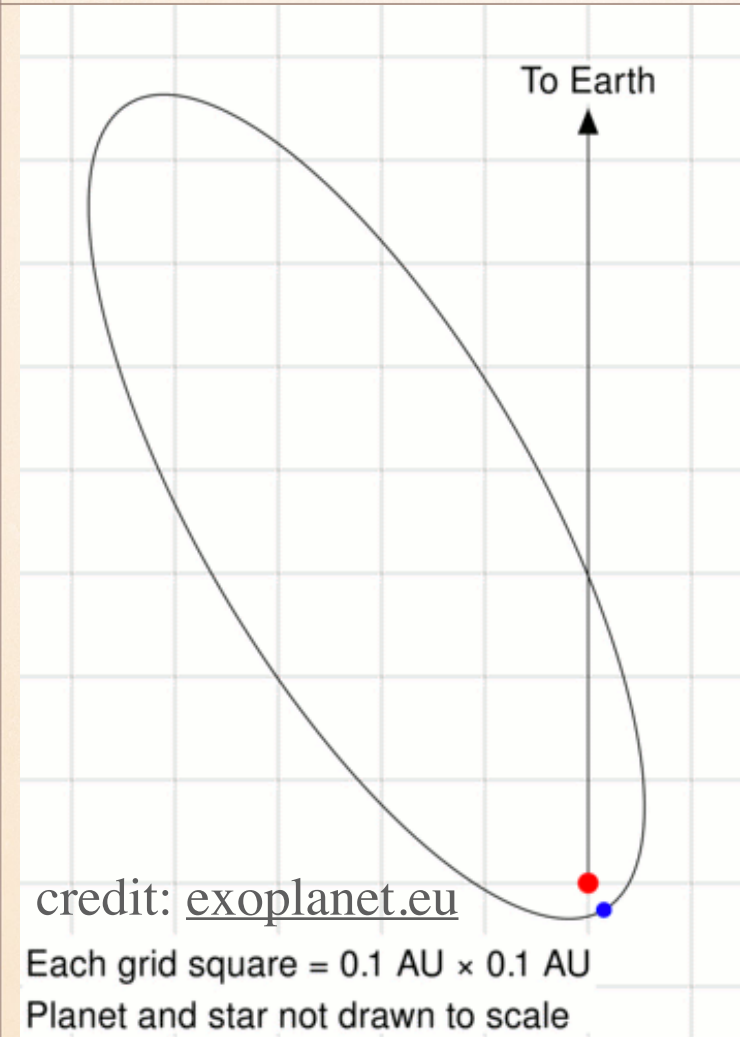
Holman et al. 1997

FORMATION OF HOT JUPITERS



Naef et al. 2001

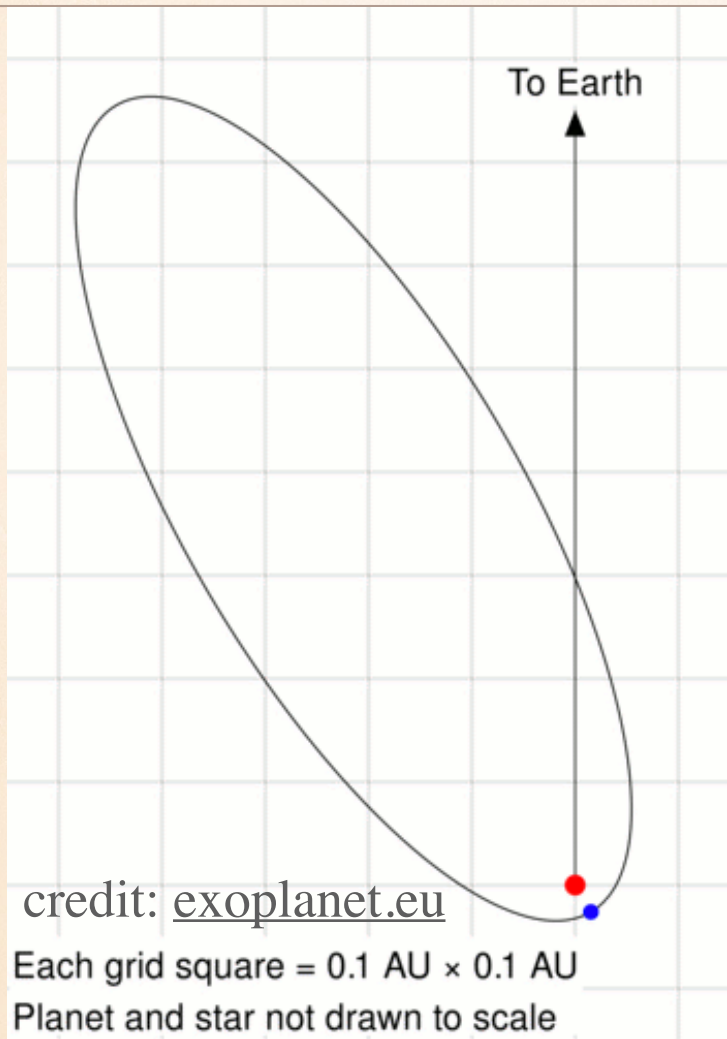
FORMATION OF HOT JUPITERS



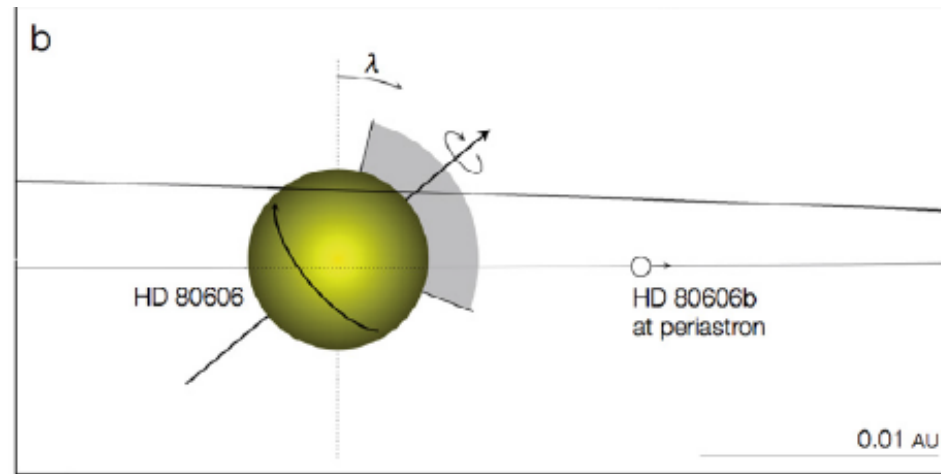
Pont et al. 2009

Naef et al. 2001

FORMATION OF HOT JUPITERS



Naef et al. 2001



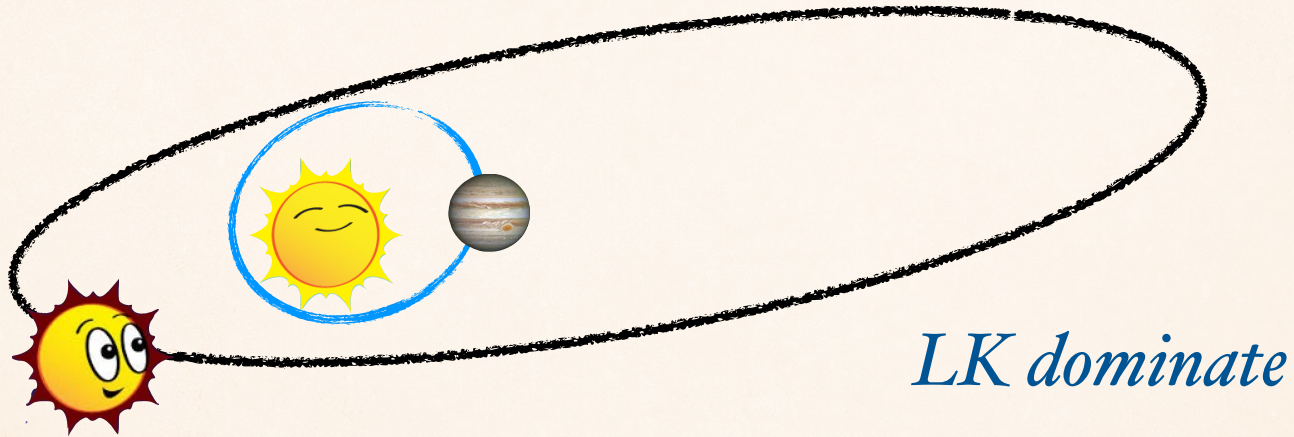
Pont et al. 2009

HD80606b: $e = 0.93$, can be produced
by Lidov-Kozai mechanism

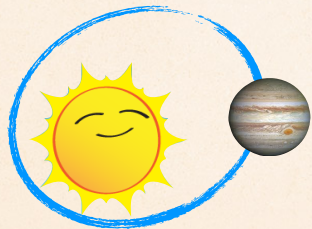
Wu & Murray 2003

FRIENDS OF HOT JUPITERS

Existence an outer companion?



or

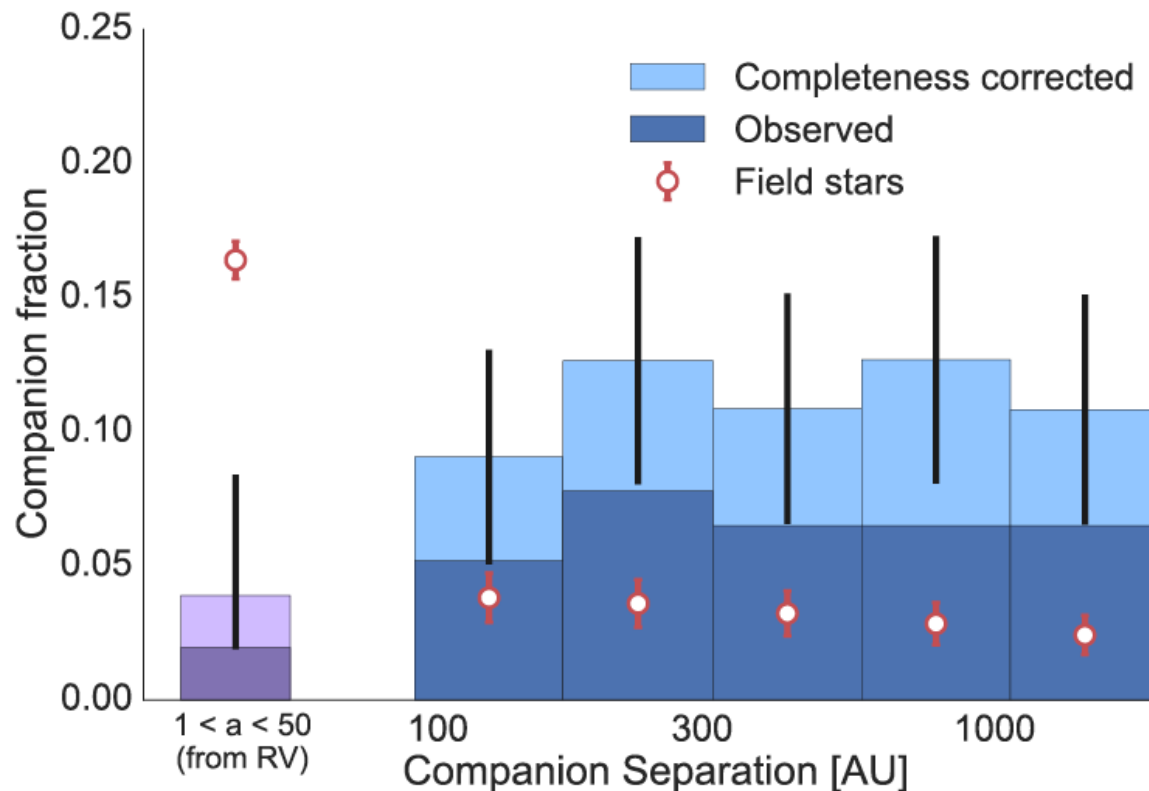


LK not dominate

FRIENDS OF HOT JUPITERS

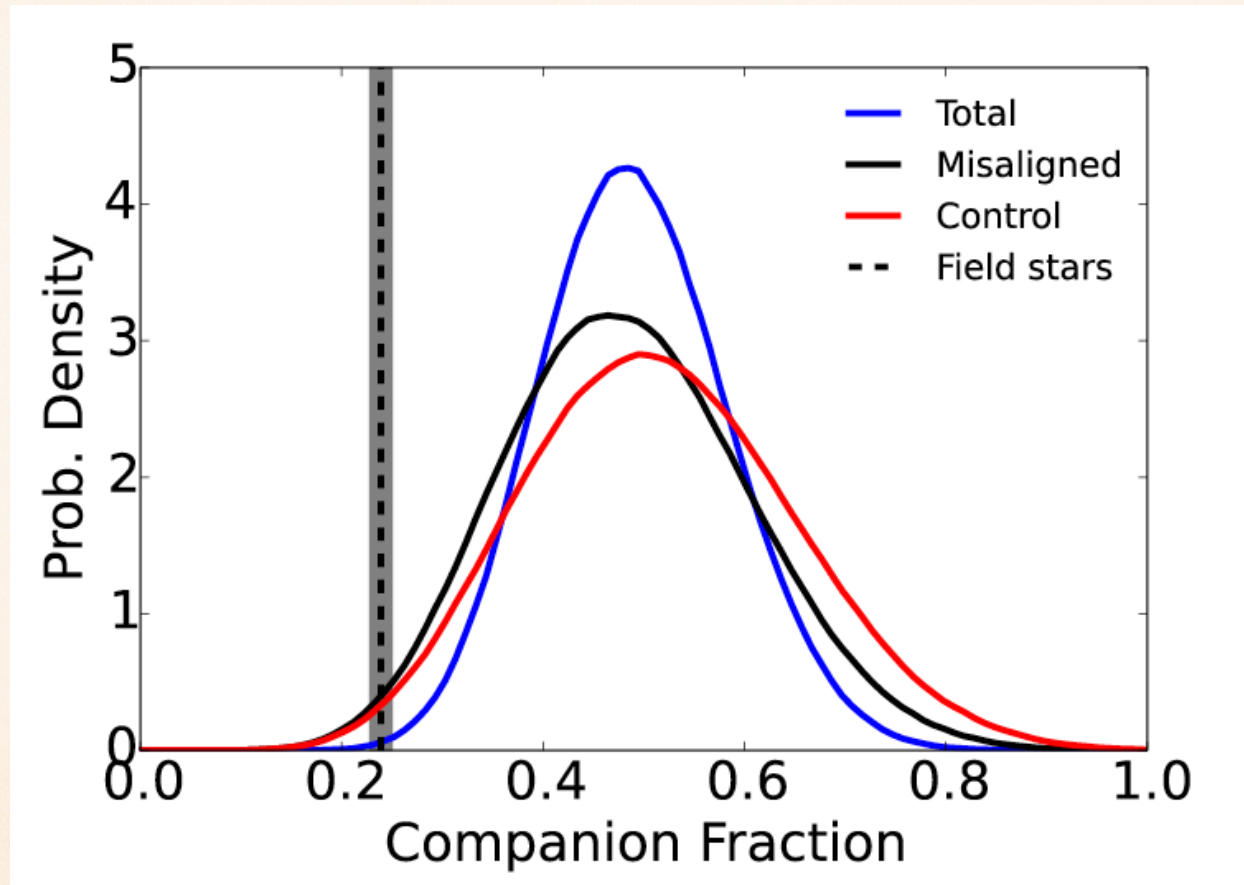
$47\% \pm 7\%$ of hot Jupiter have stellar companions with a b.t. 50-2000 AU based on 77 transiting hot Jupiters

Ngo et al. 2016



$< 16\% \pm 5\%$ systems formed via Lidov-Kozai oscillations

FRIENDS OF HOT JUPITERS



No correlation between misaligned/eccentric hot Jupiter systems and the incidence of stellar companions based on 27 misaligned/eccentric HJs

Ngo et al. 2015

EXAMPLES --- 2. EFFECTS ON STARS
SURROUNDING SMBHB

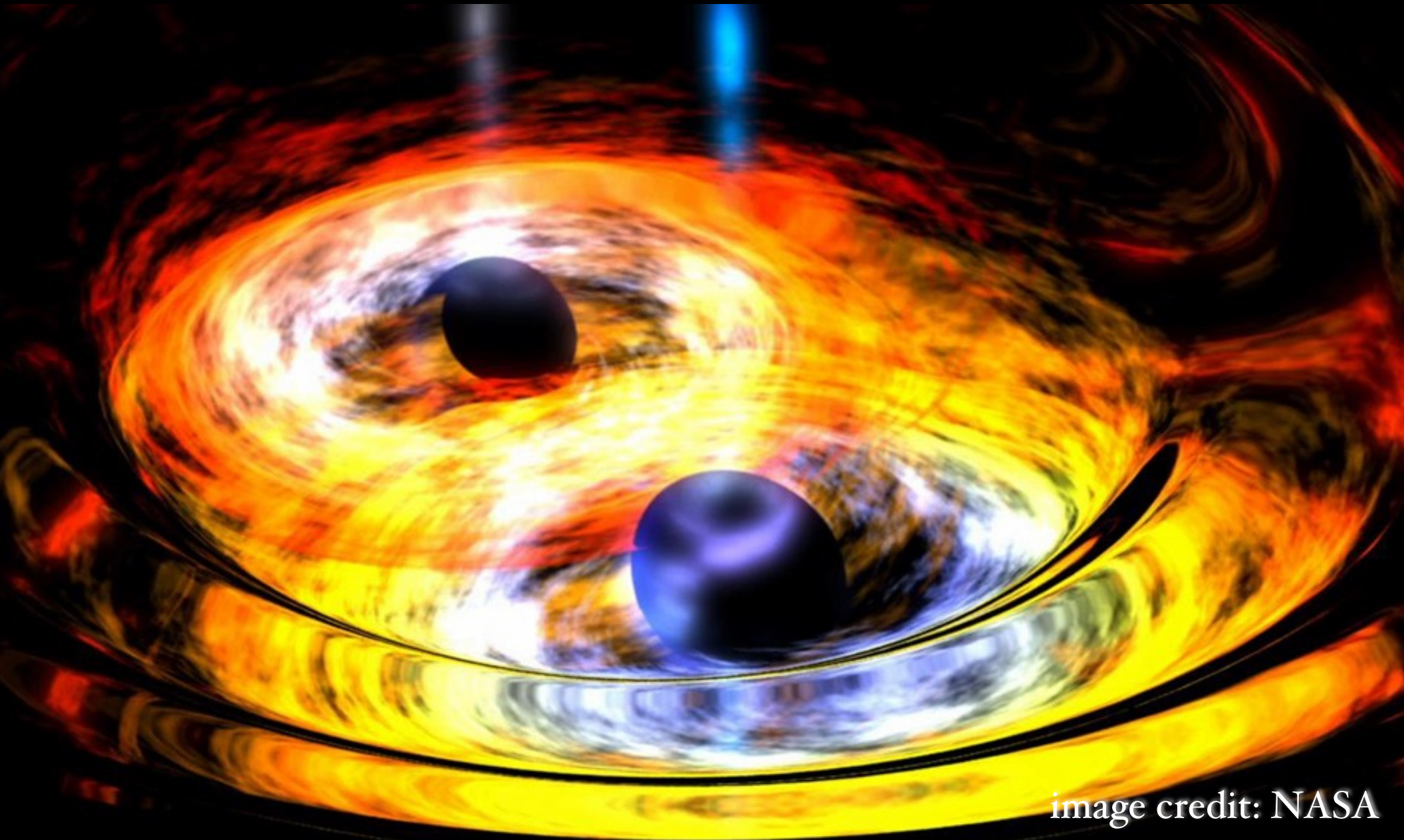
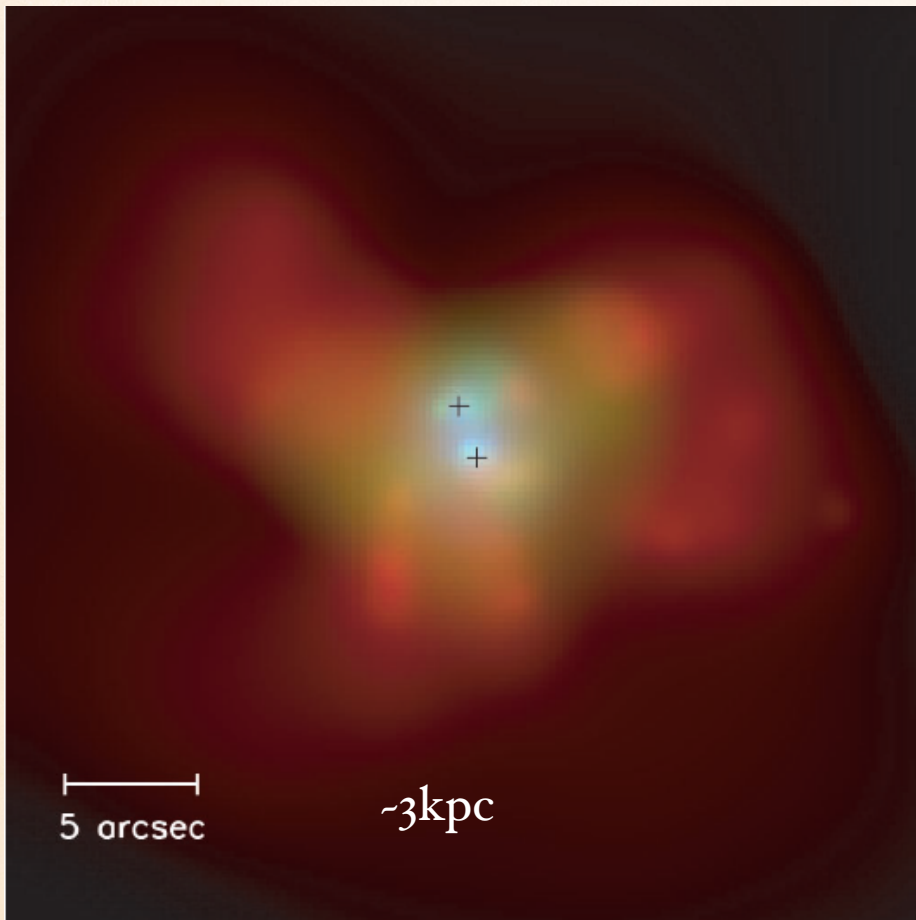


image credit: NASA

EXAMPLES --- 2. EFFECTS ON STARS SURROUNDING SMBHB

- SMBHBs originate from mergers between galaxies.



- SMBHBs with mostly \sim kpc separation have been observed with direct image.

(e.g., Woo et al. 2014; Komossa et al. 2013, Fabbiano et al. 2011, Green et al. 2010, Civano et al. 2010, Rodriguez et al. 2006, Komossa et al. 2003, Hutchings & Neff 1989)

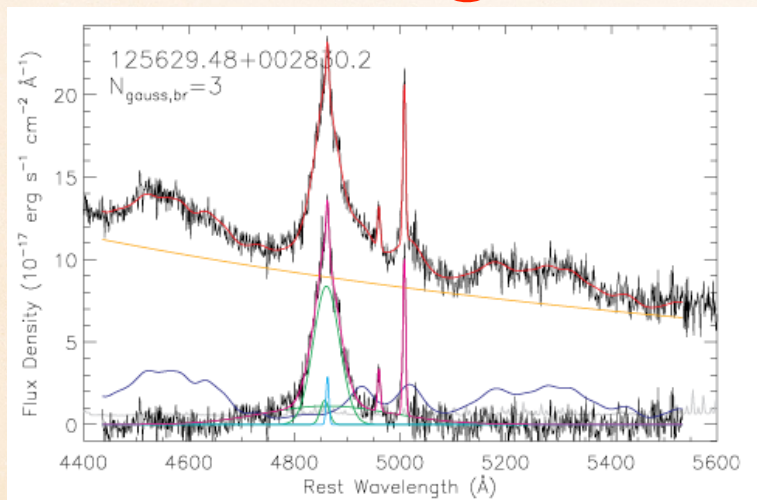
Multicolor image of NGC 6240. Red p soft (0.5–1.5 keV), green p medium (1.5–5 keV), and blue p hard (5–8 keV) X-ray band. (Komossa et al. 2003)

STARS SURROUNDING SMBHB

- At ~ 1 -pc separation it is more difficult to identify SMBHBs. SMBHBs can be observed with photometric and spectral features.

(e.g., Shen et al. 2013, Boroson & Lauer 2009, Valtonen et al. 2008, Loeb 2007)

Example of multi-epoch spectroscopy (Shen et al. 2013):



active BH dominates the BL features, multi-epoch BL features \Rightarrow binary orbital parameters

STARS SURROUNDING SMBHB

- At ~ 1 pc separation it is more difficult to identify SMBHBs. SMBHBs can be observed with photometric and spectral features.

(e.g., Shen et al. 2013, Boroson & Lauer 2009, Valtonen et al. 2008, Loeb 2007)

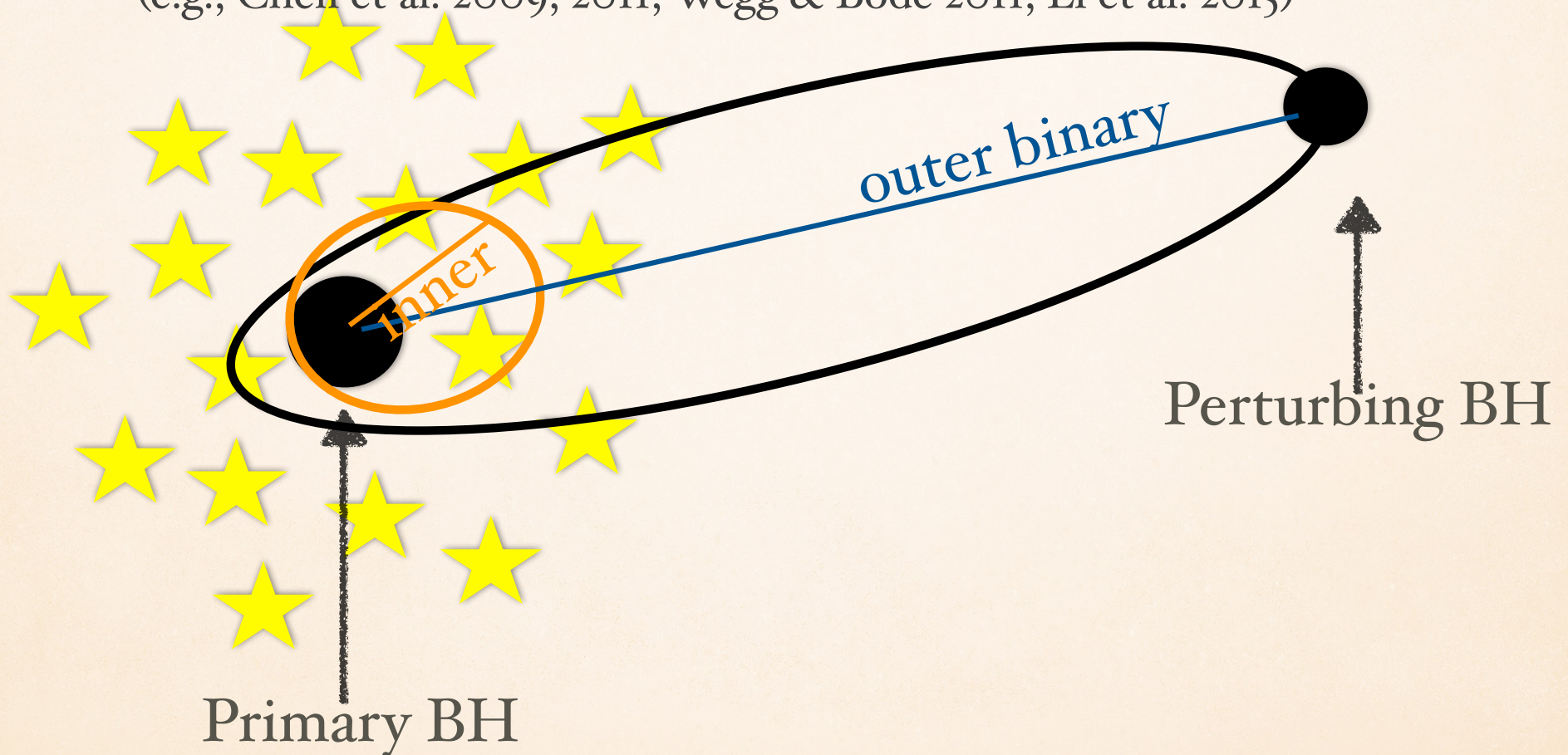
- Identify SMBHB at ~ 1 pc separation by stellar features due to interactions with SMBHB.

(e.g., Chen et al. 2009, 2011, Wegg & Bode 2011, Li et al. 2015)

PERTURBATIONS ON STARS SURROUNDING SMBHB

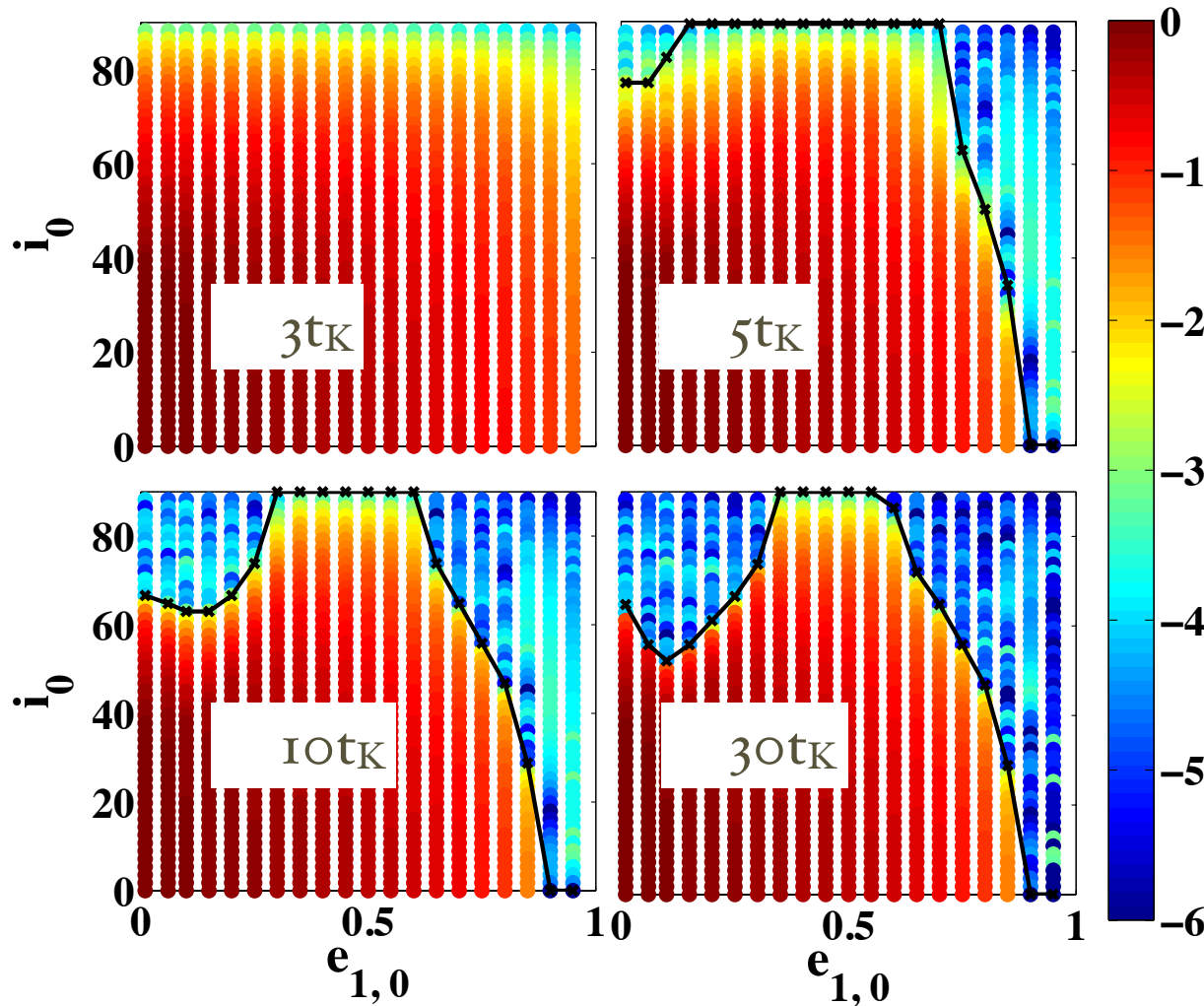
- Identify SMBHB at ~ 1 pc separation by stellar features due to interactions with SMBHB.

(e.g., Chen et al. 2009, 2011, Wegg & Bode 2011, Li et al. 2015)



ENHANCEMENT OF TIDAL DISRUPTION RATES

$\log[\min(1-e_1)], \omega = 0, \varepsilon = 0.03$



$e_{I, \max}$ determines the closest distance:

$$r_p \propto (1-e_I)$$

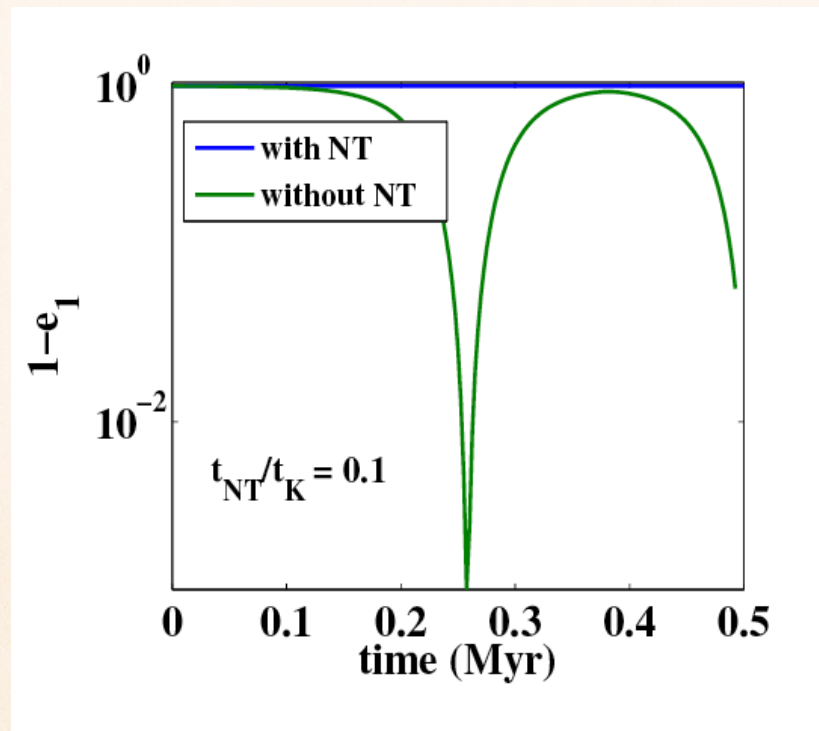
$$t_K = \frac{8}{3} P_{in} \frac{m_1}{m_2} \left(\frac{a_2}{a_1} \right)^3 (1-e_2^2)^{3/2}$$

e_{max} reaches $1-10^{-6}$ over $\sim 30t_K$ (\sim Myrs)

Starting at $a \sim 10^6 R_t$, it's still possible to be disrupted in $\sim 30t_K$!

SUPPRESSION OF ELK

- Eccentricity excitation suppressed when precession timescale $<$ Kozai timescale.

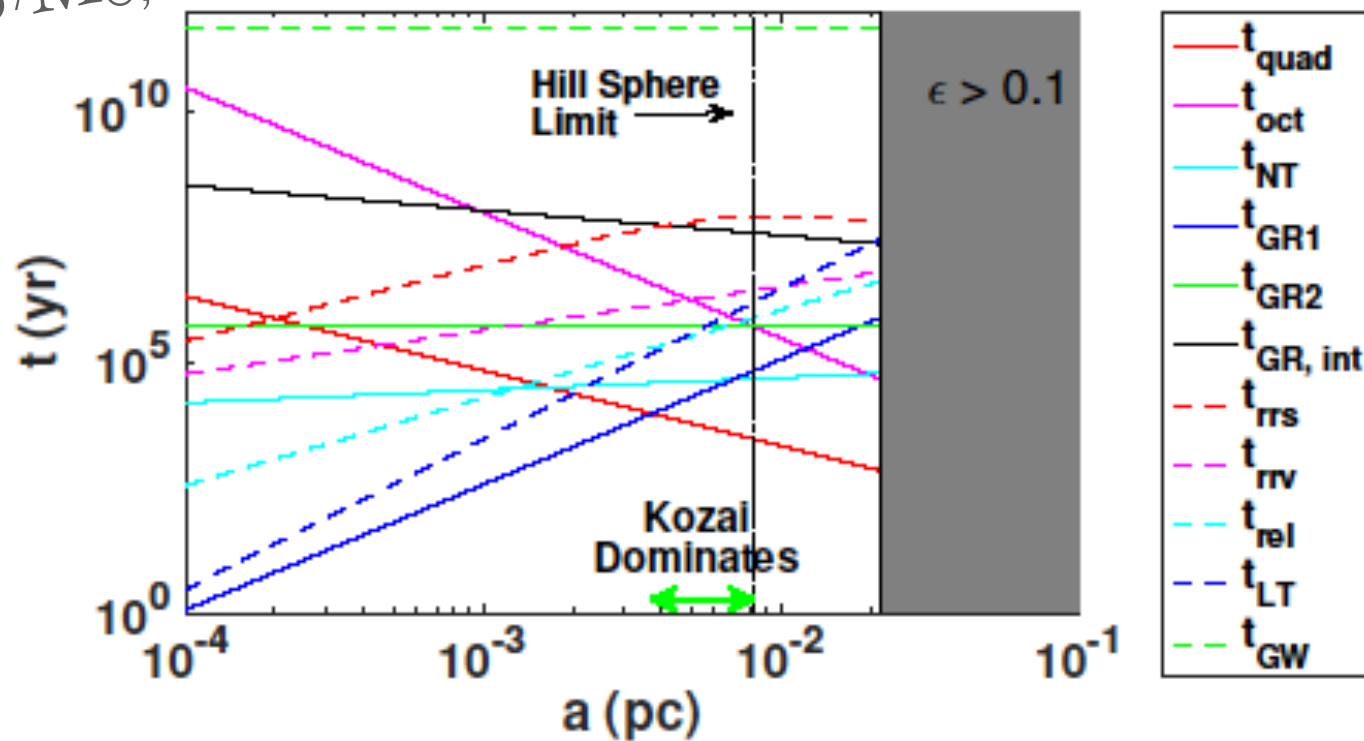


$$m_0 = 10^7 M_\odot, m_2 = 10^9 M_\odot, e_1 = 2/3, a_2 = 0.3 \text{ pc}, m_1 = 1 M_\odot, e_2 = 0.7. \quad (\text{Li et al. 2015})$$

SUPPRESSION OF ELK

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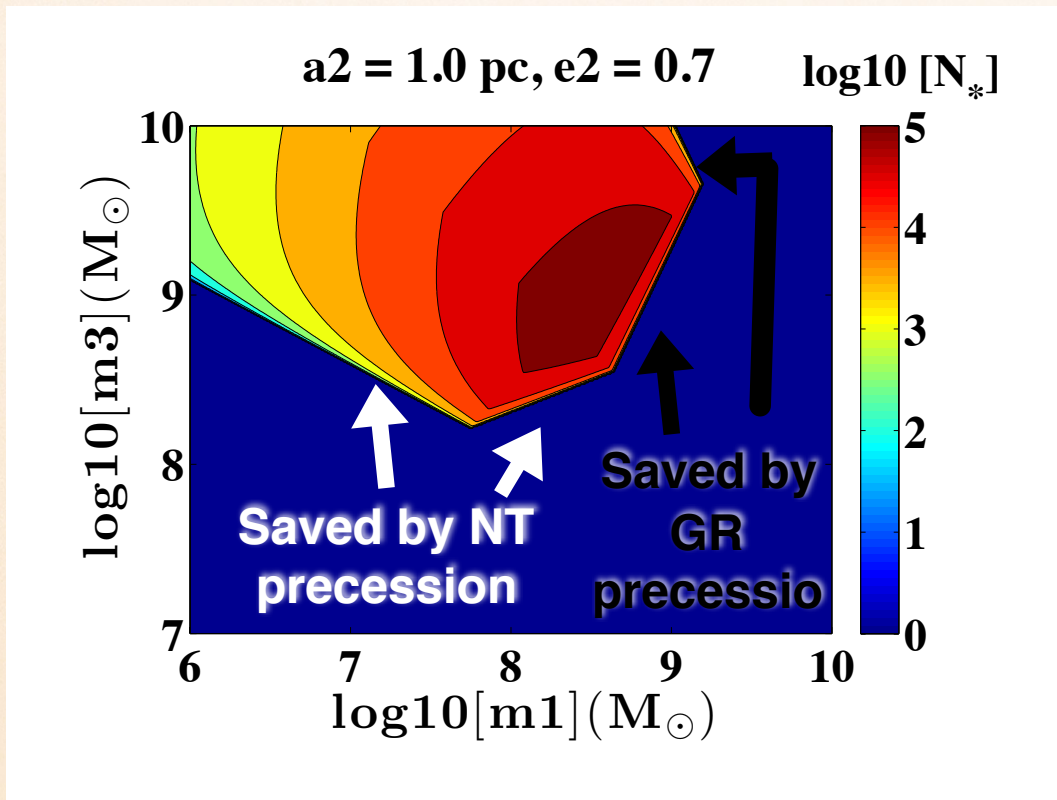


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(Li et al. 2015)

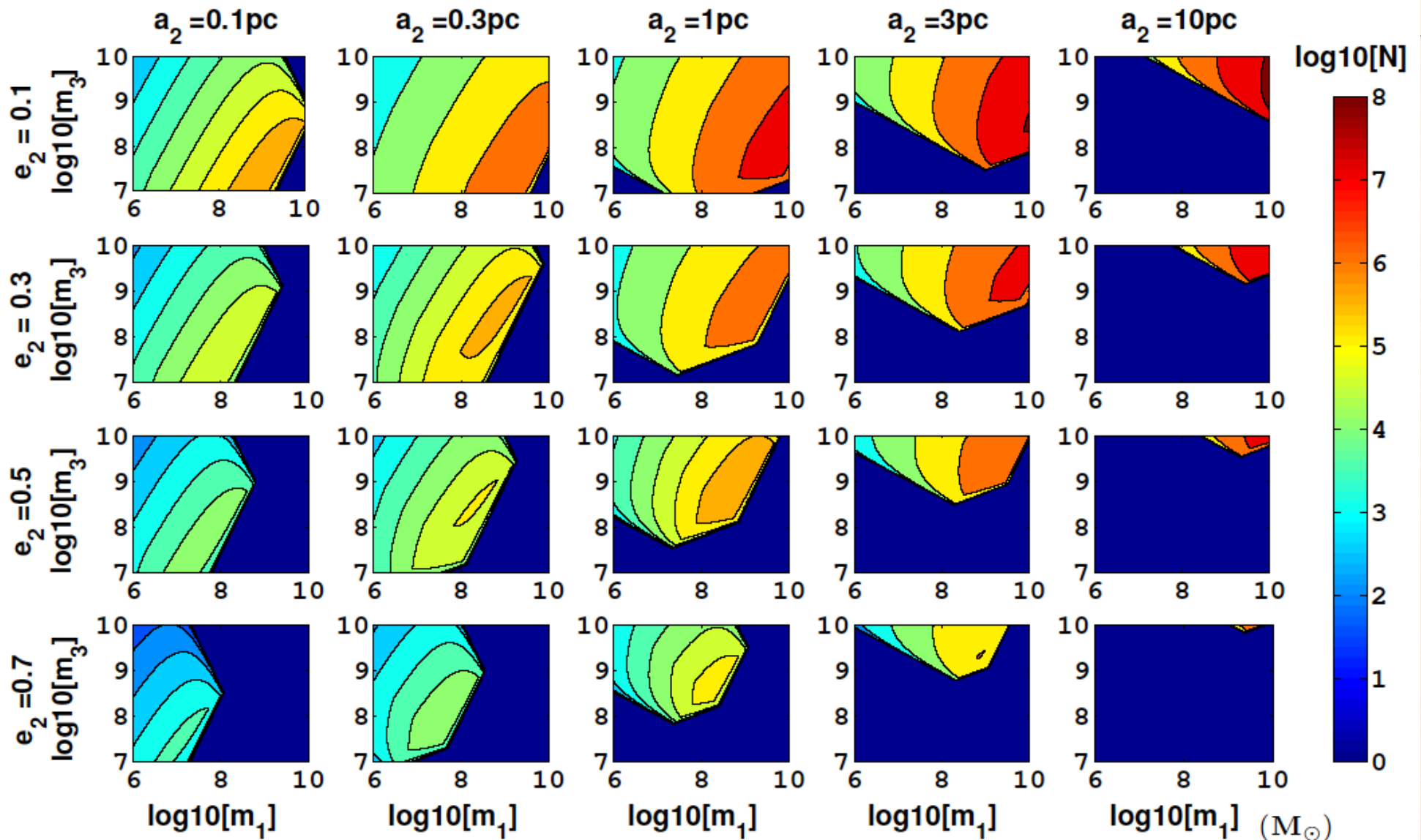
EXAMPLES --- 2. EFFECTS ON STARS SURROUNDING SMBHB

- Eccentricity excitation suppressed when precession timescale $<$ Kozai timescale.



- Kozai affects more stars when perturbing more massive SMBH.

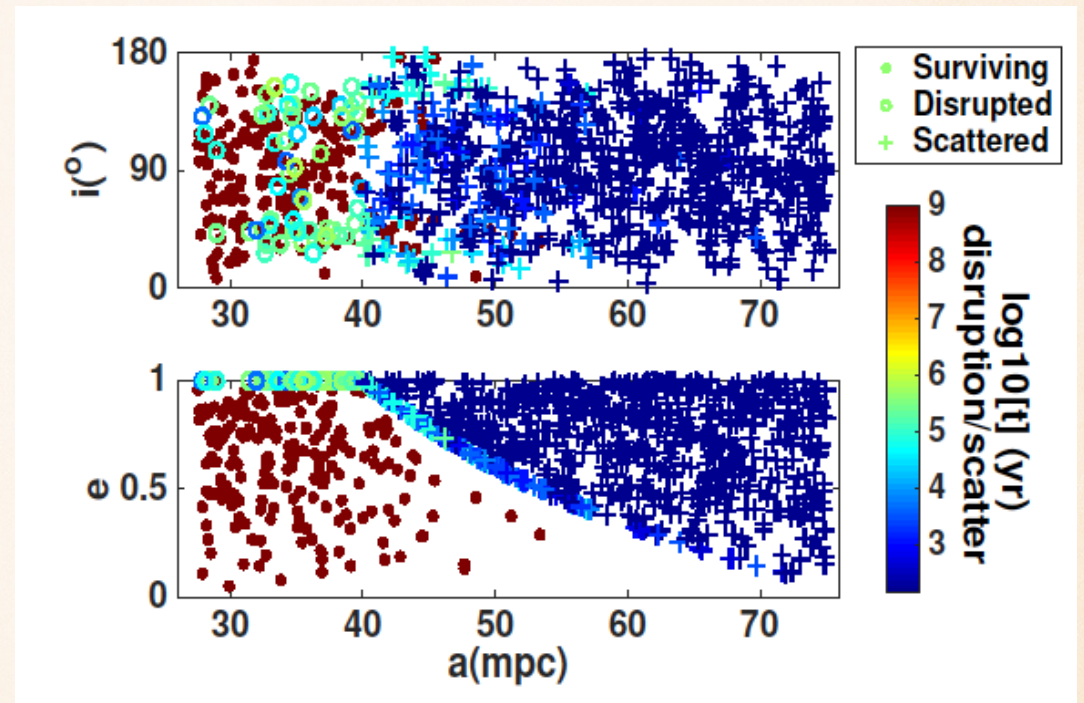
SUPPRESSION OF ELK



EXAMPLES --- 2. EFFECTS ON STARS SURROUNDING SMBHB

- 57/1000 disrupted; 726/1000 scattered.

=> Scattered stars may change the stellar density profile around the SMBH to the shape of a donut.



- Example: $m_1 = 10^7 M_\odot$, $m_2 = 10^8 M_\odot$, $a_2 = 0.5 \text{ pc}$, $e_2 = 0.5$, Run time: 1 Gyr.

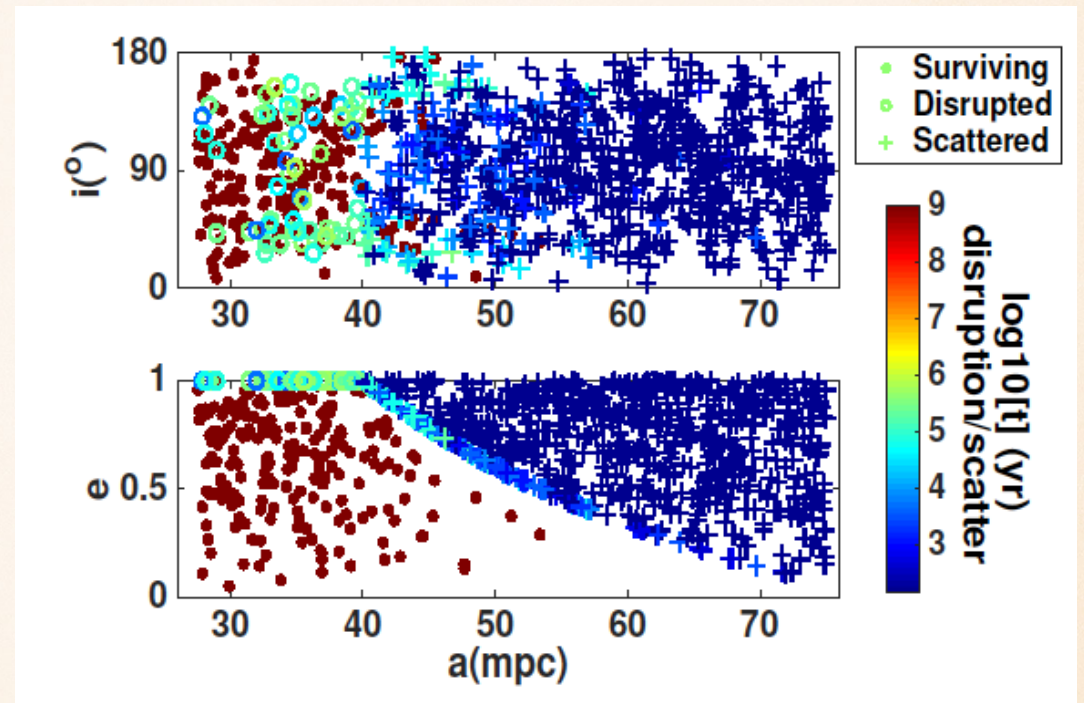
(Li et al. 2015)

EXAMPLES --- 2. EFFECTS ON STARS SURROUNDING SMBHB

- 57/1000 disrupted; 726/1000 scattered.

=> Scattered stars may change stellar density profile around the SMBH.

=> Disruption rate can reach $\sim 10^{-3}/\text{yr}$.



- Example: $m_1 = 10^7 M_\odot$, $m_2 = 10^8 M_\odot$, $a_2 = 0.5 \text{ pc}$, $e_2 = 0.5$, Run time: 1 Gyr.

(Li et al. 2015)

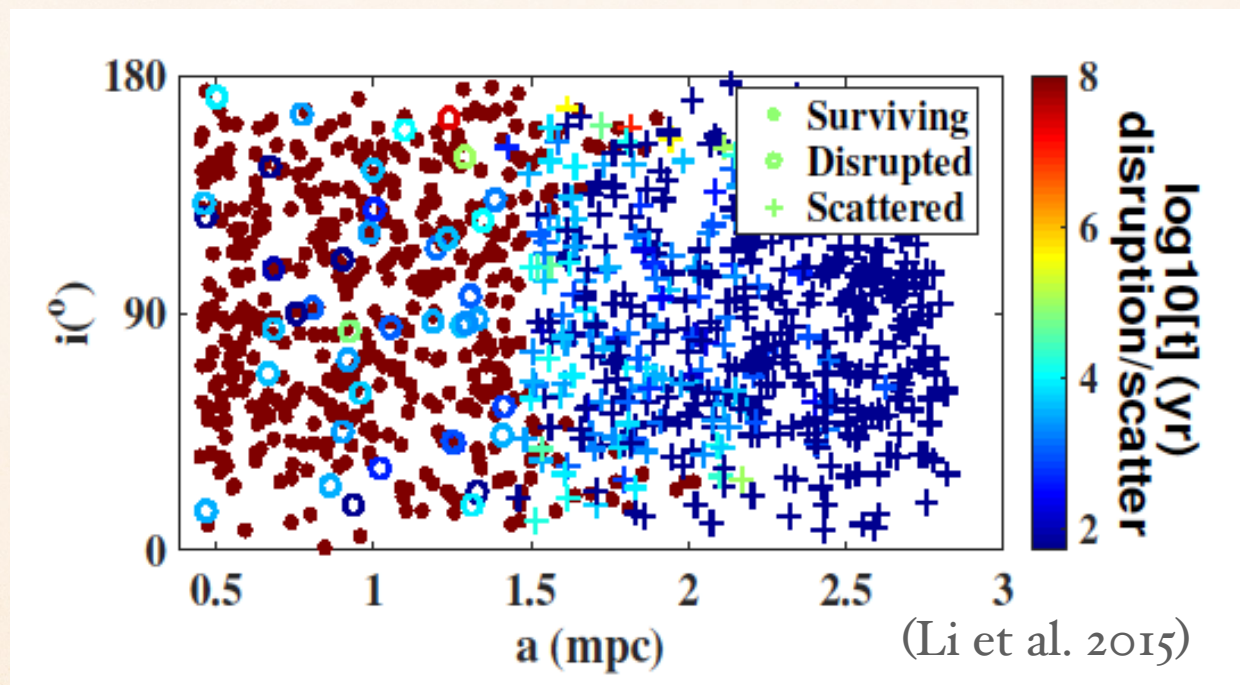
EFFECTS ON STARS SURROUNDING AN IMBH IN GC

- Example: $m_1 = 10^4 M_\odot$, $m_2 = 4 \times 10^6 M_\odot$, $a_2 = 0.1 \text{ pc}$, $e_2 = 0.7$ (Run time: 100 Myr)



EFFECTS ON STARS SURROUNDING AN IMBH IN GC

- Example: $m_1 = 10^4 M_\odot$, $m_2 = 4 \times 10^6 M_\odot$, $a_2 = 0.1 \text{ pc}$, $e_2 = 0.7$ (Run time: 100 Myr)



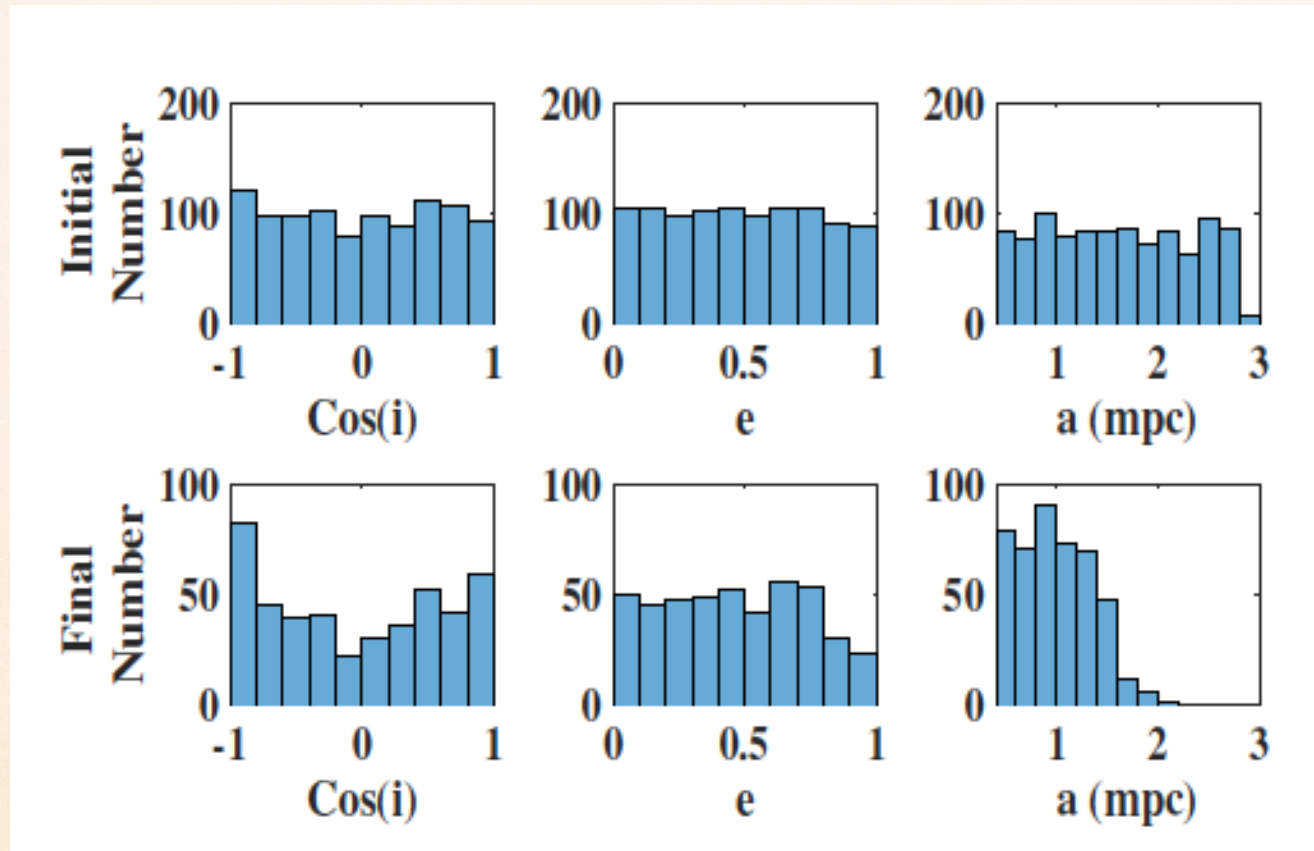
- 40/1000 disrupted; 500/1000 scattered.

\Rightarrow $\sim 50\%$ stars survived.

\Rightarrow Disruption rate can reach $\sim 10^{-4}/\text{yr}$.

EFFECTS ON STARS SURROUNDING AN IMBH IN GC

- Example: $m_1 = 10^4 M_\odot$, $m_2 = 4 \times 10^6 M_\odot$, $a_2 = 0.1 \text{ pc}$, $e_2 = 0.7$, $\alpha = 1.75$ (Run time: 100 Myr)



(Li et al. 2015)

CONCLUSION

- Perturbation of the outer object can produce flips of the inner orbit and excite inner orbit eccentricity
- Under tidal dissipation, the perturbation of a farther companion can produce misaligned hot Jupiters
- Perturbation of a SMBH may enhance the tidal disruption rate of stars.

THANK YOU!



Systematic Study of the Parameter Space

- Identify the resonances and the chaotic region.
- Characterize the parameter space that give rise to the interesting behaviors --- eccentricity excitation and orbital flips.

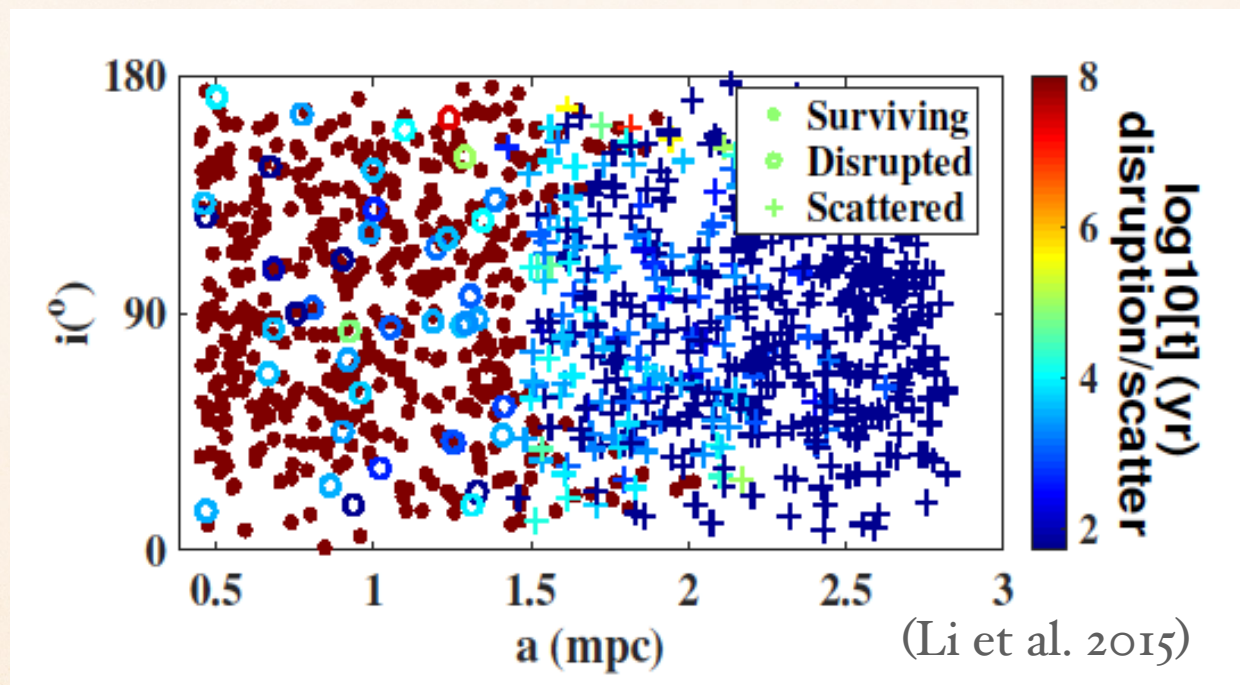
EFFECTS ON STARS SURROUNDING AN IMBH IN GC

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EFFECTS ON STARS SURROUNDING AN IMBH IN GC

- Example: $m_1 = 10^4 M_\odot$, $m_2 = 4 \times 10^6 M_\odot$, $a_2 = 0.1 \text{ pc}$, $e_2 = 0.7$ (Run time: 100 Myr)



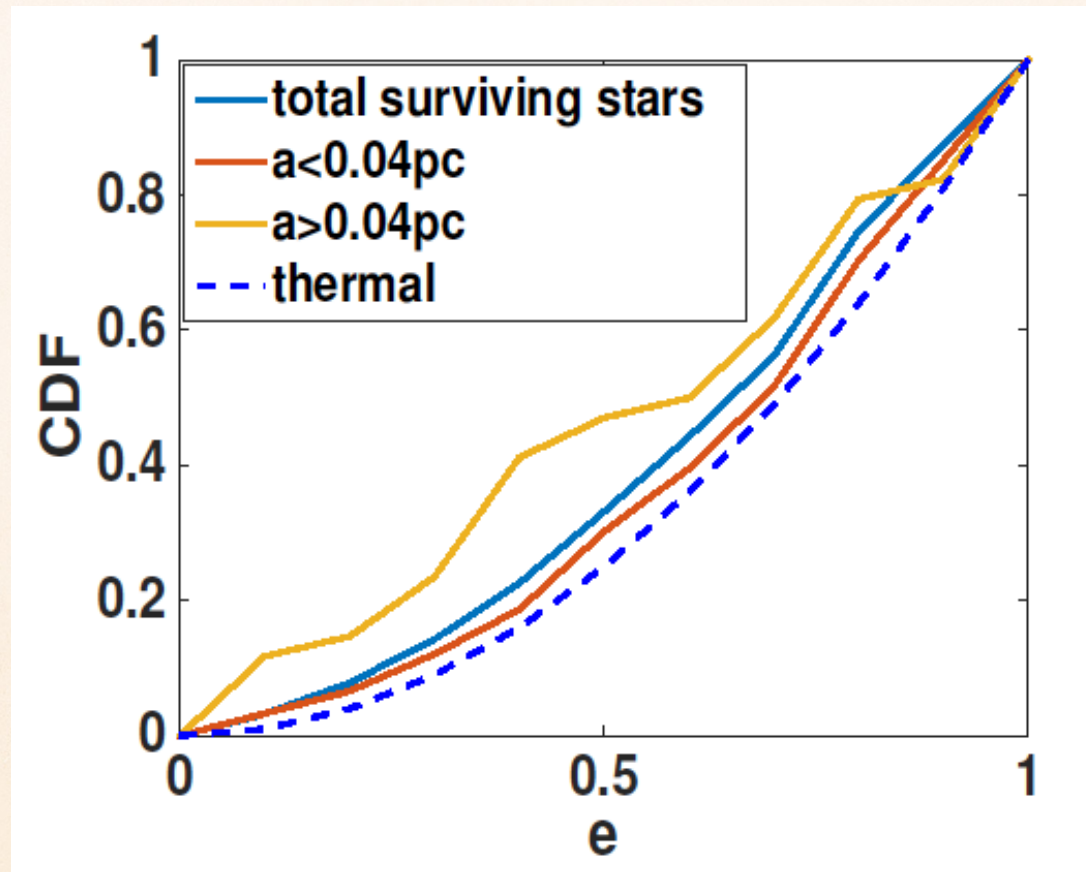
- 40/1000 disrupted; 500/1000 scattered.

=> ~50% stars survived.

=> Disruption rate can reach $\sim 10^{-4}/\text{yr}$.

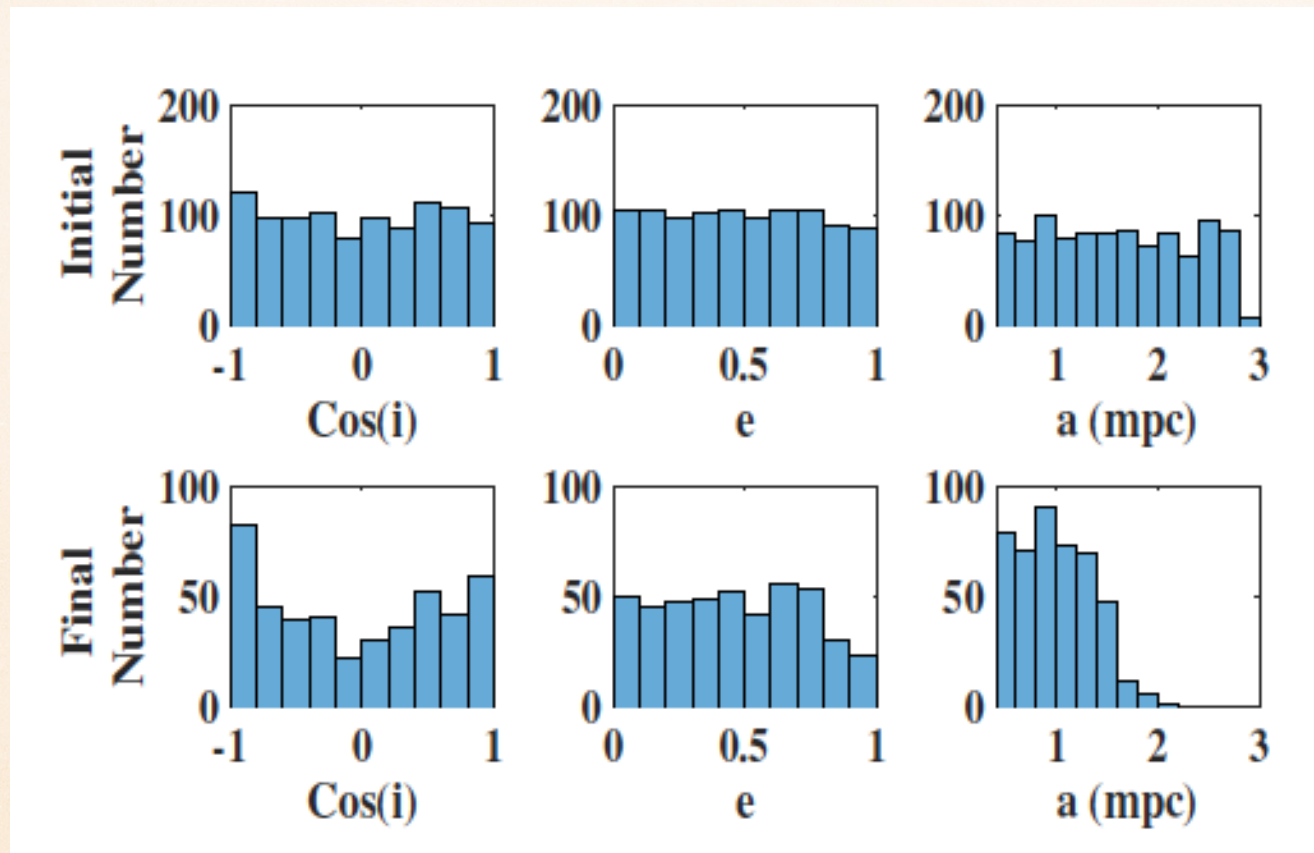
EFFECTS OF EKM ON STARS SURROUNDING BBH

- Example: $m_1 = 10^7 M_\odot$, $m_2 = 10^8 M_\odot$, $a_2 = 0.5 \text{ pc}$, $e_2 = 0.5$, $\alpha = 1.75$.
Run time: 1Gyr.



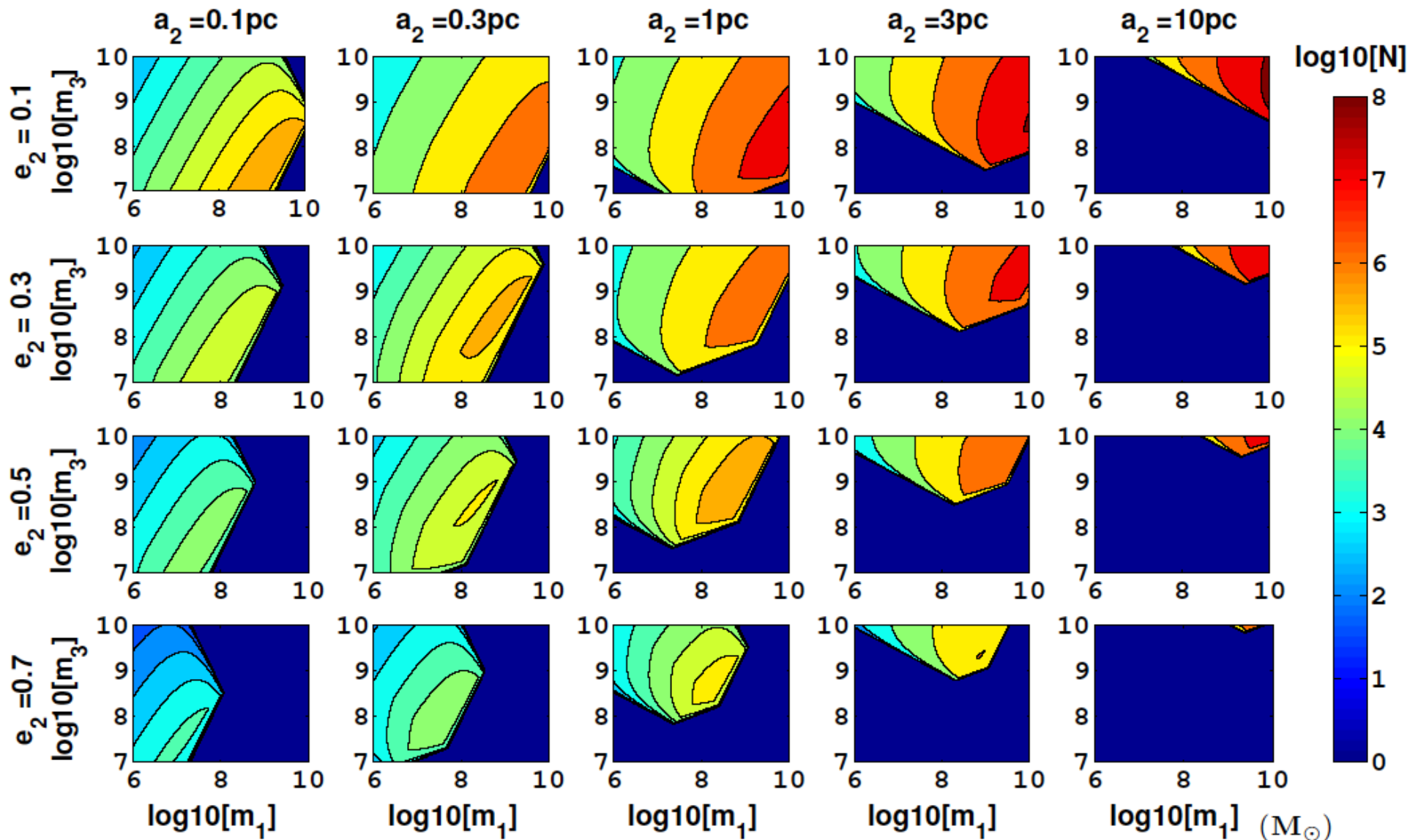
EFFECTS ON STARS SURROUNDING AN IMBH IN GC

- Example: $m_1 = 10^4 M_\odot$, $m_2 = 4 \times 10^6 M_\odot$, $a_2 = 0.1 \text{ pc}$, $e_2 = 0.7$, $\alpha = 1.75$ (Run time: 100Myr)

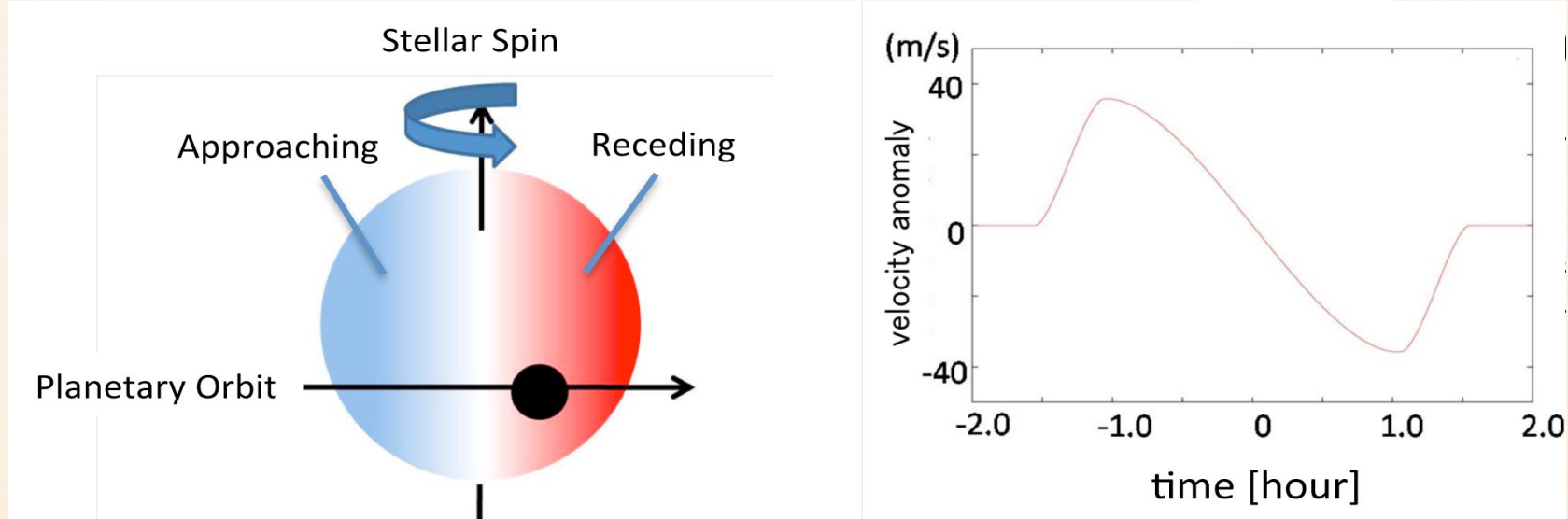


(Li et al. 2015)

SUPPRESSION OF ELK

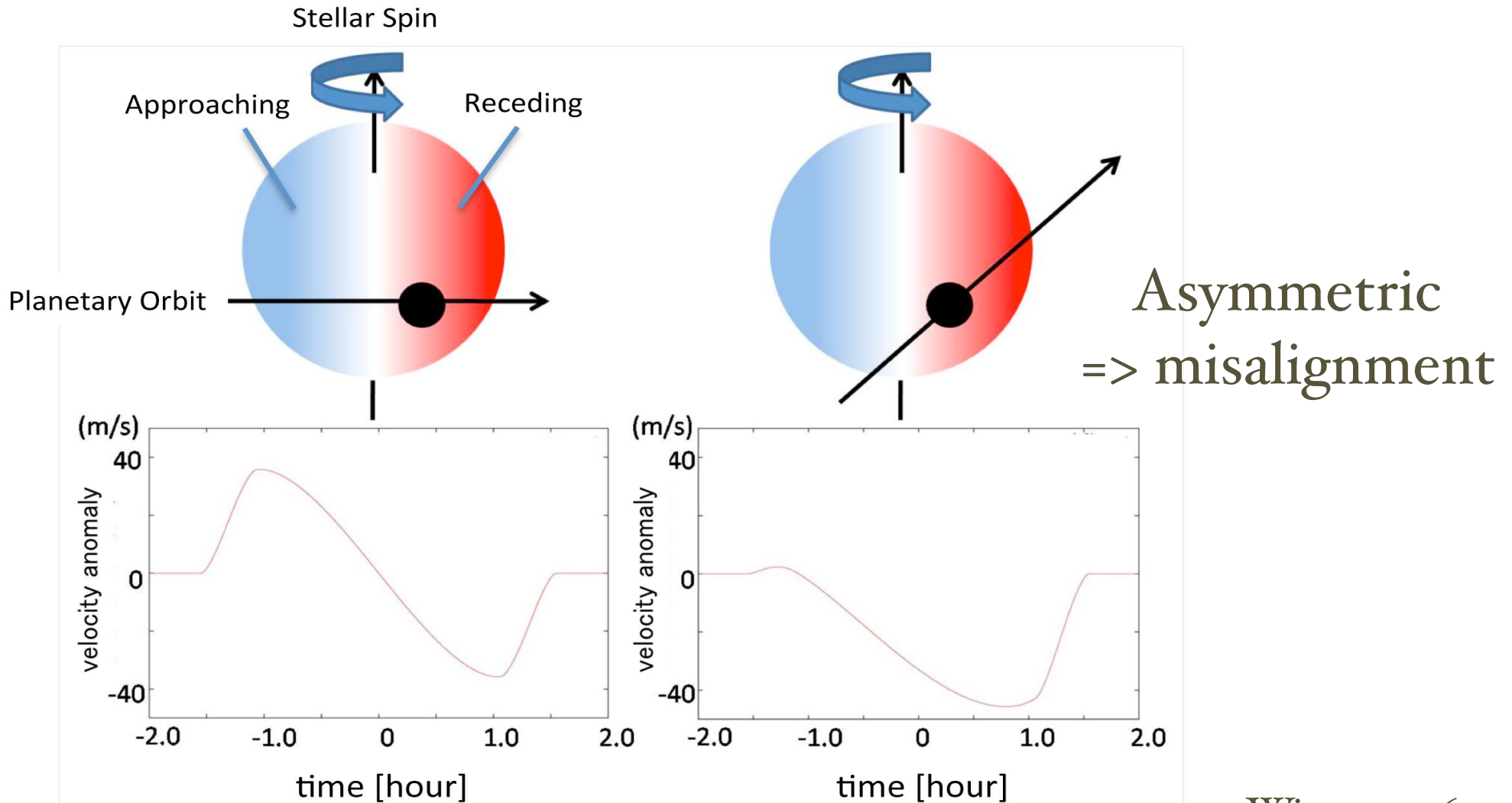


ROSSITER-MCLAUGHLIN METHOD (SPIN-ORBIT MISALIGNMENT)



e.g., Winn 2006

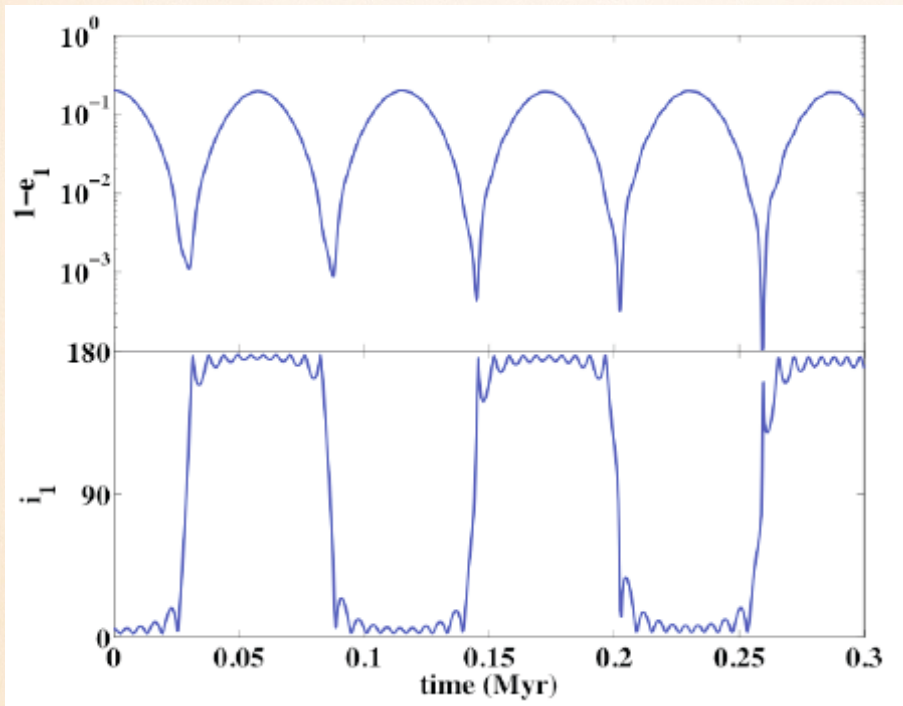
ROSSITER-MCLAUGHLIN METHOD (SPIN-ORBIT MISALIGNMENT)



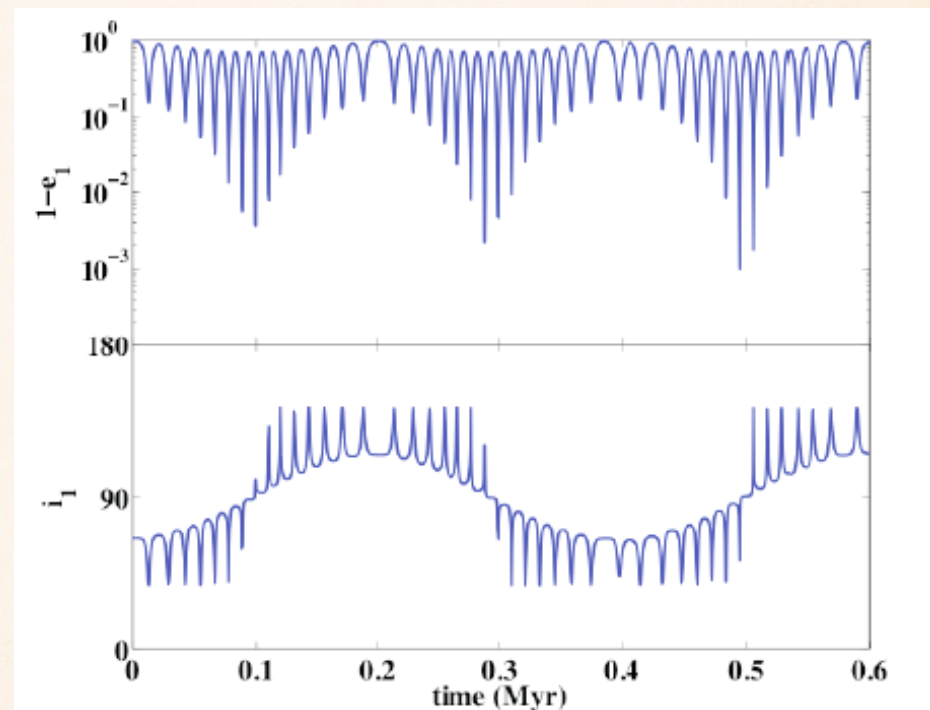
e.g., Winn 2006

DIFFERENCES BETWEEN HIGH/LOW I FLIP

Low inclination flip



High inclination flip



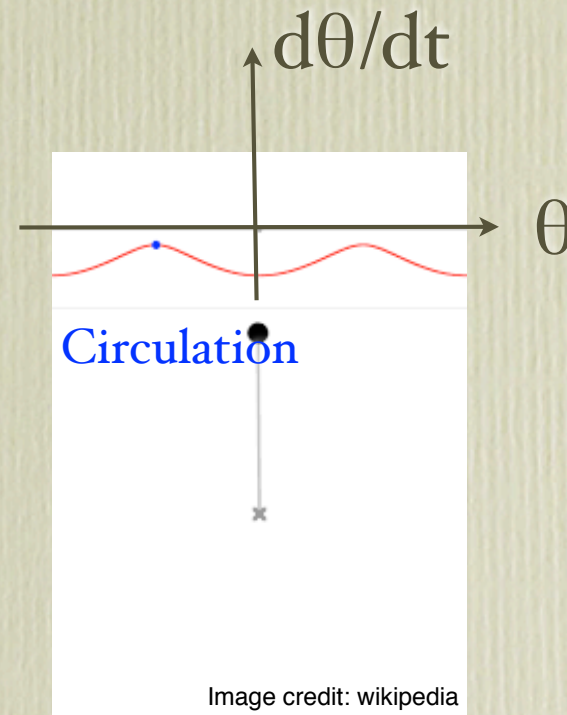
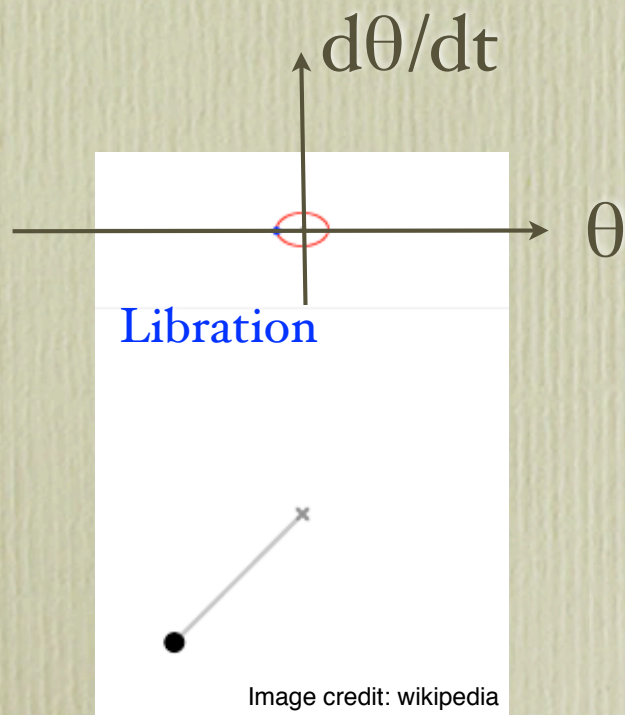
Low inclination flips:

- ▶ $e_I \uparrow$ monotonically, inclination stays low before flip.
- ▶ Flip occurs faster.

(Li et al. 2014a)

Resonances and Chaotic Regions

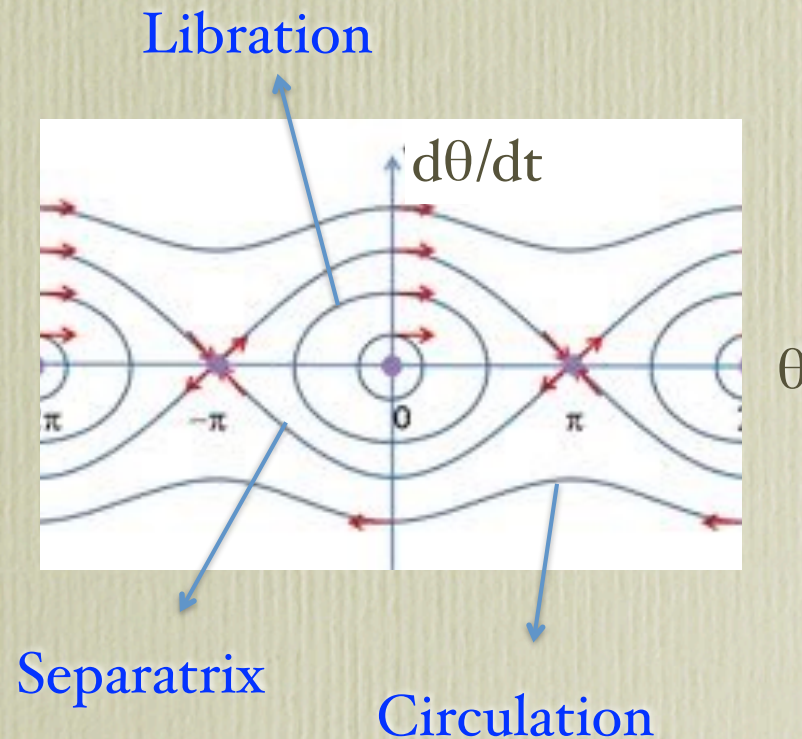
- The Hamiltonian H_{res} takes form of a pendulum.
- Two dynamical regions: libration region and circulation region.



Resonances and Chaotic Regions

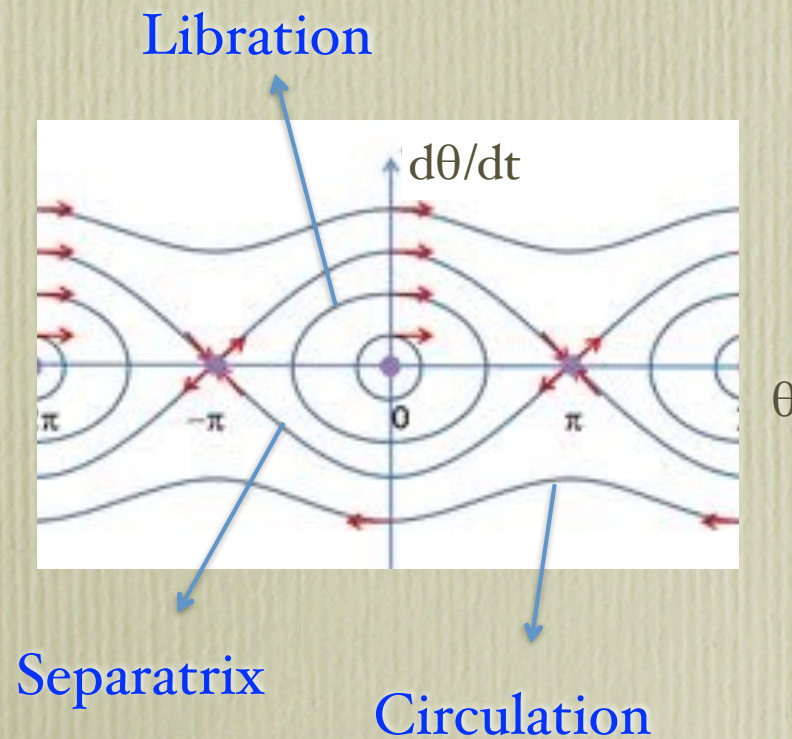
- The Hamiltonian H_{res} takes form of a pendulum.
- Two dynamical regions: libration region and circulation region, separated by separatrix.

Phase Diagram:

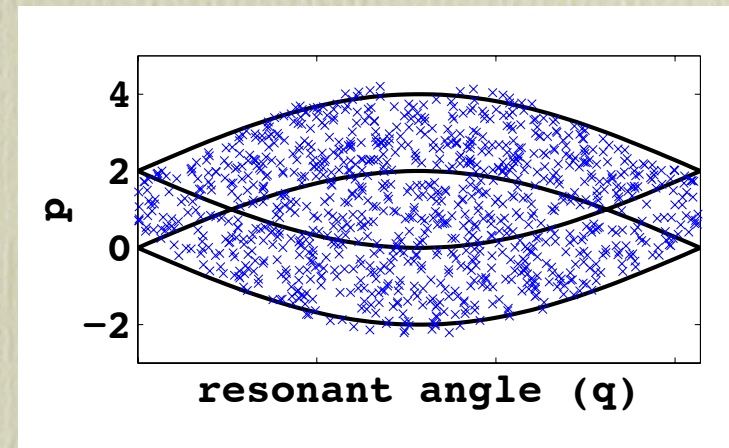


Resonances and Chaotic Regions

- The Hamiltonian H_{res} takes form of a pendulum.
- Two dynamical regions: libration region and circulation region, separated by separatrix.

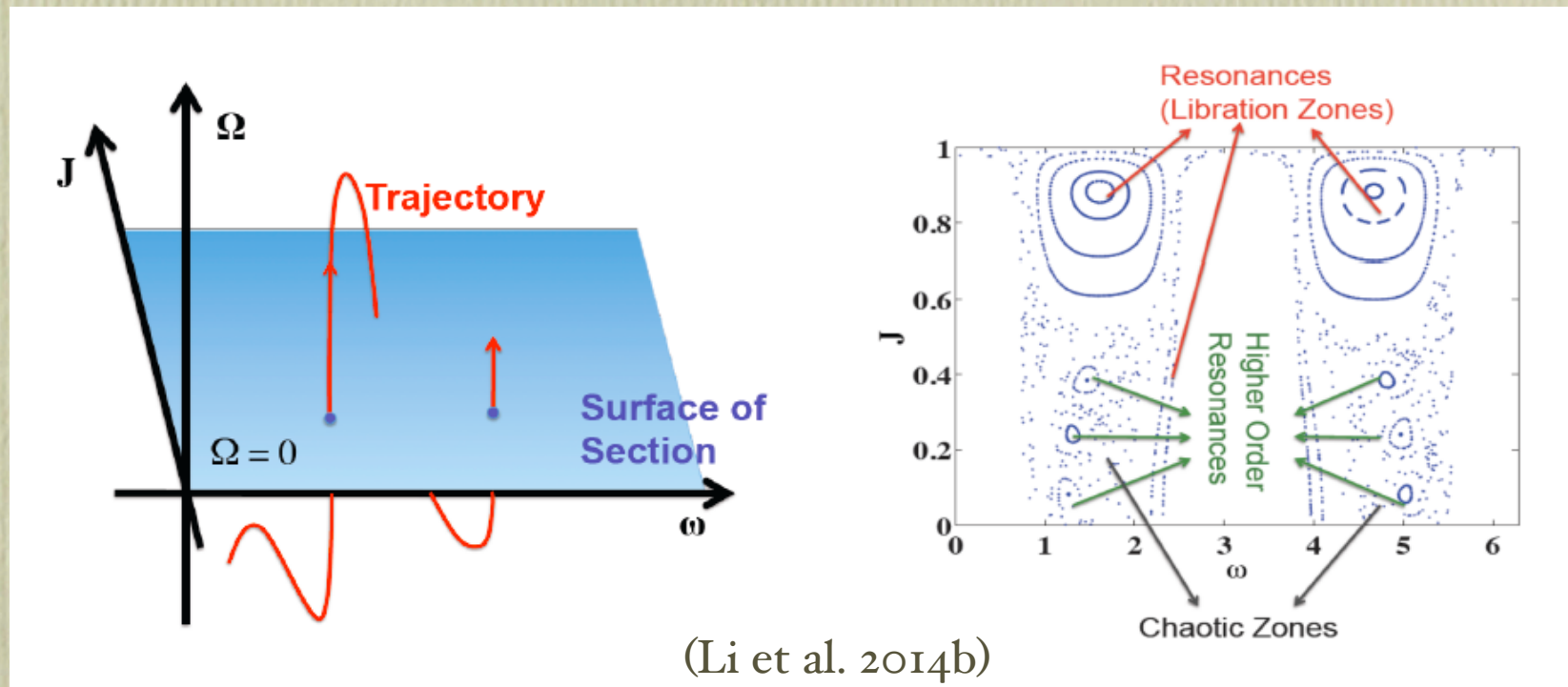


Overlap of resonances can cause chaos



Surface of Section

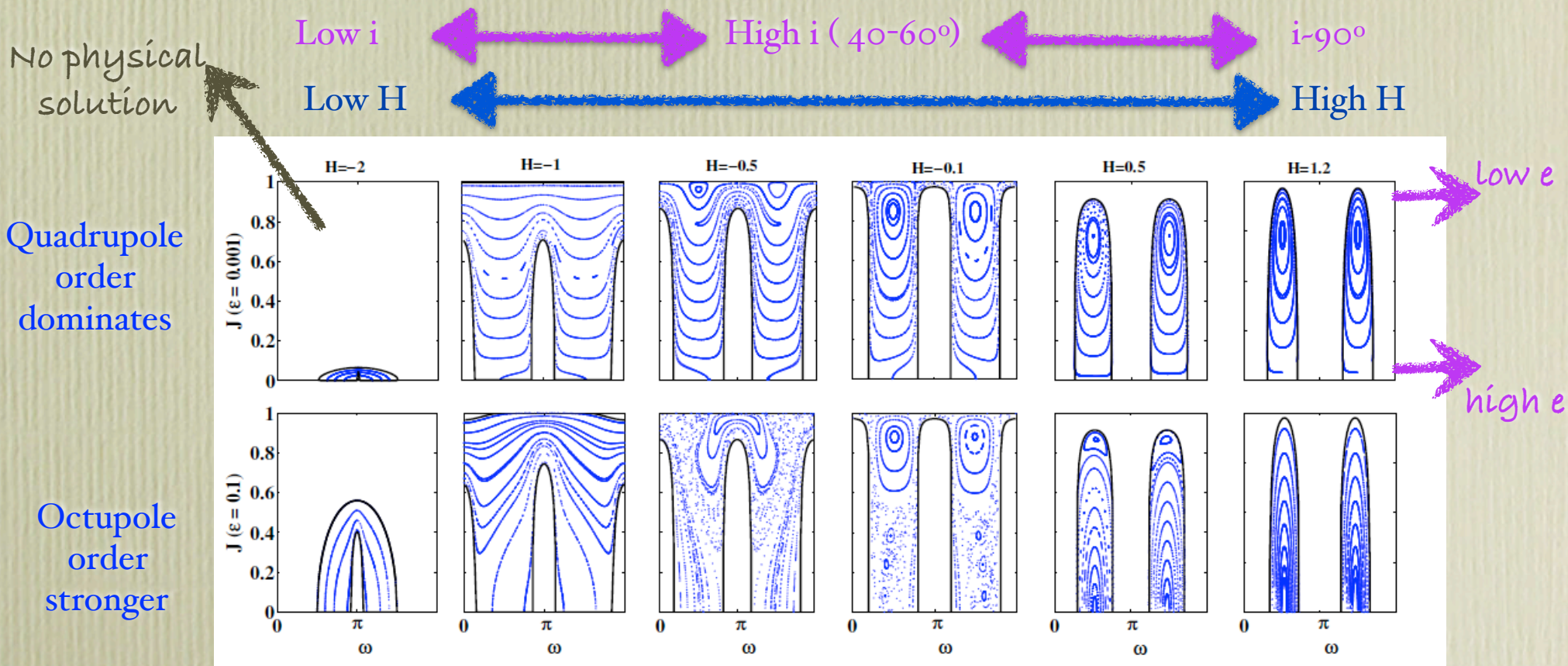
Example of a 2-degree freedom $H(J, \omega, J_z, \Omega)$



- **Resonant zones:** points fill 1-D lines.
trajectories are quasi-periodic.
- **Chaotic zones:** points fill a higher dimension.

Surface of Section

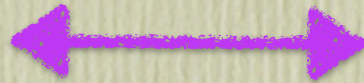
- Surface of section of hierarchical three-body problem in the test particle limit in the $J - \omega$ Plane.
- $J = \sqrt{1 - e_1^2}$ (specific angular momentum);
- ω : argument of periapsis



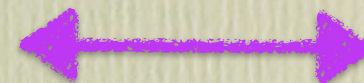
Surface of Section

Resonances exist for all surfaces:

Low i



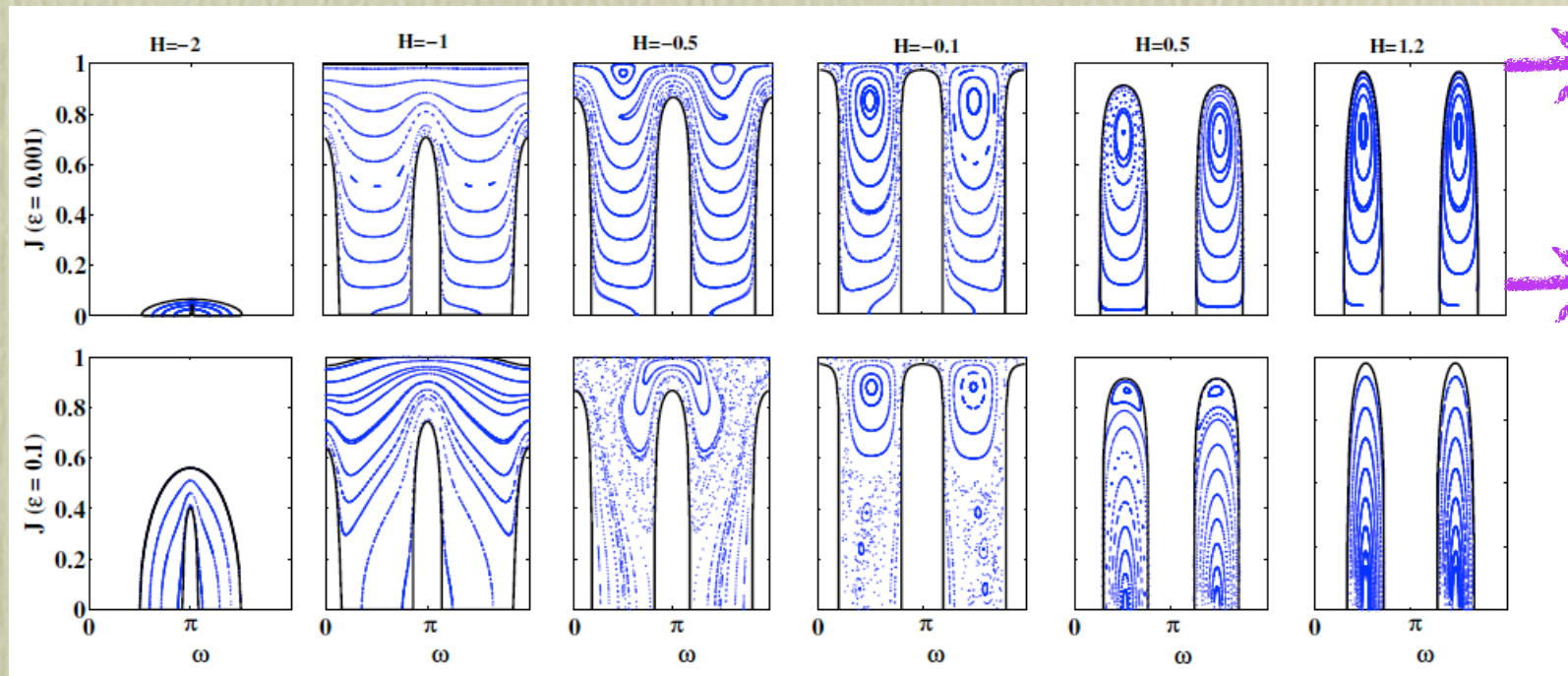
High i (40-60°)



$i=90^\circ$

Quadrupole
order
dominates

Octupole
order
stronger



low e

high e

Quadrupole resonances:

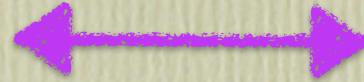
centers at low e_I , $\omega=\pi/2$ and $3\pi/2$ (e.g. *Kozai 1962*)

Octupole resonances:

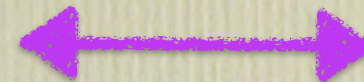
centers at high e_I , $\omega=\pi$ or $\pi/2$ and $3\pi/2$

Surface of Section

Low i

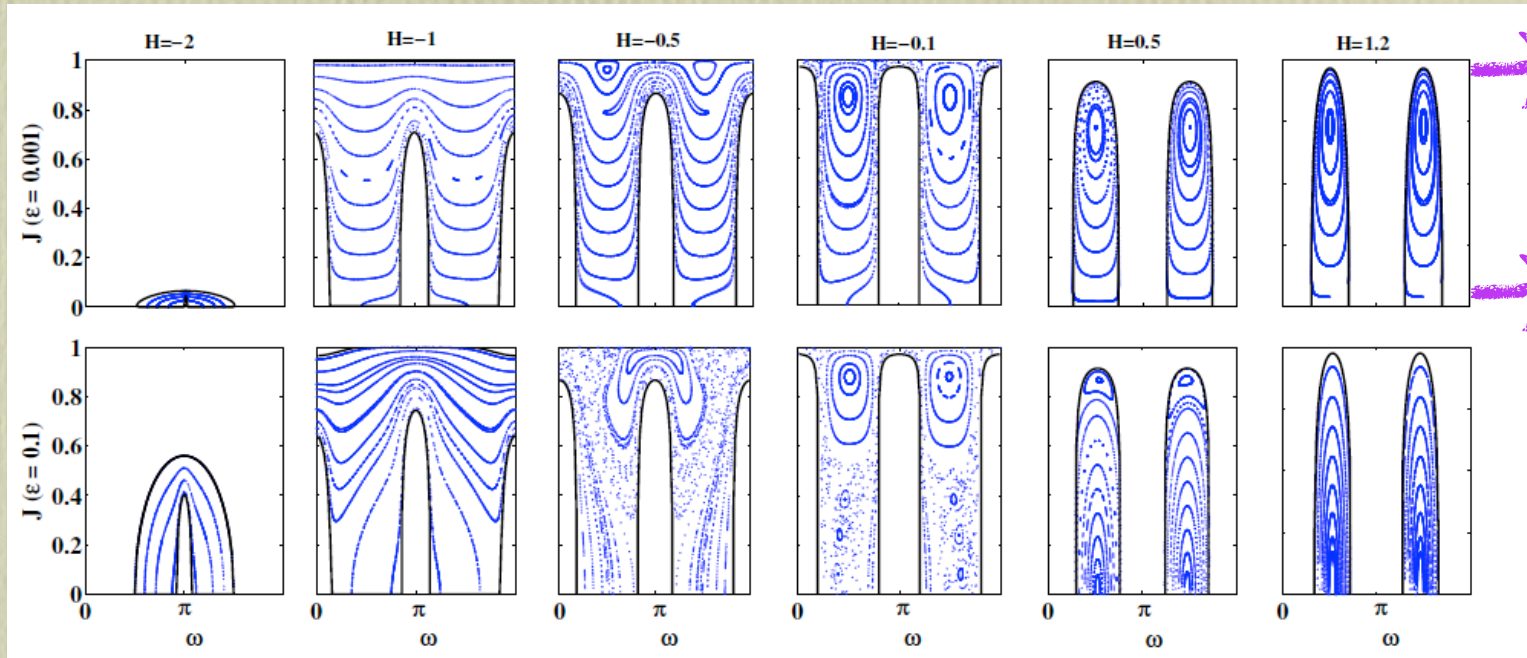


High i ($40-60^\circ$)



$i-90^\circ$

Quadrupole
order
dominates



low e

high e

Octupole
order
stronger

- e_I excitation ($J \rightarrow 0$) are caused by octupole resonances.
- Near coplanar flip due to octupole resonances alone.
- High inclination flip due to both quadrupole and octupole order resonances.

Summary

- **Hierarchical Three Body Dynamics:**
 - Starting with near coplanar configuration, the inner orbit of a hierarchical 3-body system can **flip by $\sim 180^\circ$** , and $e_I \rightarrow 1$.
 - This mechanism is **regular**, and the **flip criterion and timescale** can be expressed analytically.
 - This mechanism can produce **counter orbiting hot exoplanets**, and can enhance **collision/tidal disruption rate**.
- **Underlying resonances:**
 - Flips and e_I excitations are caused by **octupole resonances**.
 - High inclination flips are chaotic, with Lyapunov timescale **$\sim 6t_K$** .



Summary

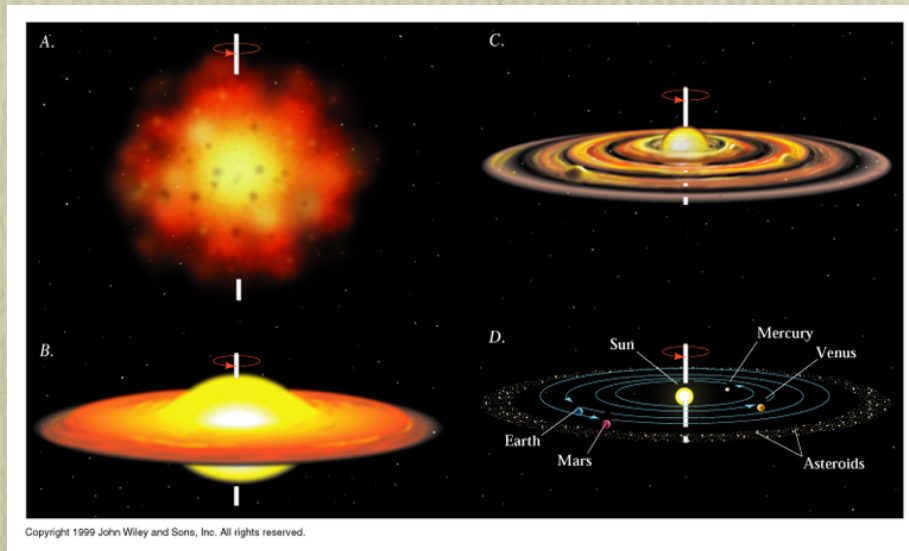
- **Coplanar flip:**
 - Starting with near coplanar configuration, the inner orbit of a hierarchical 3-body system can **flip by $\sim 180^\circ$** , and $e_I \rightarrow 1$.
 - This mechanism is **regular**, and the **flip criterion and timescale** can be expressed analytically.
 - This mechanism can produce **counter orbiting hot exoplanets**, and can enhance **collision/tidal disruption rate**.
- **Characterization of parameter space:**
 - Near coplanar flip and e_I excitations are caused by **octupole resonances**.
 - High inclination flips are chaotic, with Lyapunov timescale **$\sim 6t_K$** .

Potential Applications

- Captured stars in BBH systems may affect stellar distribution around the BHs (e.g., Ann-Marie Madigan, Smadar Naoz, Ryan O'Leary).
- Tidal disruption and collision events for planetary systems (e.g., Eugene Chiang, Bekki Dawson, Smadar Naoz).
- Production of supernova (e.g., Rodrigo Fernandez, Boaz Katz, Todd Thompson).
- Other aspects:
 - Involving more bodies (e.g., Smadar Naoz, Todd Thompson).
 - Obliquity variation of planets.

COHJ Contradict with popular Planets' Formation Theory

- Formation Theory:



- Planet systems form from cloud contraction.
- Spin of the star ends up aligned with the orbit of the planets

Analytical Overview --- Test Particle Limit

- Hamiltonian has two degrees of freedom:

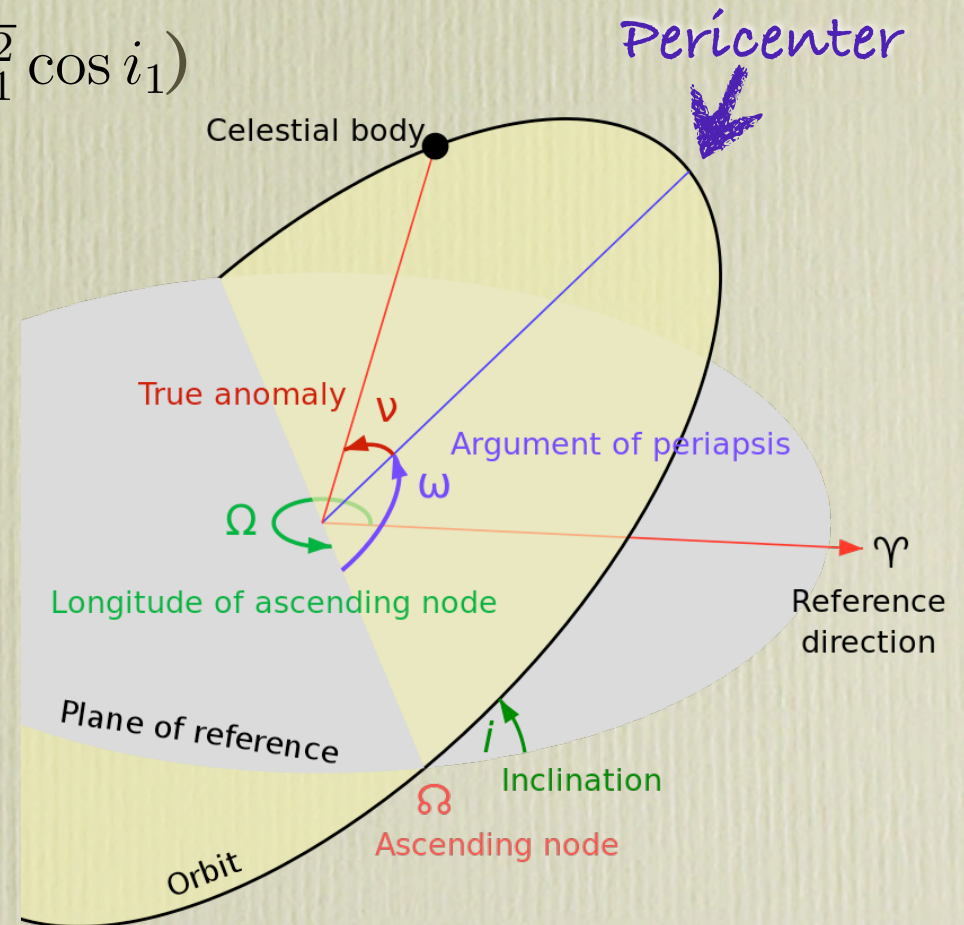
isolated 3-body: 6 dof $\xrightarrow{\text{secular}}$ 4 dof $\xrightarrow{\text{test-particle}}$ 2 dof

2 conjugate pairs: J & ω , J_z & Ω

$$(J = \sqrt{1 - e_1^2}, J_z = \sqrt{1 - e_1^2} \cos i_1)$$

ω : orientation in orbital plane.

Ω : orientation in reference plane.



Analytical Overview

- Hamiltonian (Harrington 1968, 1969; Ford et al., 2000):
 - In the octupole order: $H = -F_{\text{quad}} - \varepsilon F_{\text{oct}}$, $\varepsilon = (a_1/a_2)e_2/(1-e_2^2)$

$$F_{\text{quad}} = -(e_1^2/2) + \theta^2 + 3/2e_1^2\theta^2 + 5/2e_1^2(1 - \theta^2) \cos(2\omega_1),$$

$$F_{\text{oct}} = \frac{5}{16}(e_1 + (3e_1^3)/4)$$

$$\times ((1 - 11\theta - 5\theta^2 + 15\theta^3) \cos(\omega_1 - \Omega_1)$$

$$+ (1 + 11\theta - 5\theta^2 - 15\theta^3) \cos(\omega_1 + \Omega_1))$$

$$- \frac{175}{64}e_1^3((1 - \theta - \theta^2 + \theta^3) \cos(3\omega_1 - \Omega_1)$$

$$+ (1 + \theta - \theta^2 - \theta^3) \cos(3\omega_1 + \Omega_1)),$$

- Independent of Ω_1, J_z const.

- Depend on both ω_1 and Ω_1 → both J and J_z are not const.

$$t_K = \frac{8}{3}P_{in} \frac{m_1}{m_2} \left(\frac{a_2}{a_1}\right)^3 (1 - e_2^2)^{3/2}$$

Analytical Derivation for Flip Criterion and Timescale

- Hamiltonian (at $O(i)$):
 - Evolution of e_I only due to octupole terms:
=> e_I does not oscillate before flip.
 - Depend on only J_I and $\varpi_I = \omega_I + \Omega_I$
=> System is integrable.
=> $e_I(t)$ can be solved.
 - Flip at $e_{I, \max} \sim I$
=> The flip timescale can be derived.
 - Flip when $\varpi_I = 180^\circ$
=> The flip criterion can be derived.

$$\varepsilon > \frac{8}{5} \frac{1 - e_1^2}{7 - e_1(4 + 3e_1^2) \cos(\omega_1 + \Omega_1)}$$

Analytical Overview

- Hamiltonian has two degrees of freedom:

$$(J = \sqrt{1 - e_1^2}, Jz = \sqrt{1 - e_1^2} \cos i_1, \omega, \Omega)$$

2 conjugate pairs: J & ω , Jz & Ω

- Hamiltonian (*Harrington 1968, 1969; Ford et al. 2000*):

In the octupole order:

Interaction Energy (H) of two orbital wires:

$$H = F_{quad}(J, Jz, \omega) + \epsilon F_{oct}(J, Jz, \omega, \Omega)$$

Quadrupole order:
Independent of Ω
 \Rightarrow Jz constant

ϵ : hierarchical
parameter:

$$\epsilon = \frac{a_1}{a_2} \frac{e_2}{1 - e_2^2}$$

Octupole order:
Depend on both
 Ω & $\omega \Rightarrow$ J and
Jz not constant

Analytical Derivation of the Flip Criterion

put equation in hidden slides

- Hamiltonian (at O(i)) depend on only e_1 and $\varpi_1 = \omega_1 + \Omega_1$:
- Evolution of e_1 only due to octupole terms:

$$\dot{e}_1 = \frac{5}{8} J_1 (3J_1^2 - 7) \varepsilon \sin(\varpi_1) \quad \dot{\varpi}_1 = J_1 \left(2 + \frac{5(9J_1^2 - 13)\varepsilon \cos(\varpi_1)}{\sqrt{1 - J_1^2}} \right)$$

- $e_1(t)$ can be solved =>

The flip criterion and the flip timescale can be derived:

$$\varepsilon > \frac{8}{5} \frac{1 - e_1^2}{7 - e_1(4 + 3e_1^2) \cos(\omega_1 + \Omega_1)}$$

FLIP CRITERION

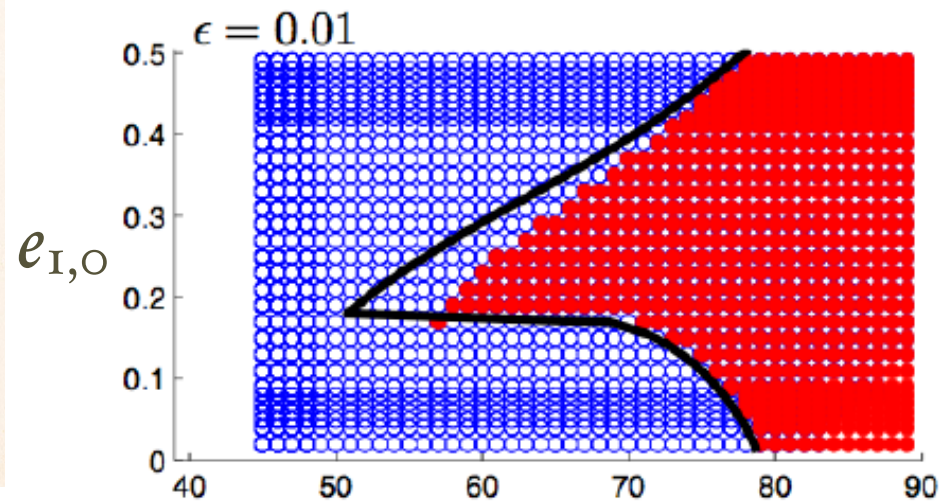
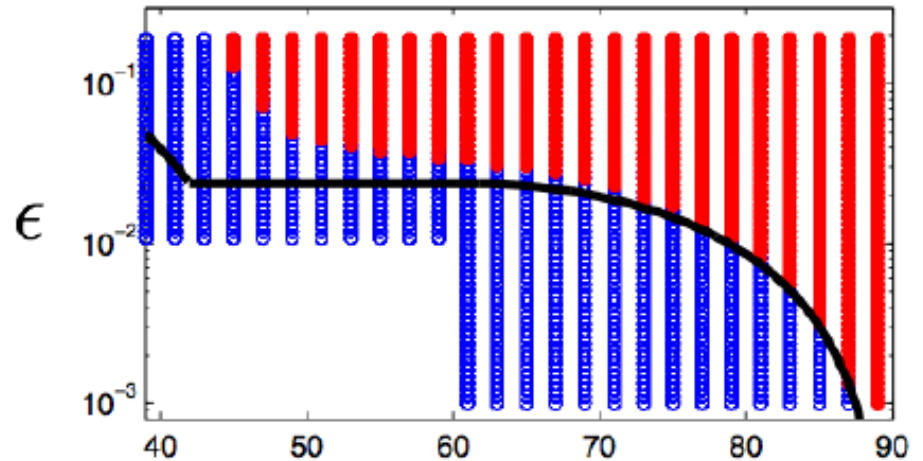
- Averaging the quadrupole oscillations in limit $j_z \sim 0$, Katz et al. 2011 obtain the constant:

$$f(C_{KL}) + \epsilon \frac{\cos i_{\text{tot}} \sin \Omega_1 \sin \omega_1 - \cos \omega_1 \cos \Omega_1}{\sqrt{1 - \sin^2 i_{\text{tot}} \sin^2 \omega_1}}$$

- Requiring $j_z = 0$, during the flip:

$$\epsilon_c = \frac{1}{2} f \left(\frac{1}{2} \cos^2 i_{\text{tot},0} \right)$$

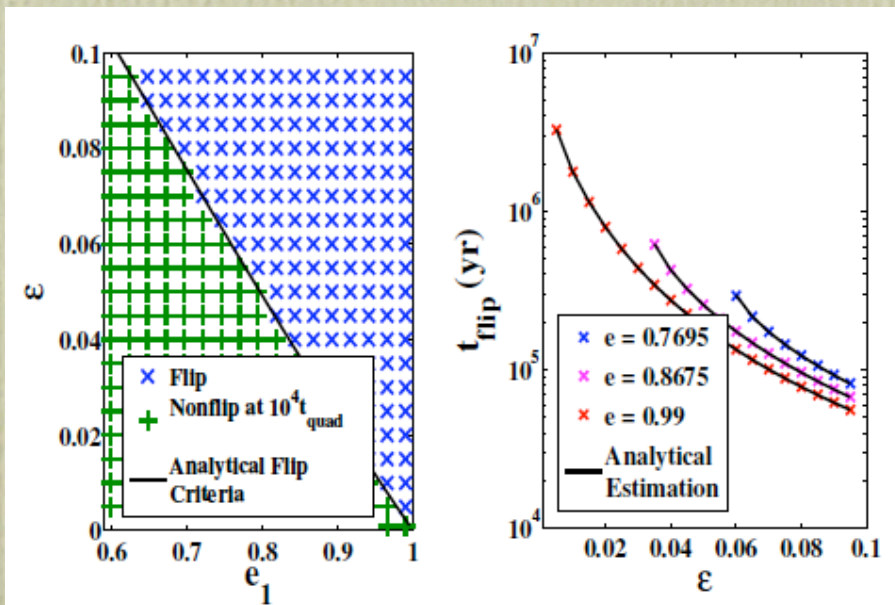
$$f(C_{KL}) = \frac{32\sqrt{3}}{\pi} \int_{x_{\min}}^1 \frac{K(x) - 2E(x)}{(41x - 21)\sqrt{2x + 3}} dx \quad \text{and} \quad x_{\min} = \frac{3 - 3C_{KL}}{3 + 2C_{KL}}$$



$i_{I,0}$ Katz et al. 2011

Analytical Results v.s. Numerical Results

Why do analytical results with low inclination approximation work?

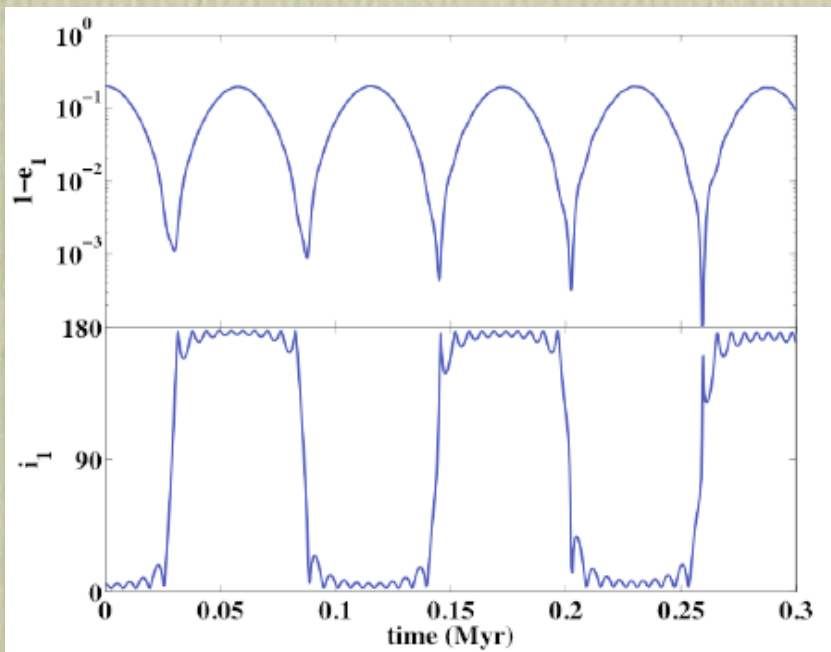


IC: $m_1 = 1M_{\odot}$, $m_2 = 0.1M_{\odot}$, $a_1 = 1AU$, $a_2 = 45.7AU$, $\omega_1 = 0^\circ$, $\Omega_1 = 180^\circ$, $i_1 = 5^\circ$.

Analytical Results v.s. Numerical Results

Why do analytical results with low inclination approximation work?

Small inclination assumption holds for most of the evolution.

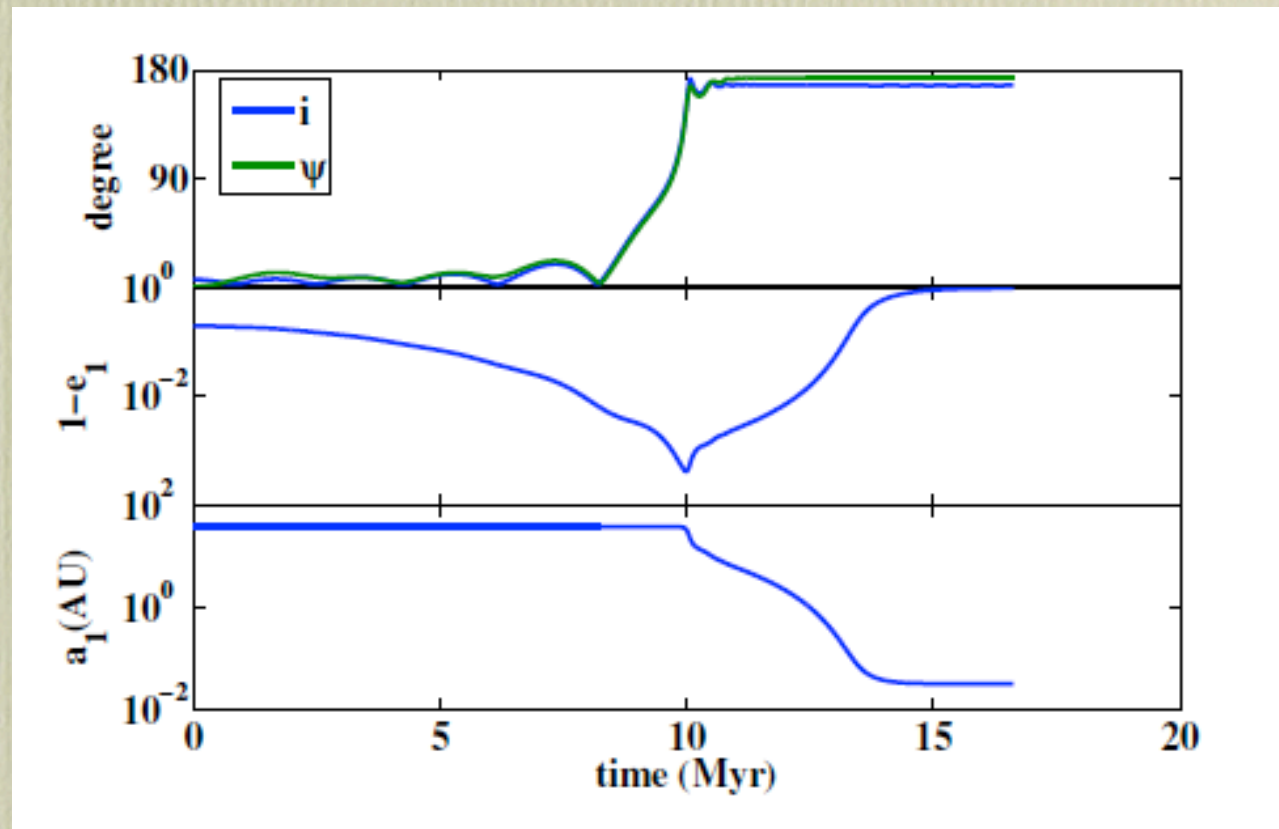


IC: $m_1 = 1 M_\odot$, $m_j = 1 M_j$, $m_2 = 0.3 M_\odot$, $\omega_1 = 0^\circ$, $\Omega_1 = 180^\circ$, $e_2 = 0.6$, $a_1 = 4 AU$, $a_2 = 50 AU$, $e_1 = 0.8$, $i = 5^\circ$

Examples --- 1. Produce Counter Orbiting Hot Jupiters (+ tide)

Question:
Does this
mechanism produce
a peak at $\psi \approx 180^\circ$?

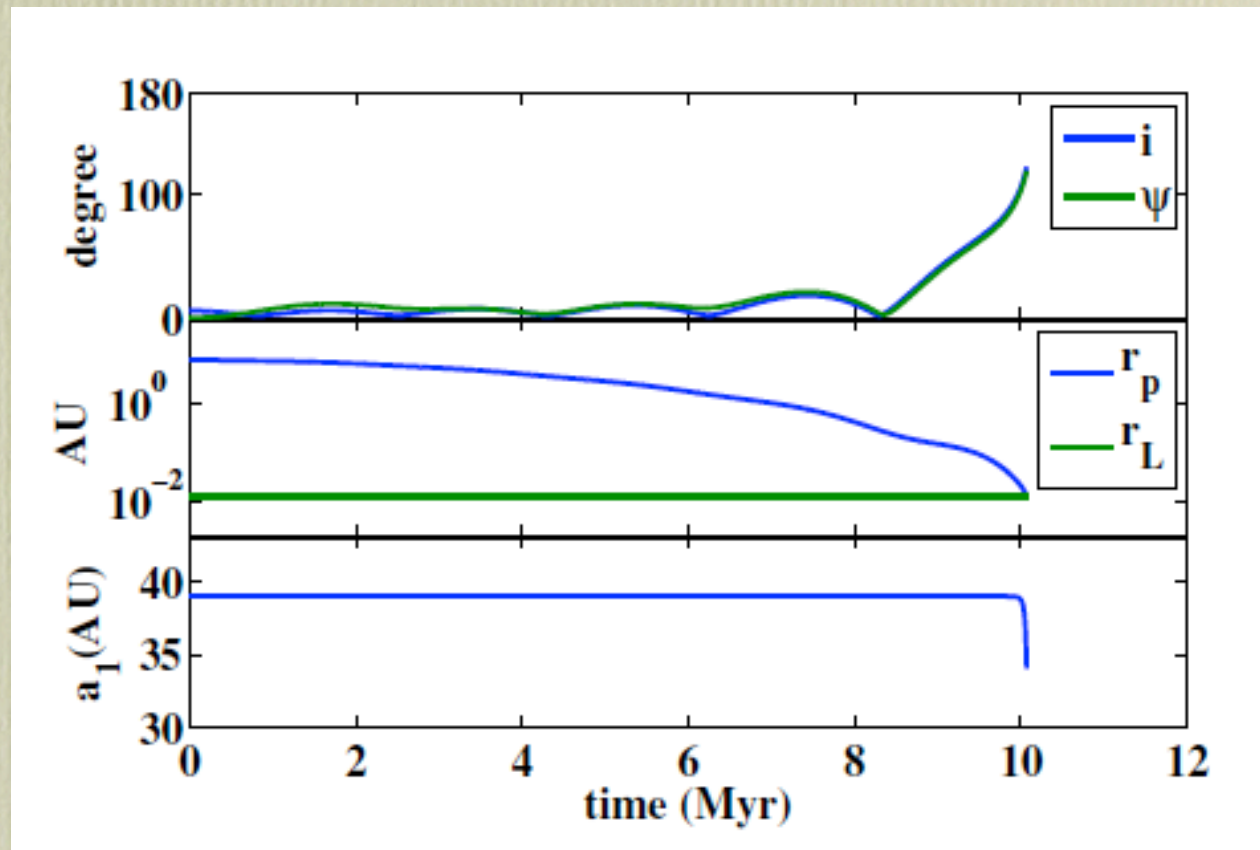
No.



Examples --- 1. Produce Counter Orbiting Hot Jupiters (+ tide)

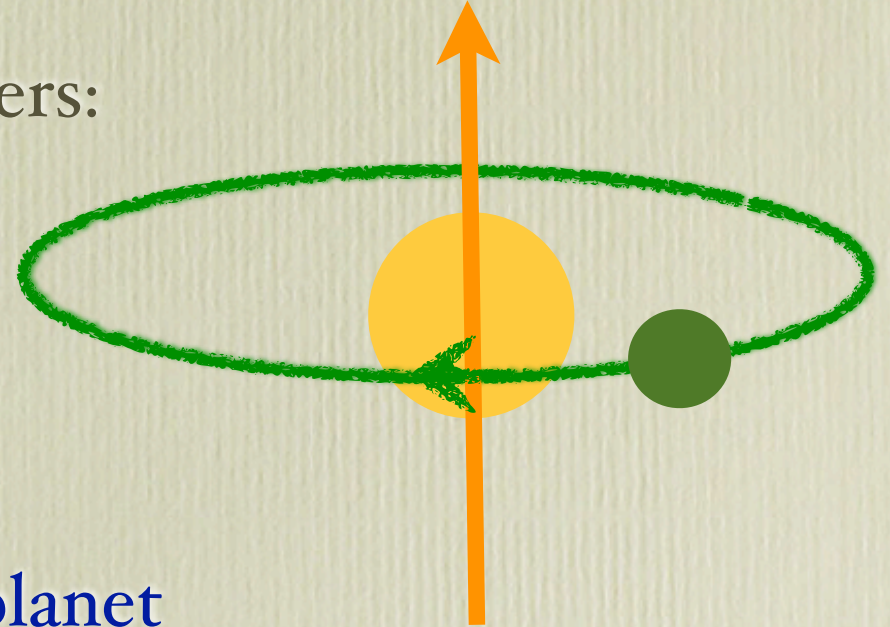
Question:
Will planet be
tidally disrupted?

Yes!

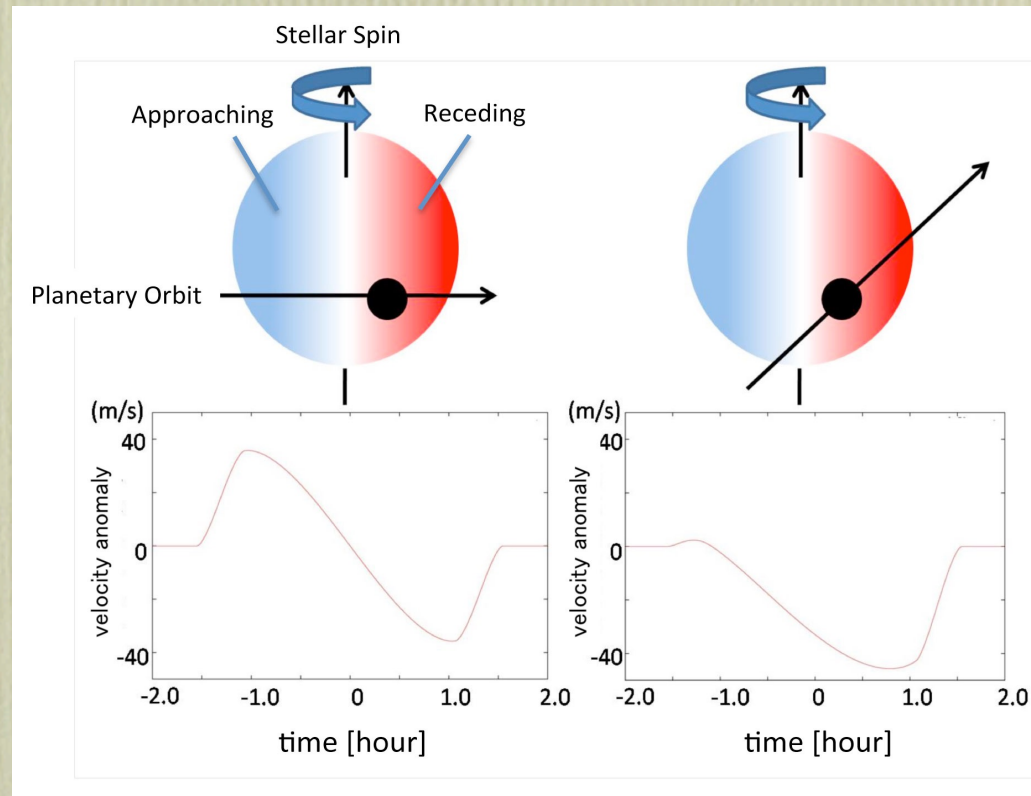


Applications --- 1. Produce Counter Orbiting Hot Jupiters (+ tide)

- Hot Jupiters:
 - massive exoplanets ($m \geq m_J$) with **close-in** orbits (period: 1-4 day).
- Counter Orbiting Hot Jupiters:
 - Hot Jupiters that orbit in exactly the opposite direction to the spin of their host star.
- **Disagree with the classical planet formation theory:**
the orbit aligns with the stellar spin.



Rossiter-McLaughlin Method



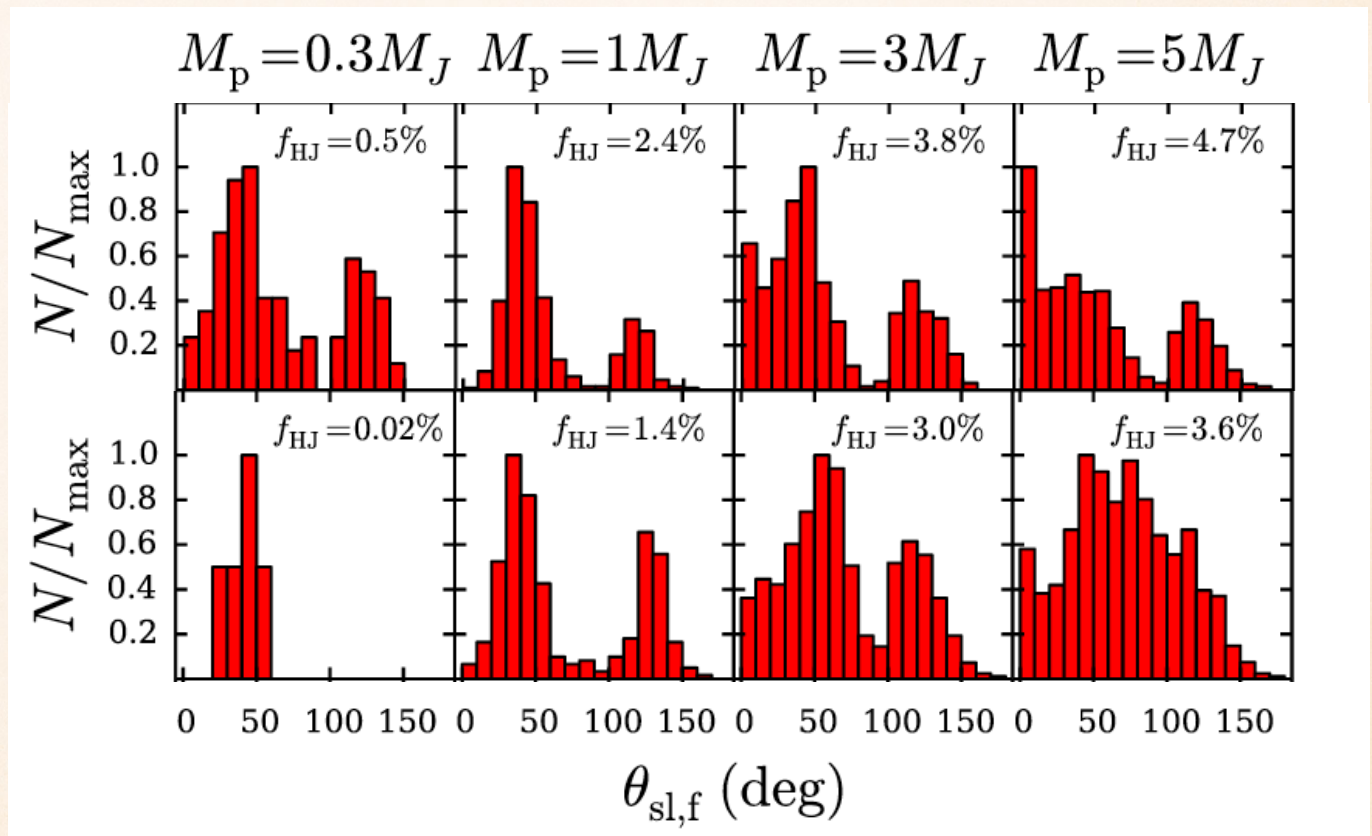
<http://www.subarutelescope.org/>

FORMATION OF MISALIGNED HOT JUPITERS (LK + STELLAR OBLATENESS + TIDE)

Anderson et al. 2016:

$M_p < 3 M_J$
 \Rightarrow bimodal

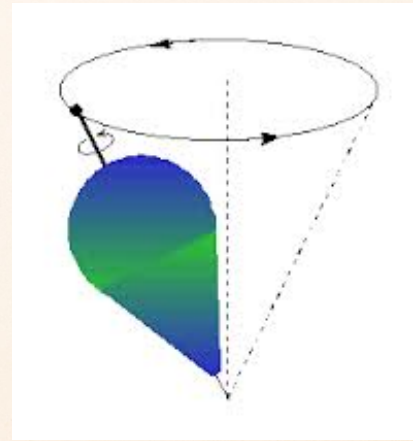
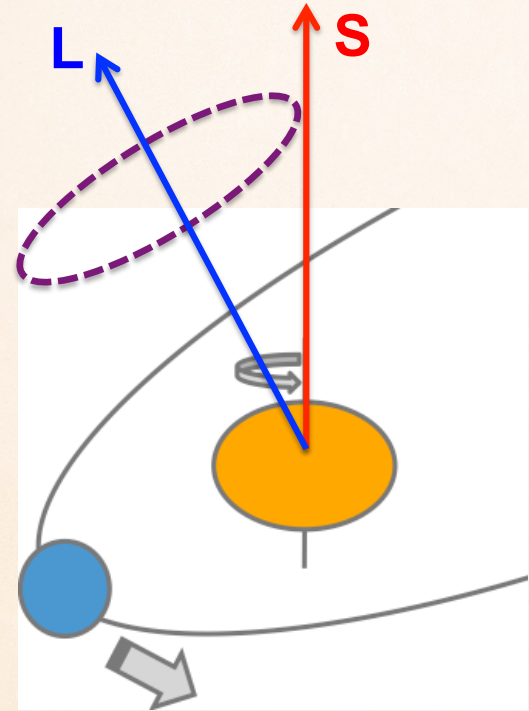
$M_p \sim 5 M_J$
 \Rightarrow low
misalignment
(solar-type stars)
 \Rightarrow higher
misalignment
(more massive
stars)



Anderson et al. 2016

FORMATION OF MISALIGNED HOT JUPITERS (LK + STELLAR OBLATENESS + TIDE)

If the host star is spinning and oblate, gravity from the planet makes stellar spin precess around L, and can cause chaos under Lidov-Kozai oscillations (Storch & Lai 2015).

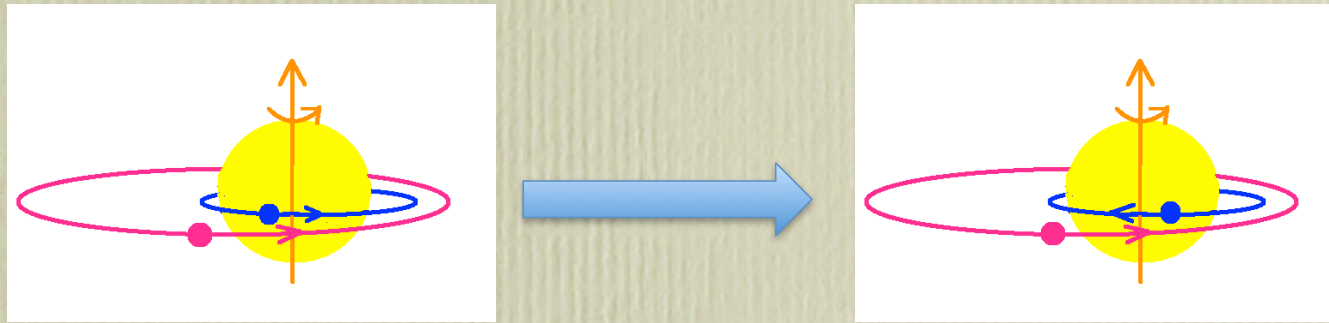


Storch & Lai 2015

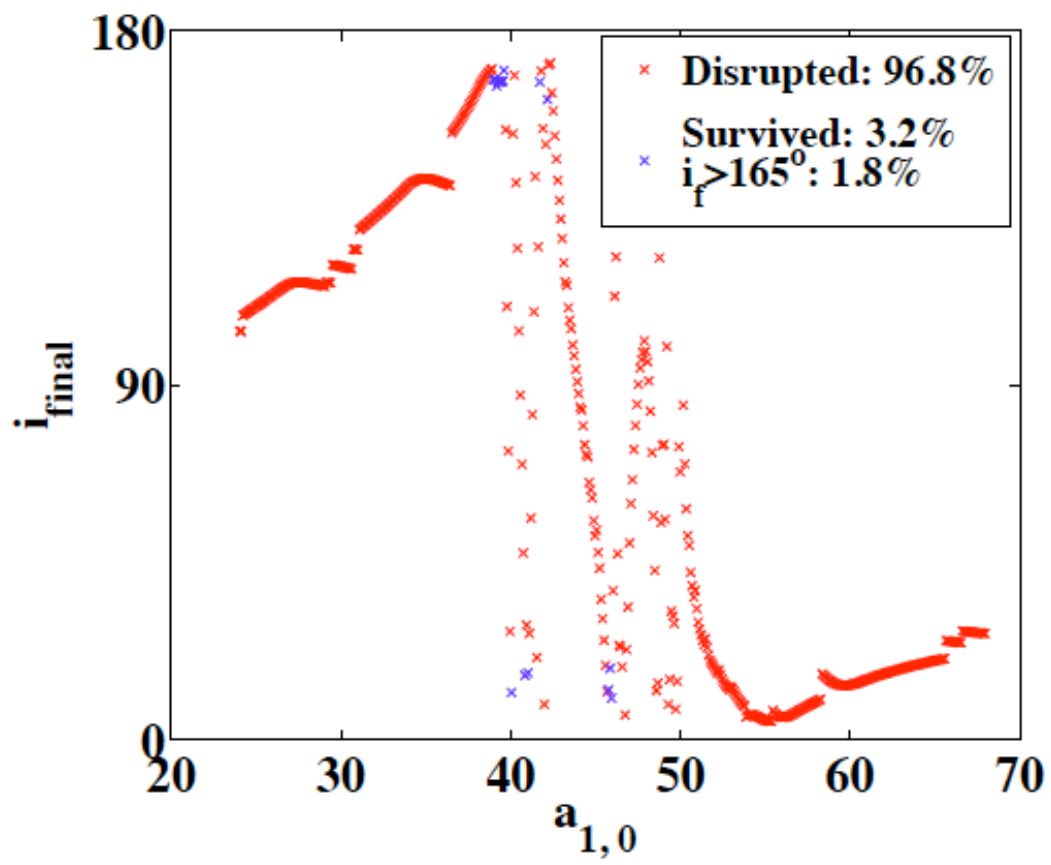
Chaos: precession period \sim Lidov-Kozai oscillation period

Take Home Message

- Eccentric Coplanar Kozai Mechanism can flip an eccentric coplanar inner orbit to produce counter orbiting exoplanets

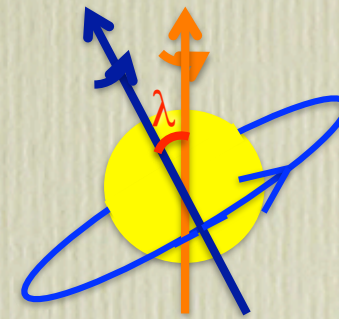
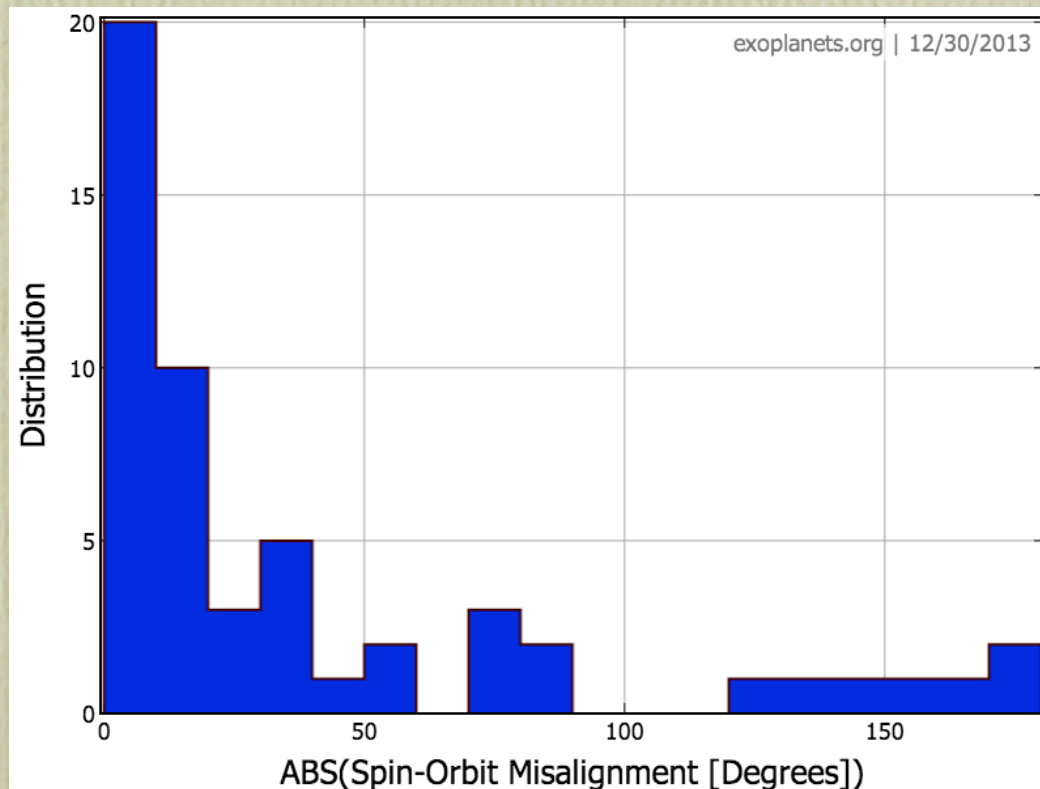


Eccentric inner orbit flips due to eccentric coplanar outer companion



Observational Links to Counter Orbiting Hot Jupiters

- Distribution of sky projected spin-orbit angle (λ) of Hot Jupiters



There are retrograde hot jupiters ($\lambda > 90^\circ$)

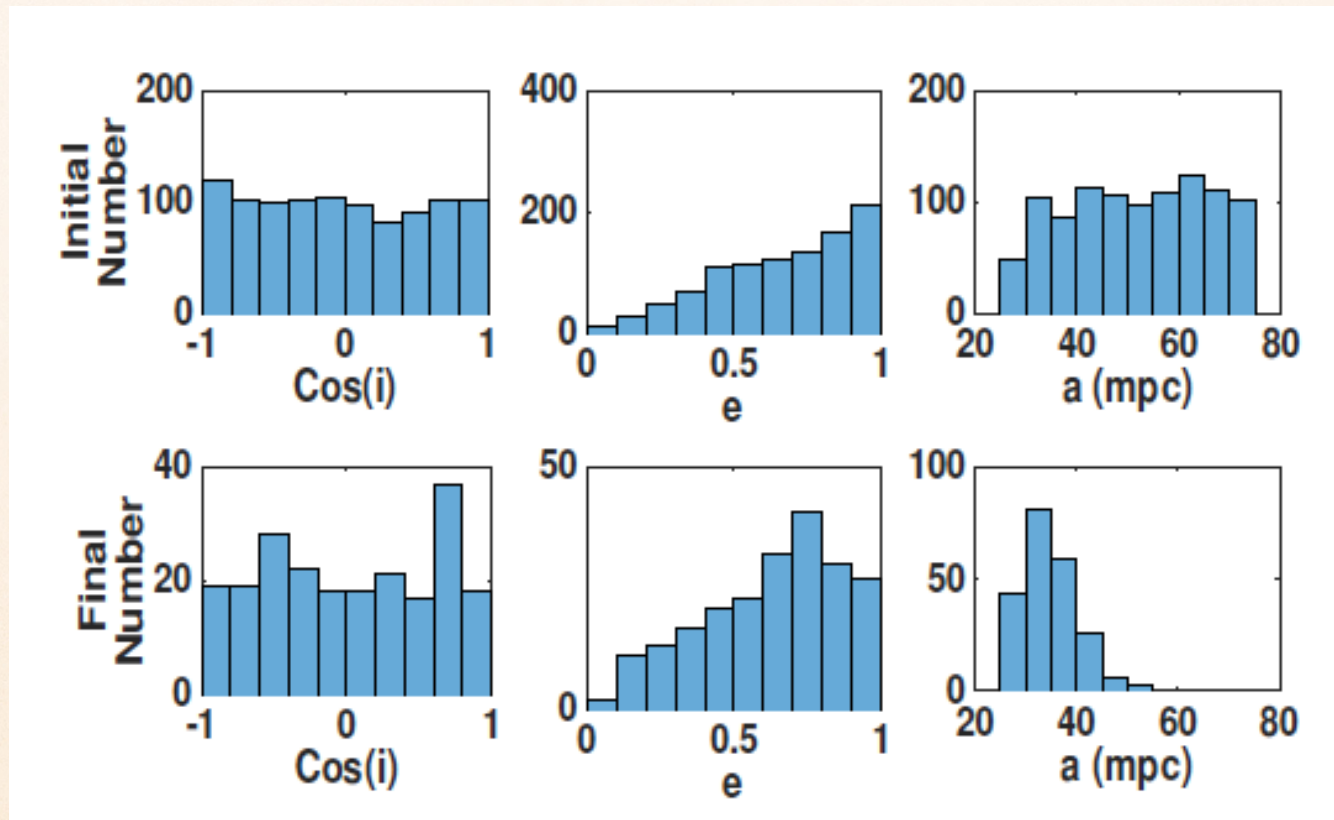
It is possible to have counter orbiting planets.

Applications --- 2. Effects of EKM of Stars Surrounding BBH

- **Tidal disruption rate is highly uncertain:**
 - It is observed to be $10^{-5}-4$ /galaxy/yr from a very small sample by Gezari et al. 2008.
 - It roughly agrees with theoretical estimates. (e.g. Wang & Merritt 2004)
- **The disruption rate may be greatly enhanced:**
 - due to non-axial symmetric stellar potential. (Merritt & Poon 2004)
 - due to SMBHB (Ivanov et al. 2005, Wegg & Bode 2011, Chen et al. 2011)
 - due to recoiled SMBHB (Stone & Loeb 2011)

Examples --- 3. Effects of EKM of Stars Surrounding BBH

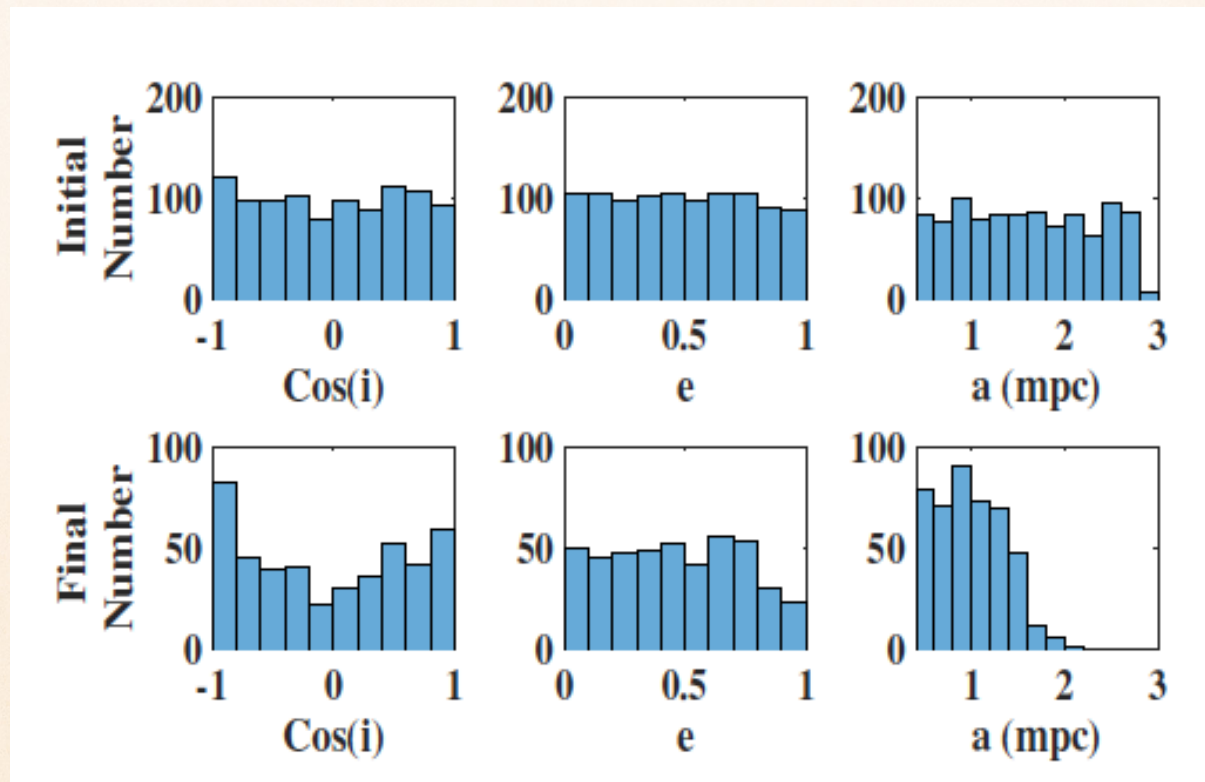
- Example: $m_1 = 10^7 M_\odot$, $m_2 = 10^8 M_\odot$, $a_2 = 0.5 \text{ pc}$, $e_2 = 0.5$, $\alpha = 1.75$ (stellar distribution), normalized by M- σ relation. Run time: 1Gyr.



(Li, et al.
submitted 2015)

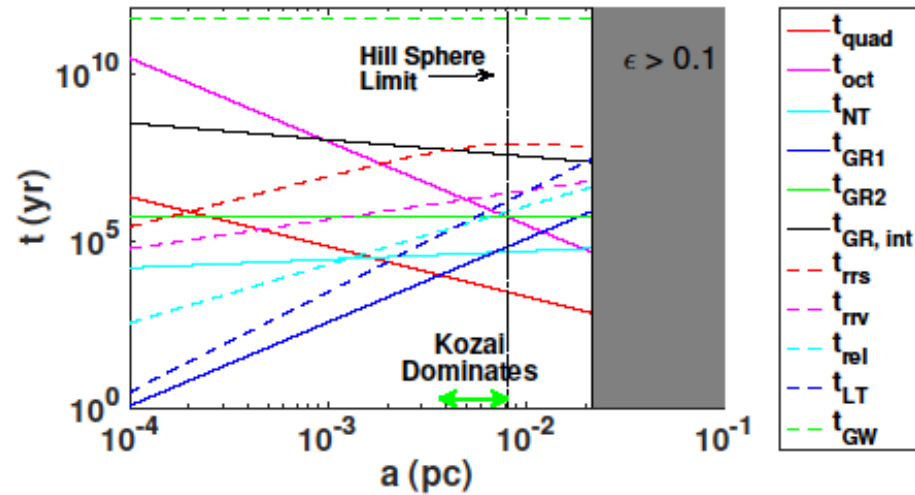
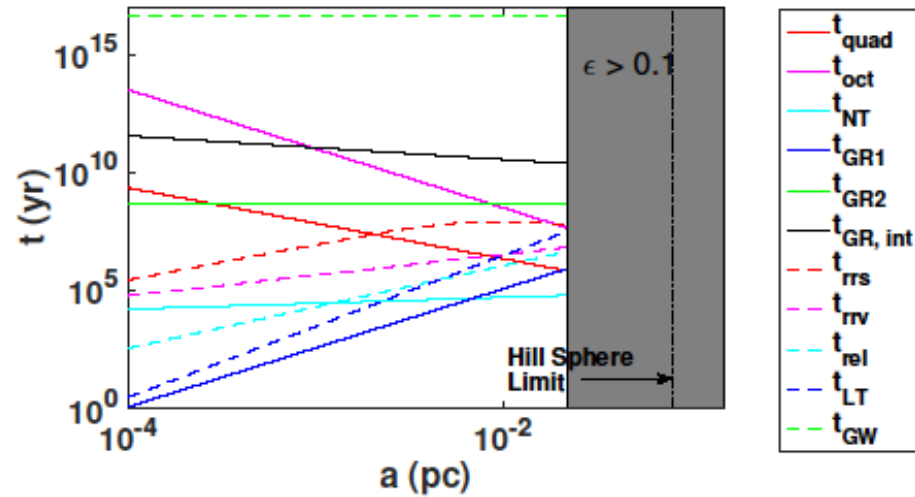
Examples --- 3. Effects of EKM of Stars Surrounding BBH

- Example: $m_1 = 10^4 M_\odot$, $m_2 = 4 \times 10^6 M_\odot$, $a_2 = 0.1 \text{ pc}$, $e_2 = 0.7$, $\alpha = 1.75$ (stellar distribution), normalized by M- σ relation. Run time: 100 Myr.



(Li, et al.
submitted 2015)

COMPARISON OF TIMESCALES

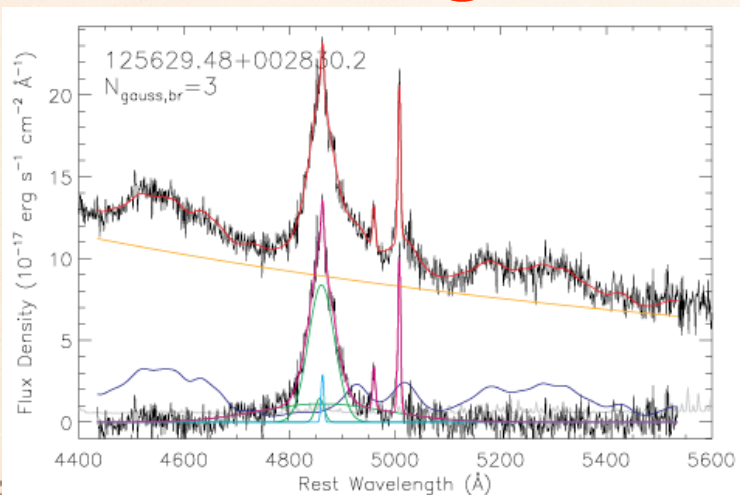


STARS SURROUNDING SMBHB

- At ~ 1 -pc separation it is more difficult to identify SMBHBs. SMBHBs can be observed with spectral features.

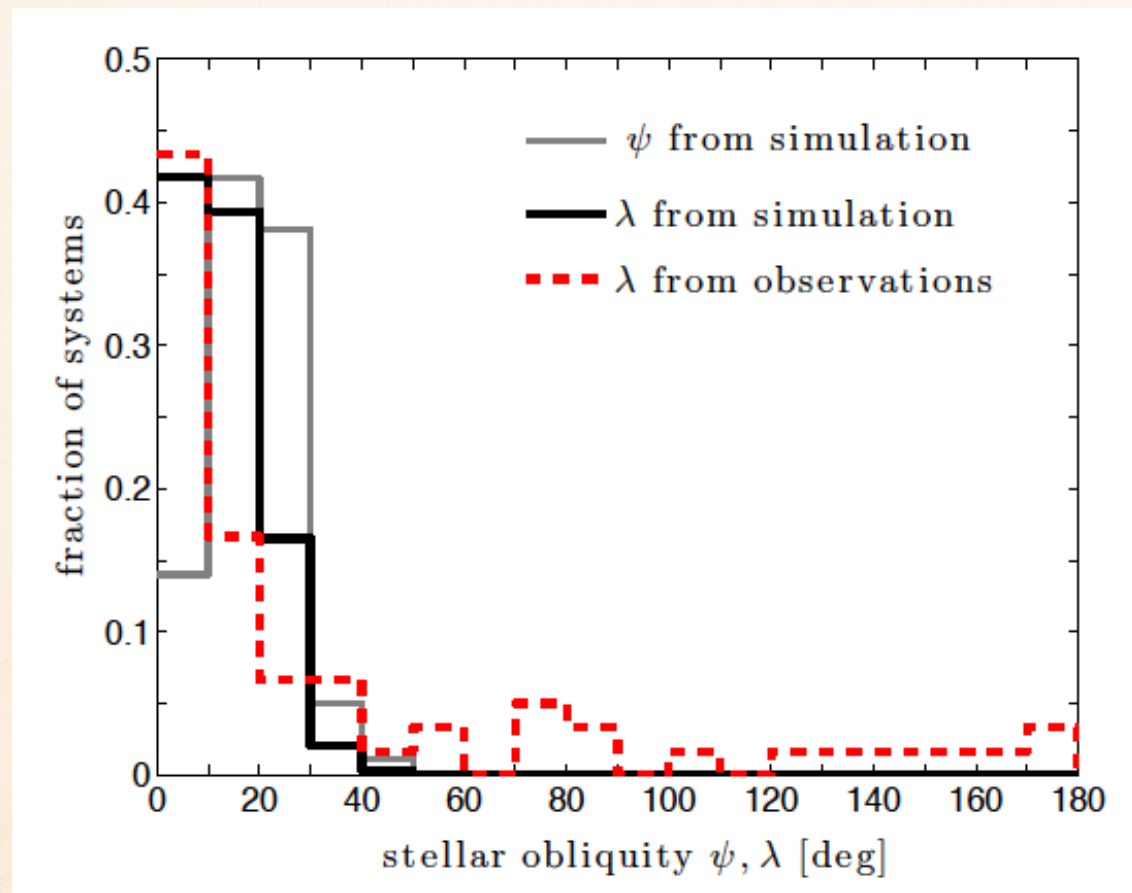
(e.g., Shen et al. 2013, Boroson & Lauer 2009, Valtonen et al. 2008, Loeb 2007)

Example of multi-epoch spectroscopy (Shen et al. 2013):



active BH dominates the BL features, multi-epoch BL features \Rightarrow binary orbital parameters

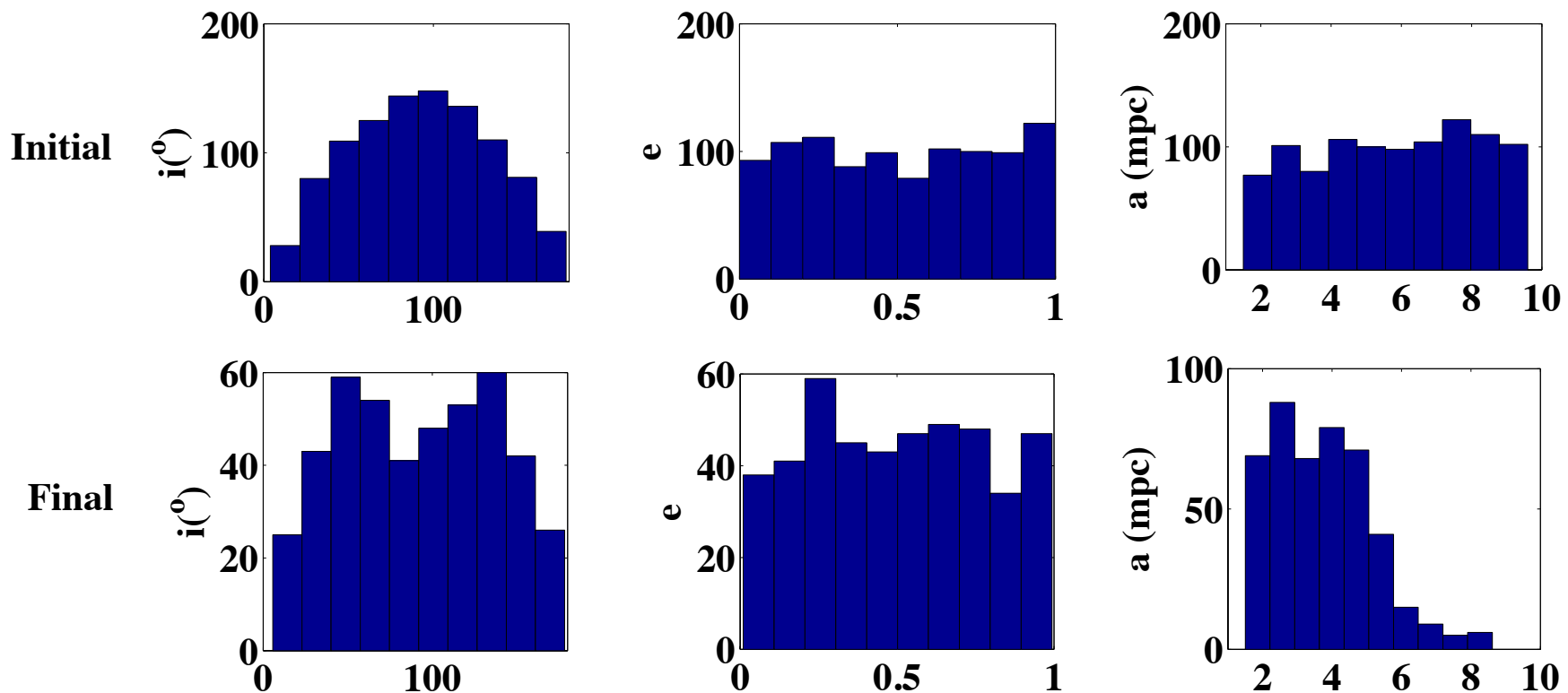
COPLANAR HIGH ECCENTRICITY MIGRATION



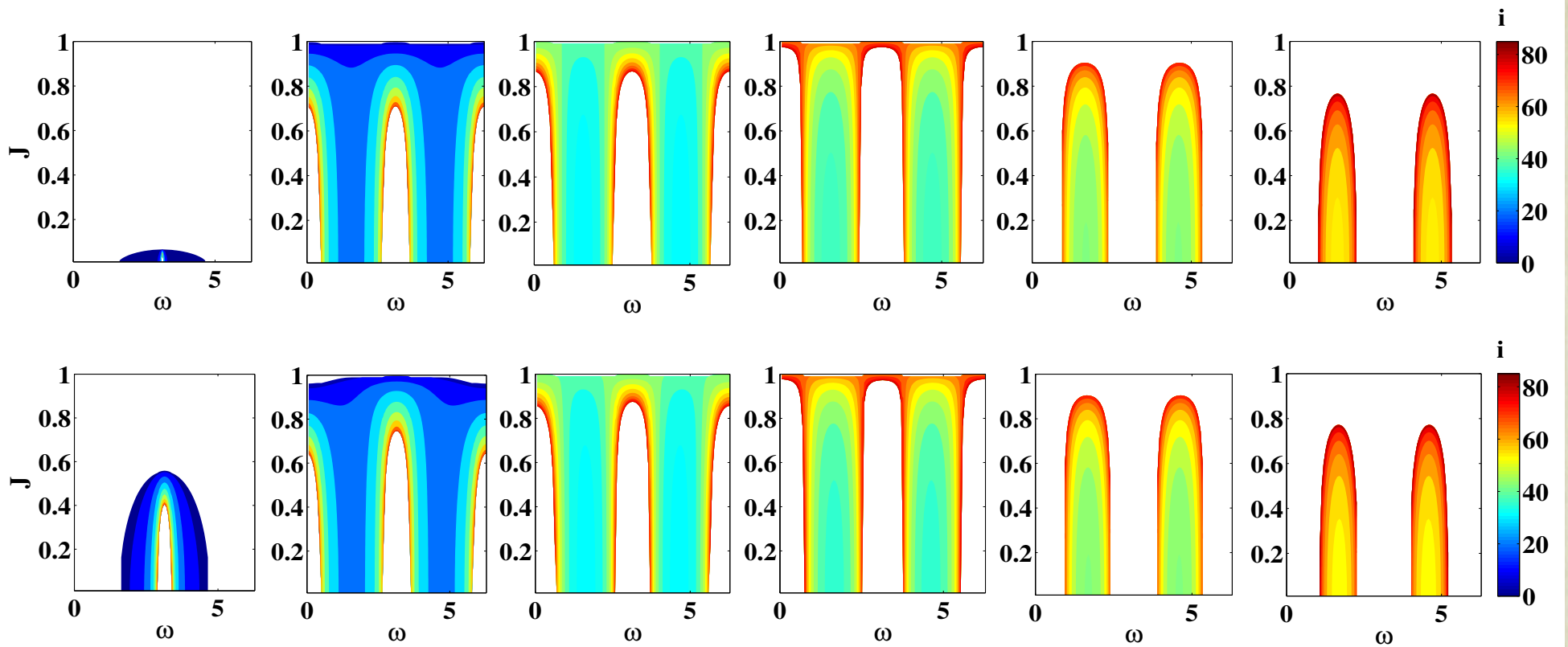
Population
synthesis study.
 $t_v = 0.1 \text{ yr}$

Initial v.s. Final Distribution

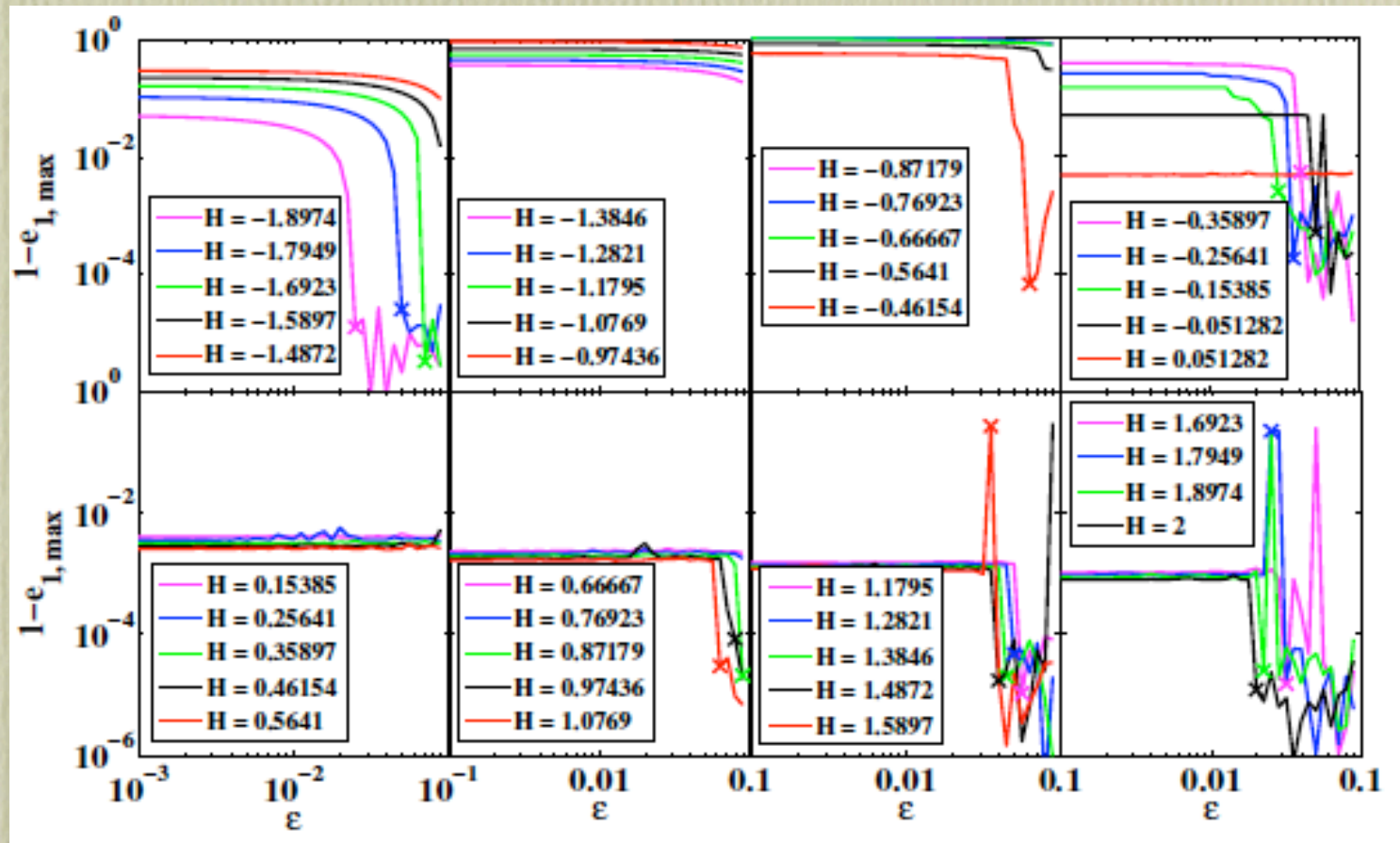
- Example: $m_1 = 10^6 M_\odot$, $m_2 = 10^{10} M_\odot$, $a_2 = 1 \text{ pc}$, $e_2 = 0.7$, $\alpha = 1.75$ (stellar distribution), normalized by M- σ relation. Run time: 1 Gyr.



Initial Condition in i



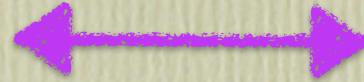
Maximum e_I for different H and ϵ



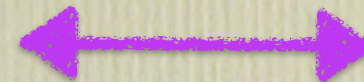
Maximum e_I for low i , high e_I case, and high i cases

Surface of Section

Low i



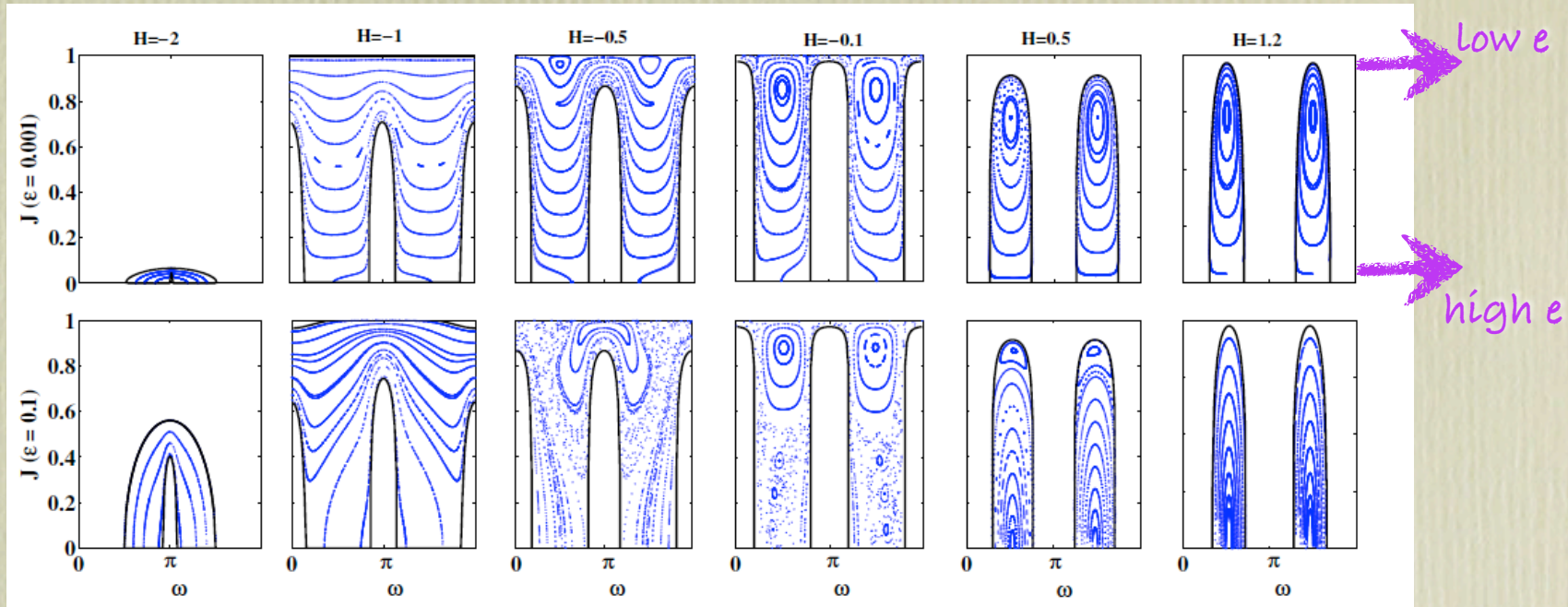
High i ($40-60^\circ$)



$i=90^\circ$

Quadrupole
order
dominates

Octupole
order
stronger



- Trajectories chaotic only for $H=-0.5, -0.1$ at high ϵ .
- High inclination flips are chaotic.
- Overall evolution of the trajectories: evolution sensitive on the initial angles.

Surface of Section

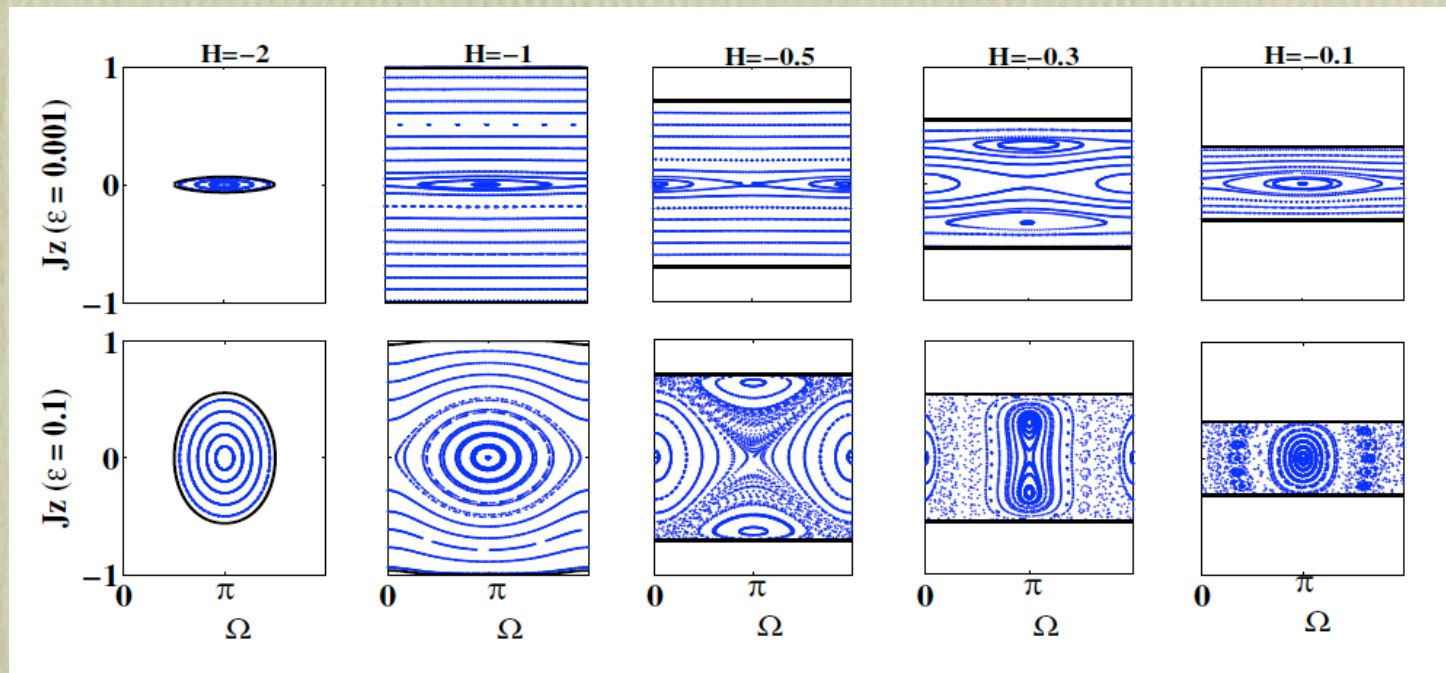
- Surface of section in the $Jz - \Omega$ plane

$$Jz = \sqrt{1 - e_1^2} \cos i_1 \quad \Omega: \text{longitude of node}$$

Low i , high e_1 \longrightarrow High i , low e_1

Quadrupole
order
dominates

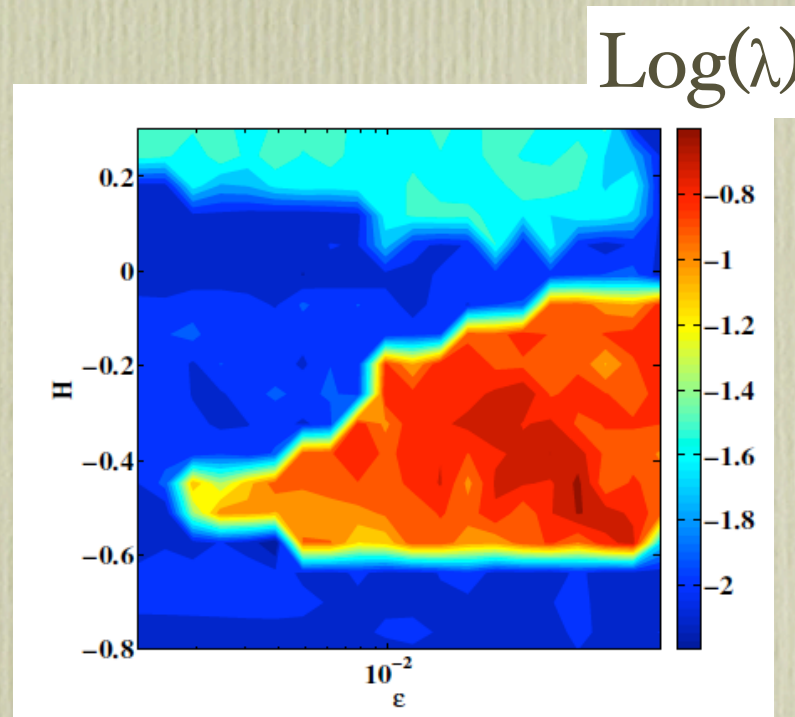
Octupole
order
dominates



- All features are due to octupole effects.
- Trajectories are chaotic only possible when $H = -0.5, -0.3, -0.1$, for high ϵ .

Characterization of Chaos

- Lyapunov exponents (λ): $\lambda \uparrow$, more chaotic.

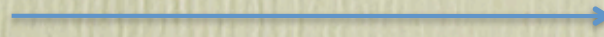


- Chaotic when $H \leq 0$ (correspond to high i cases).
- In chaotic region, Lyapunov timescale $t_L = (1/\lambda) \approx 6t_K$.
(t_K corresponds to the oscillation timescale of e_I and i)

$$t_K = \frac{8}{3} P_{in} \frac{m_1}{m_2} \left(\frac{a_2}{a_1} \right)^3 (1 - e_2^2)^{3/2}$$

Surface of Section

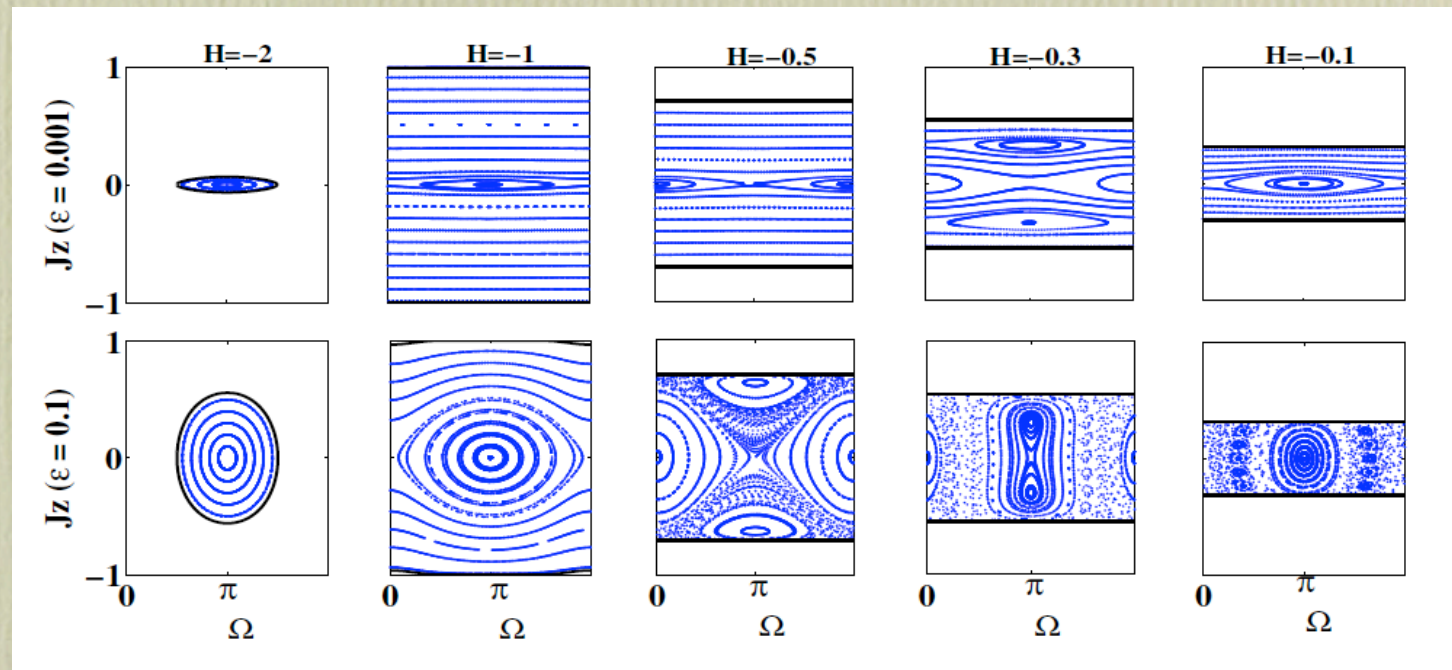
Low i , high e_I



High i , low e_I

Quadrupole
order
dominates

Octupole
order
dominates



- All features are due to octupole effects.
- Trajectories are chaotic only when $H \leq 0$.
- Flips are due to octupole resonances.

(Li, et al., 2014 in
prep)

Applications --- 2. Tidal Disruption of Stars Surrounding BBH

- SMBHBs originate from mergers between galaxies. Following the merger, the distance of the SMBHB decreases.
(Complete numerical simulations: e.g. Khan et al. 2012)
- SMBHBs with \sim kpc separation have been observed with direct image.
(e.g. Fabbiano et al. 2011, Green et al. 2010, Civano et al. 2010, Komossa et al. 2003, Hutchings & Neff 1989)
- At \sim 1pc separation it is more difficult to identify SMBHBs. SMBHBs have been observed with optical spectra, light variability and radio lines.
(e.g. Boroson & Lauer 2009, Valtonen et al. 2008, Rodriguez et al. 2006)
- Motivation of tidal disruption of stars by \sim 1pc SMBHB:
Identify SMBHB at \sim 1 pc separation with tidal disruption rate

Effects on Stars Surrounding BBH

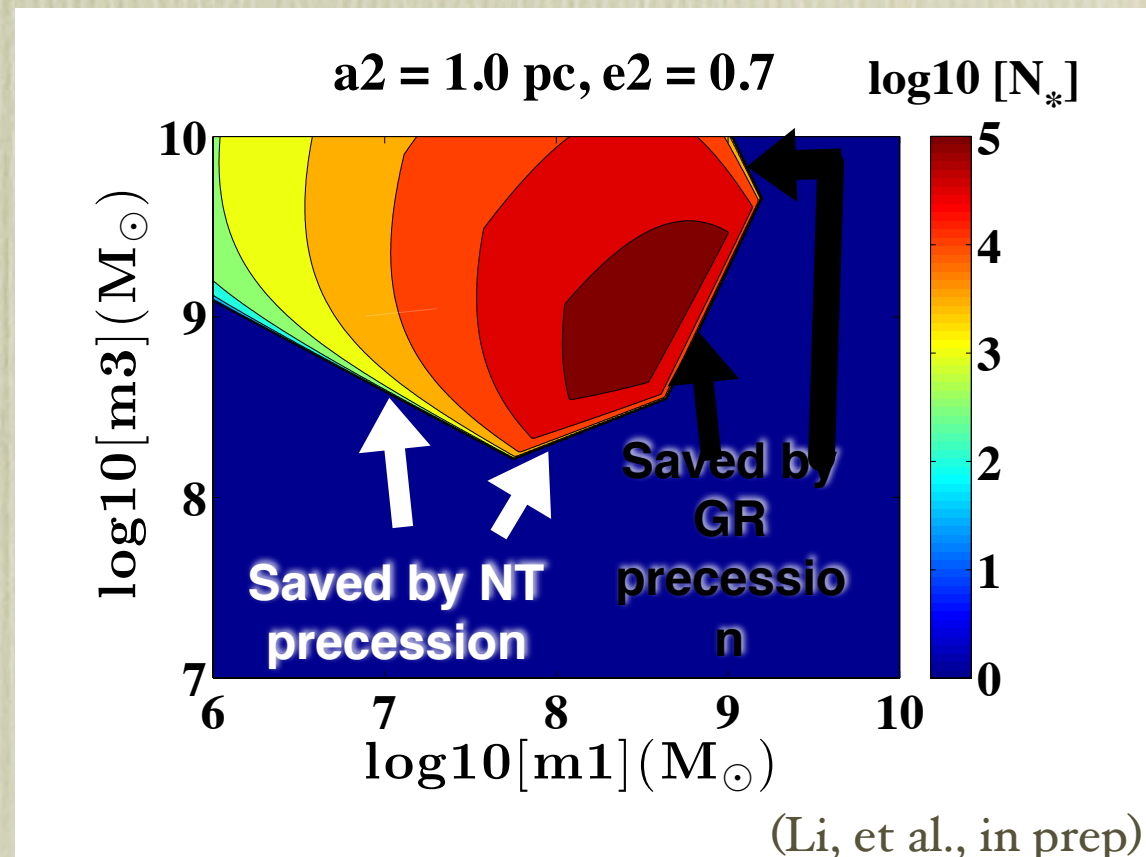
- Dynamics of stars around BH or BBH:
 - Secular dynamics introduce instability in eccentric stellar disks around a single BH (e.g. *Madigan, Levin & Hopman 2009*)
 - Tidal disruption event rate can be enhanced due to BBH and the recoil of BBH (*Ivanov et al. 2005, Wegg & Bode 2011, Chen et al. 2011, Stone & Loeb 2011*)
 - Relic stellar clusters of recoiled BH may uncover MW formation history (e.g. *O'Leary & Loeb 2009*).
- Here we study the effect of EKM to stars surrounding BBH

Effects of EKM on Stars Surrounding BBH

- Study the role of **eccentric ($e_2 \neq 0$) Kozai mechanism** in the presence of **general relativistic (GR) precession** and **Newtonian (NT) precession** for stars surrounding SMBHB.

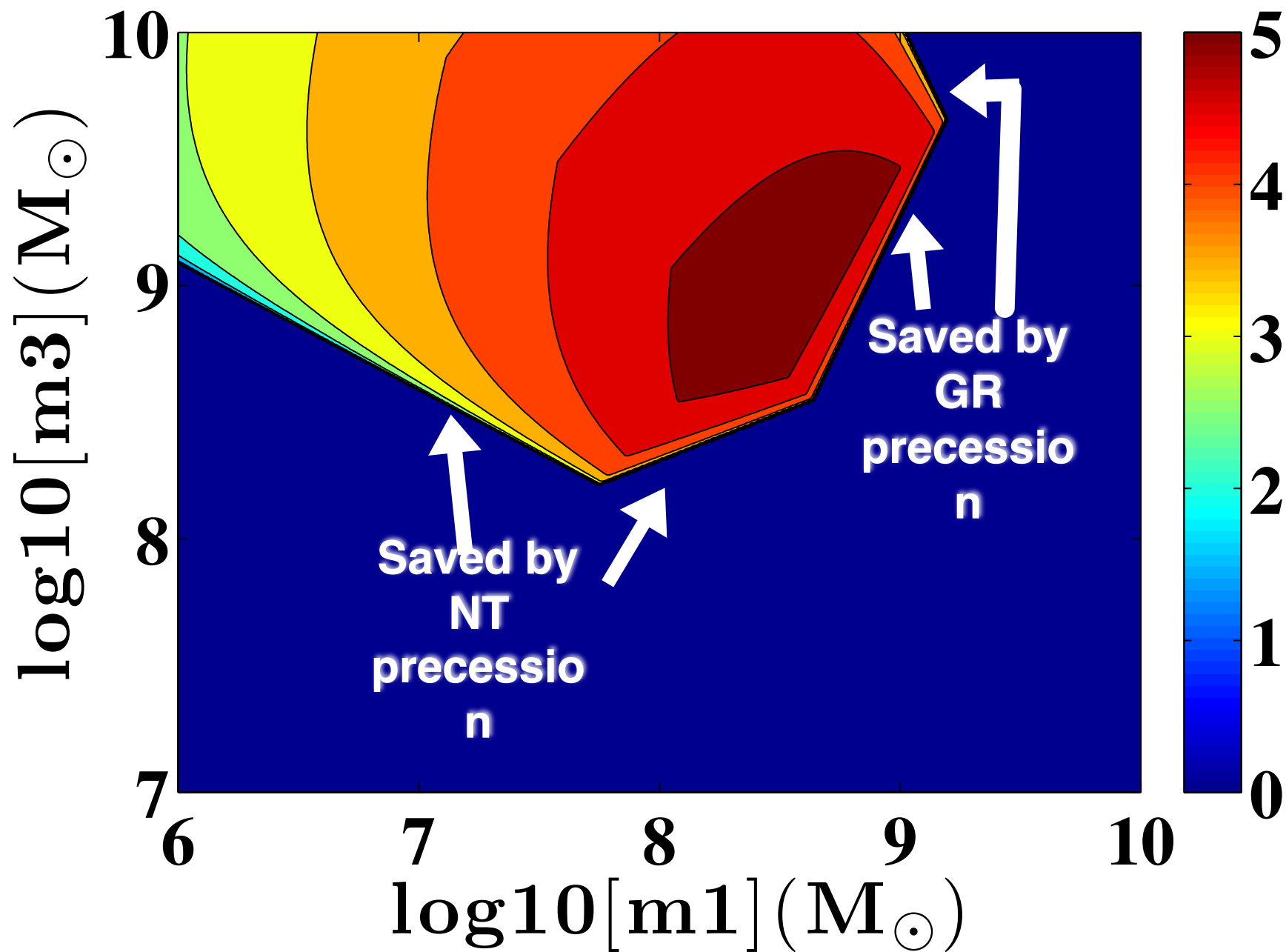
- Set the separation of the BBH at $a_2 = 1 \text{ pc}$, $e_2 = 0.7$ and assuming $\varrho_* \propto a^{-1.75}$, normalized by M- σ relation.

- N_* is the number of stars affected by the eccentric Kozai Mechanism.
(Requirement: $t_{\text{GR}} < t_{\text{Kozai}}$, $t_{\text{NT}} < t_{\text{Kozai}}$, $\varepsilon < 0.1$, $a_1 < r_{\text{RL}}$).



$a_2 = 1.0 \text{ pc}, e_2 = 0.7$

$\log_{10} [N_*]$



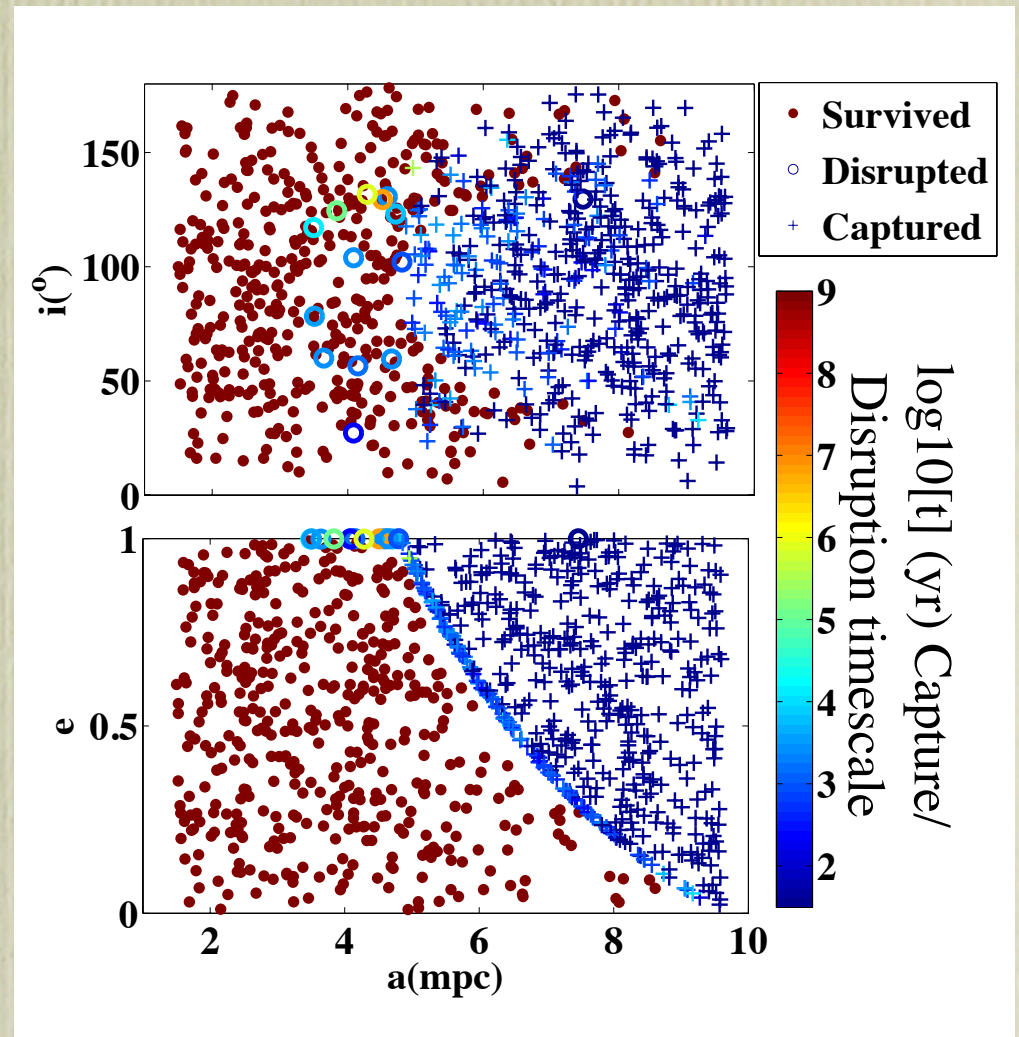
Effects of EKM on Stars Surrounding BBH

- Example: $m_1 = 10^6 M_\odot$, $m_2 = 10^{10} M_\odot$, $a_2 = 1 \text{ pc}$, $e_2 = 0.7$, Run time: 1 Gyr.

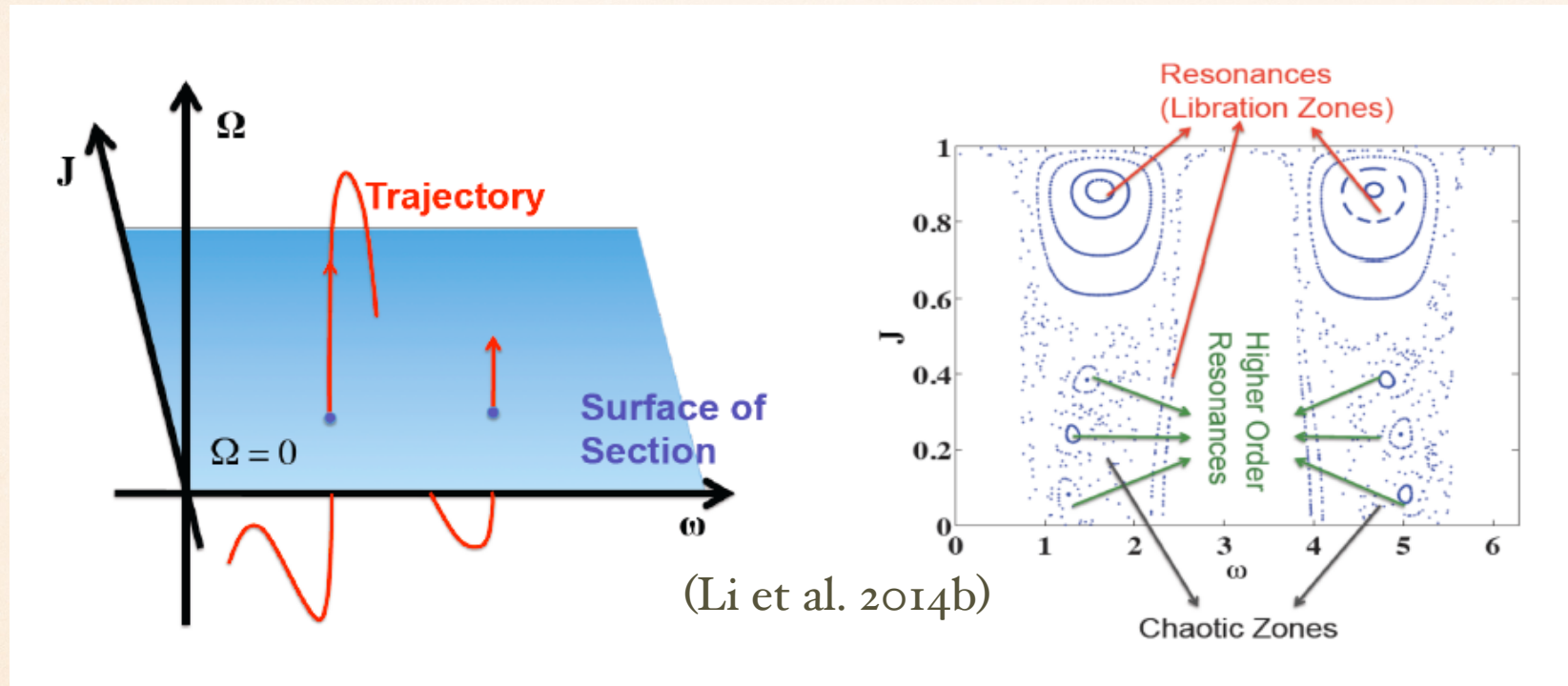
- 14/1000 disrupted; 535/1000 captured. Disruption/capture timescales are short.

=> Captured stars may change stellar density profile of the other BH

=> With rapid diffusion, disruption rate $\sim 10^{-3}/\text{yr}$.



SURFACE OF SECTION



- **Resonant zones:** points fill 1-D lines.
trajectories are quasi-periodic.
- **Chaotic zones:** points fill a higher dimension.
trajectories are chaotic.

SURFACE OF SECTION

Low i



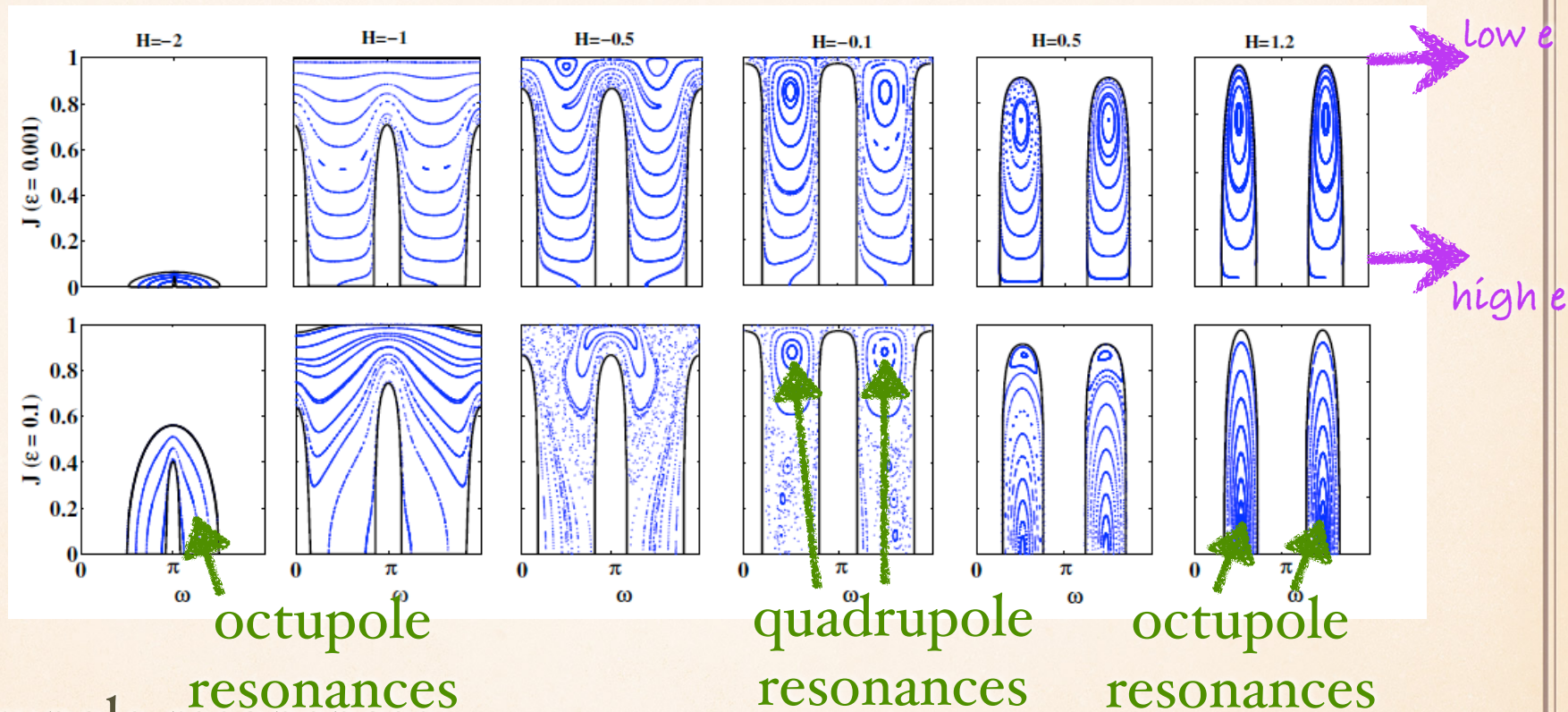
High i (40-60°)



$i=90^\circ$

Quadrupole
order
dominates

Octupole
order
stronger



Quadrupole resonances:

centers at low e_I , $\omega=\pi/2$ and $3\pi/2$ (e.g., *Kozai 1962*)

Octupole resonances:

centers at high e_I , $\omega=\pi$ or $\pi/2$ and $3\pi/2$

SURFACE OF SECTION

Low i

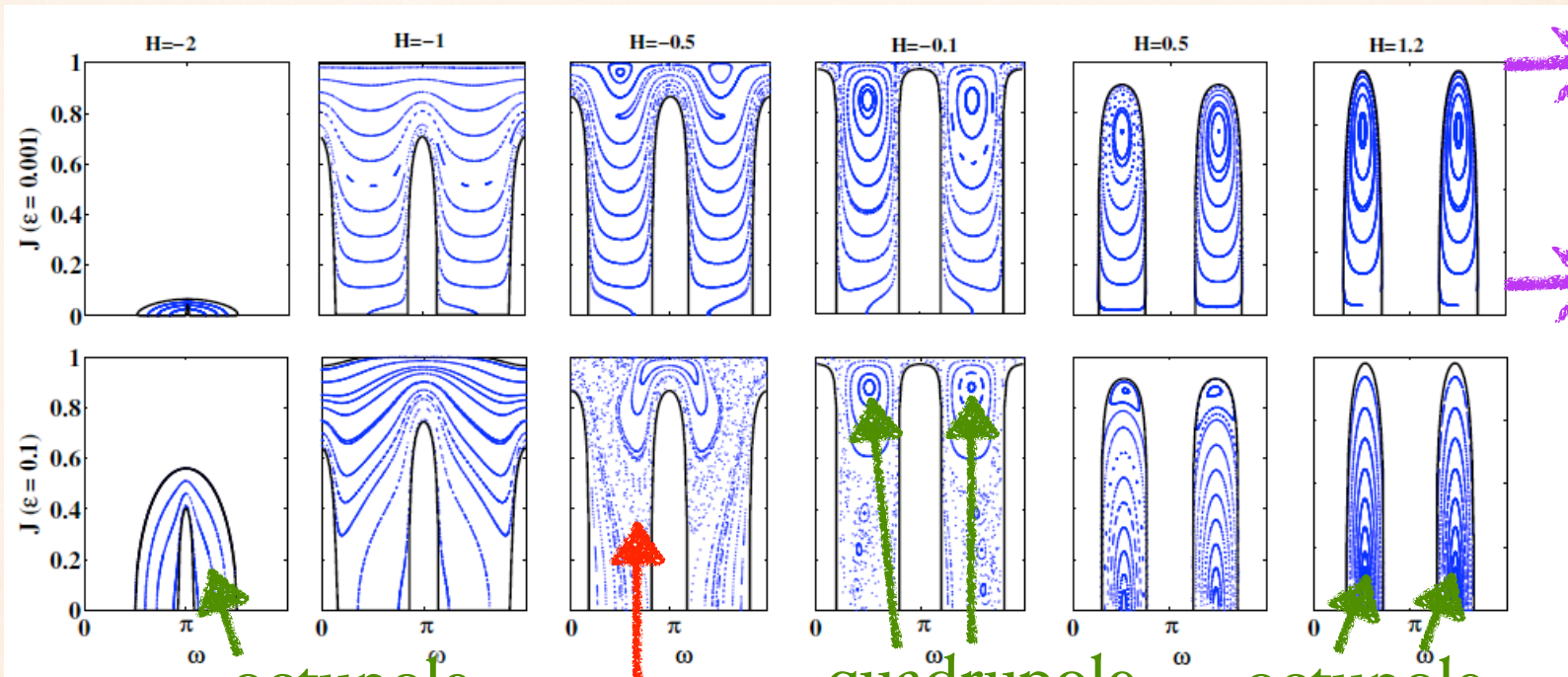


High i (40-60°)



$i=90^\circ$

Quadrupole
order
dominates



low e

high e

Octupole
order
stronger

octupole
resonances

chaos

quadrupole
resonances

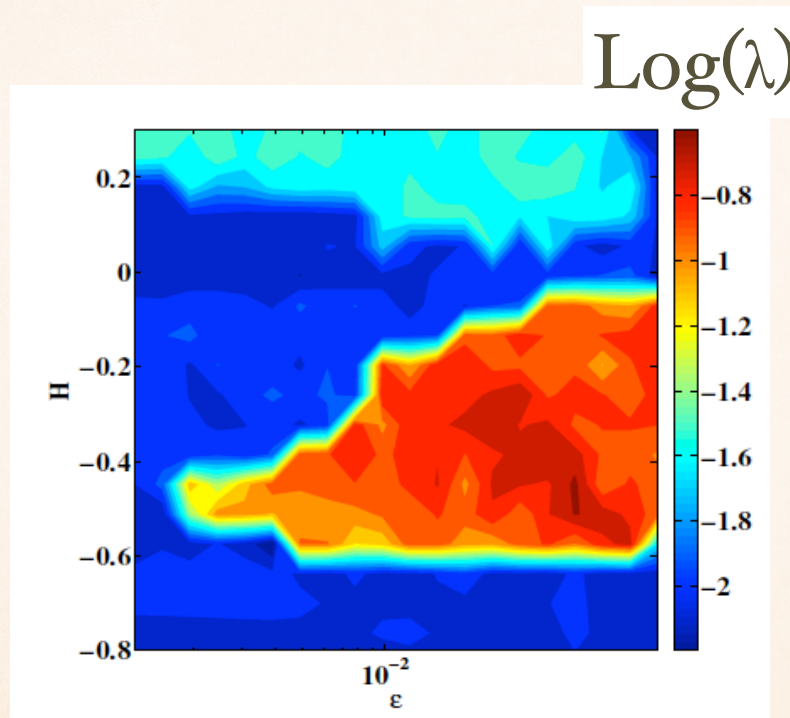
octupole
resonances

Octupole resonances: responsible for $e \rightarrow 1$

Chaos: overlap of quadrupole and octupole resonances
high inclination flips

CHARACTERIZATION OF CHAOS

- Chaotic when $H \leq 0$ (correspond to high i cases).



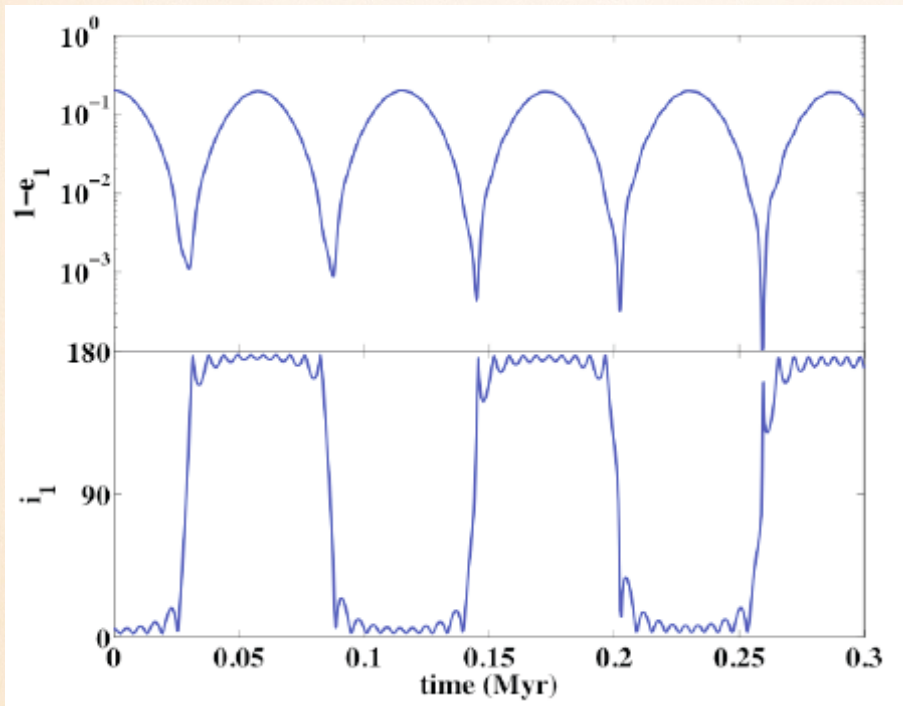
$$\begin{aligned} \Omega_0 &= 0, \\ \omega_0 &= \pi/2, \\ e_0 &= 0 \end{aligned}$$

- In chaotic region, Lyapunov timescale $t_L = (1/\lambda) \approx 6t_K$.
(t_K corresponds to the oscillation timescale of e_I and i)

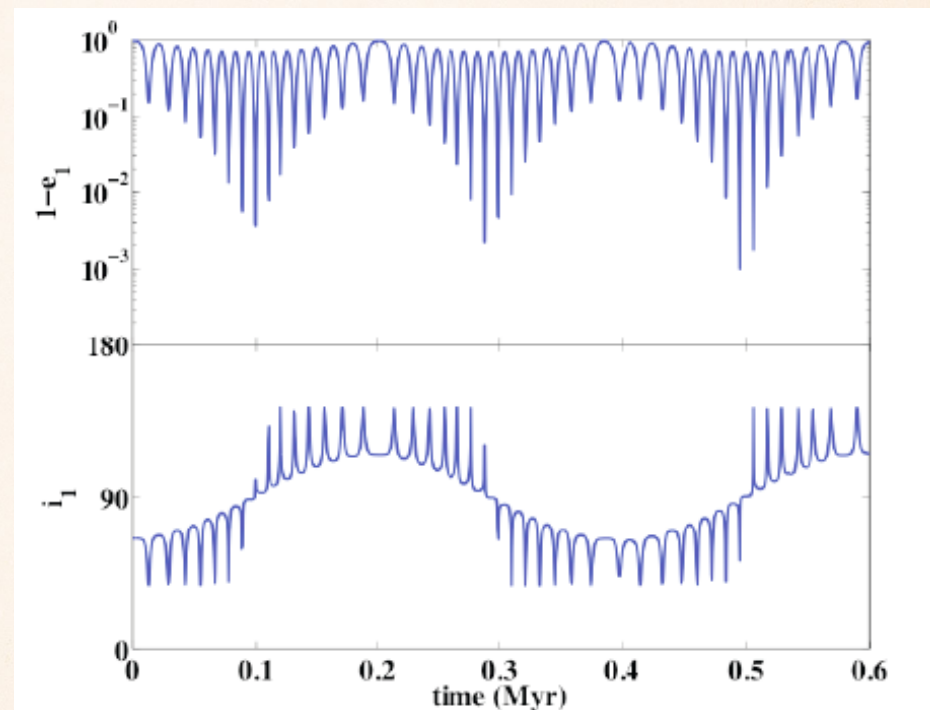
$$t_K = \frac{8}{3} P_{in} \frac{m_1}{m_2} \left(\frac{a_2}{a_1} \right)^3 (1 - e_2^2)^{3/2}$$

DIFFERENCES BETWEEN HIGH/LOW I FLIP

Low inclination flip



High inclination flip

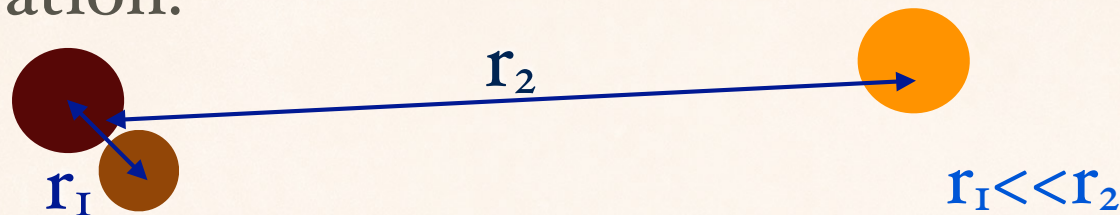


Low inclination flips:

- ▶ $e_I \uparrow$ monotonically, inclination stays low before flip.
- ▶ i stays low before flip.

HIERARCHICAL THREE-BODY SYSTEMS

- Configuration:



- Hierarchical configurations are **COMMON**:

- For binaries with periods shorter than 10 days, **>40%** of them are in systems with multiplicity ≥ 3 . (*Tokovinin 1997*)

- For binaries with period < 3 days, **$\geq 96\%$** are in systems with multiplicity ≥ 3 . (*Tokovinin et al. 2006*)

- 282 of the 299 triple systems (**$\sim 94.3\%$**) are hierarchical. (*Eggleton et al. 2007*)

- Hierarchical 3-body dynamics gives **insight** for hierarchical multiple systems.

EXAMPLES OF HIERARCHICAL 3-BODY DYNAMICS

● For stellar systems:

Short Period Binaries

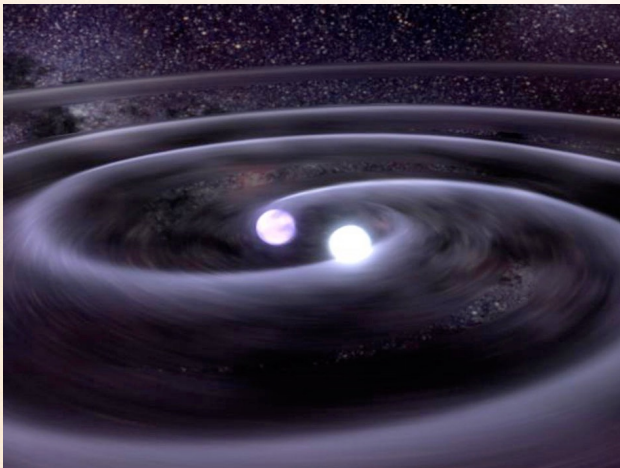
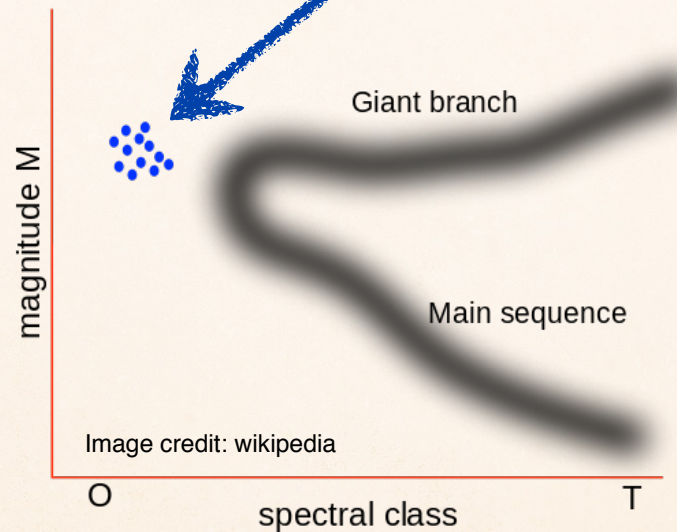


Image credit: NASA/Tod Strohmayer/Dana Berry

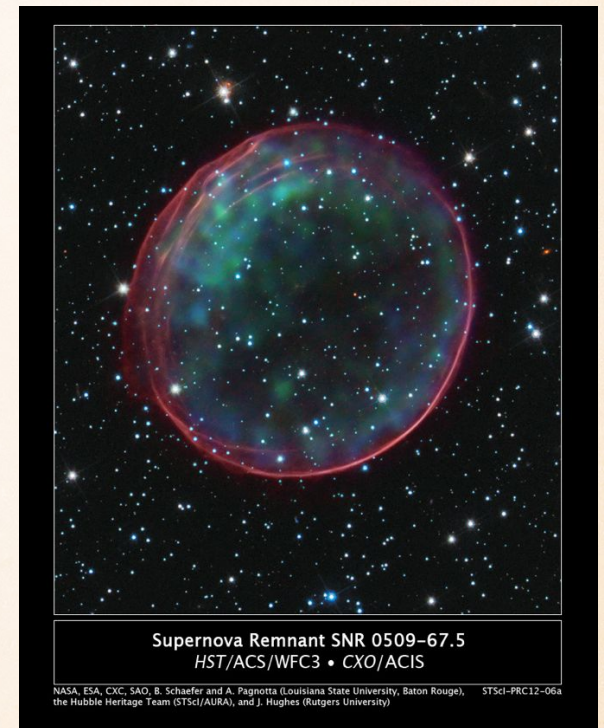
e.g., Harrington 1969; Mazeh & Shoham 1979; Ford et al. 2000; Eggleton & Kiseleva-Eggleton 2001; Fabrycky & Tremaine 2007; Shappee & Thompson 2013

Blue Stragglers



e.g., Perets & Fabrycky 2009; Naoz & Fabrycky 2014

Type Ia Supernova

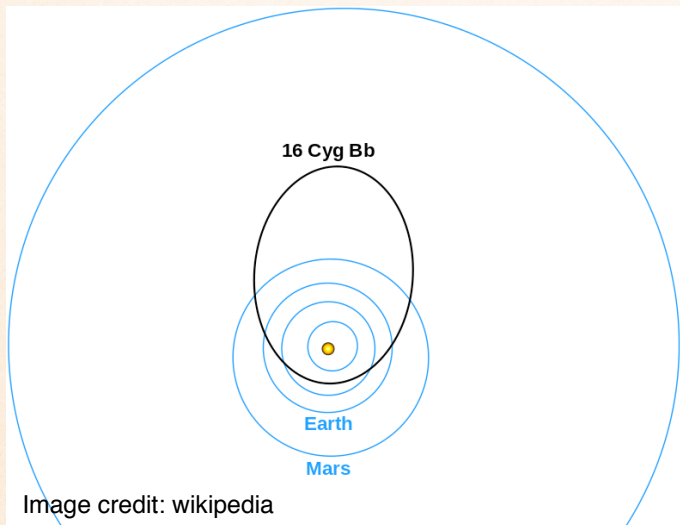


e.g., Katz & Dong 2012; Kushnir et al. 2013

EXAMPLES OF HIERARCHICAL 3-BODY DYNAMICS

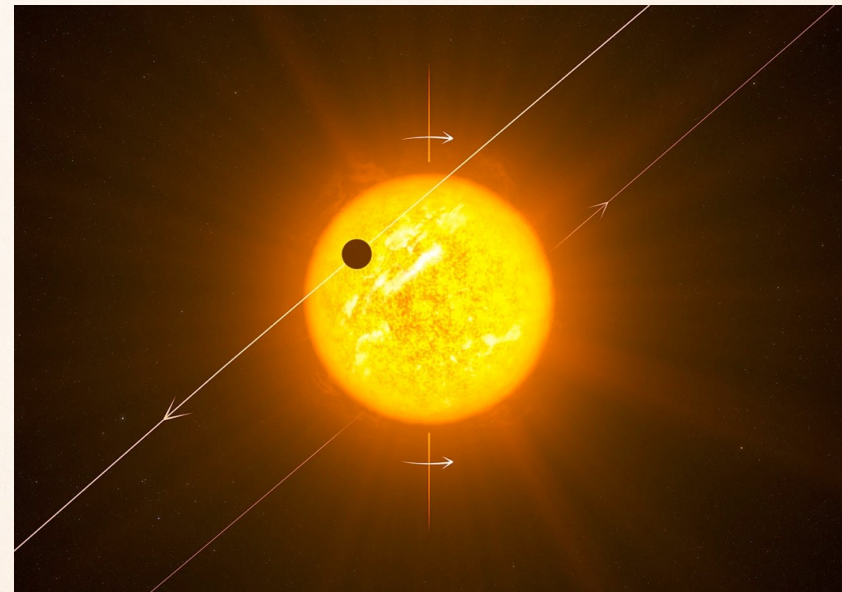
● Exoplanetary systems:

Eccentric Orbits



e.g., Holman et al. 1997; Ford et al. 2000; Wu & Murray 2003;

Exoplanets with large spin-orbit misalignment



e.g., Fabrycky & Tremaine 2007; Naoz et al. 2011, 2012; Petrovich 2015; Storch et al. 2014; Anderson et al. 2016

EXAMPLES OF HIERARCHICAL 3-BODY DYNAMICS

● Black hole systems:

Merger of short period
black hole binaries

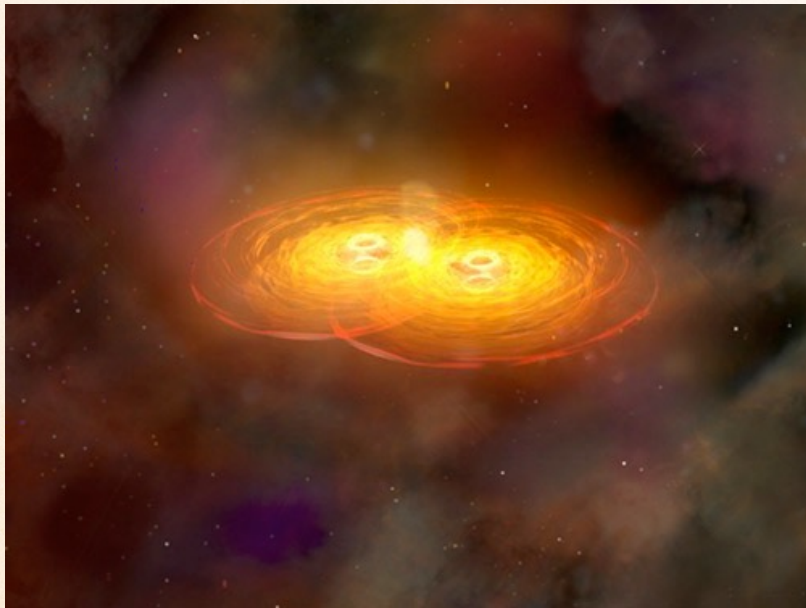


Image credit: NASA / CXC / A. Hobart

*e.g., Blaes et al. 2002; Miller & Hamilton
2002; Wen 2003; Bode & Wegg 2014;*

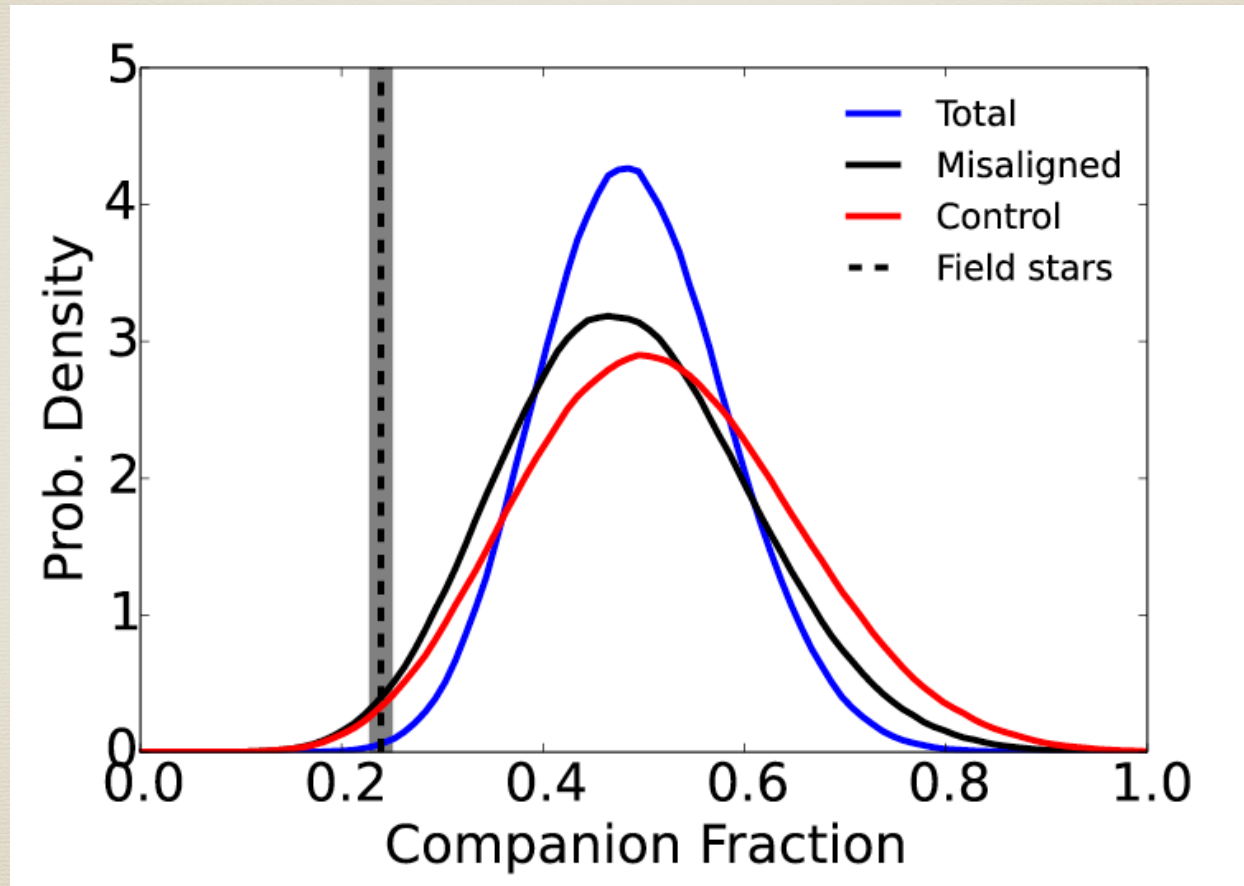
Tidal disruption events



Image credit: NASA/CXC/M.Weiss

*e.g., Chen et al. 2009, 2011; Wegg & Bode
2011; Li et al. 2015*

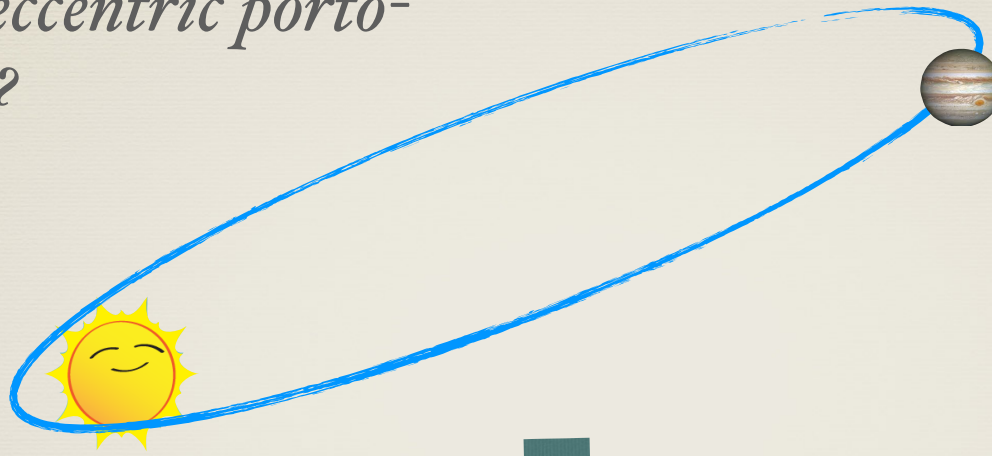
Spin-orbit Misalignment



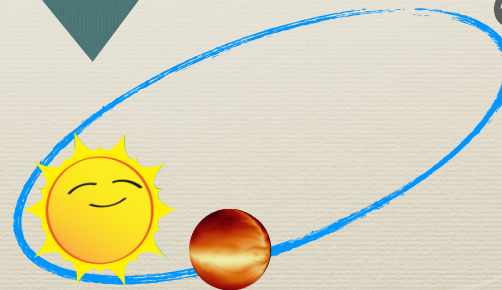
* No correlation between misaligned/eccentric hot Jupiter systems and the incidence of stellar companions

Eccentric Proto-Hot Jupiters

Existence of eccentric proto-Hot Jupiters?



High e migration

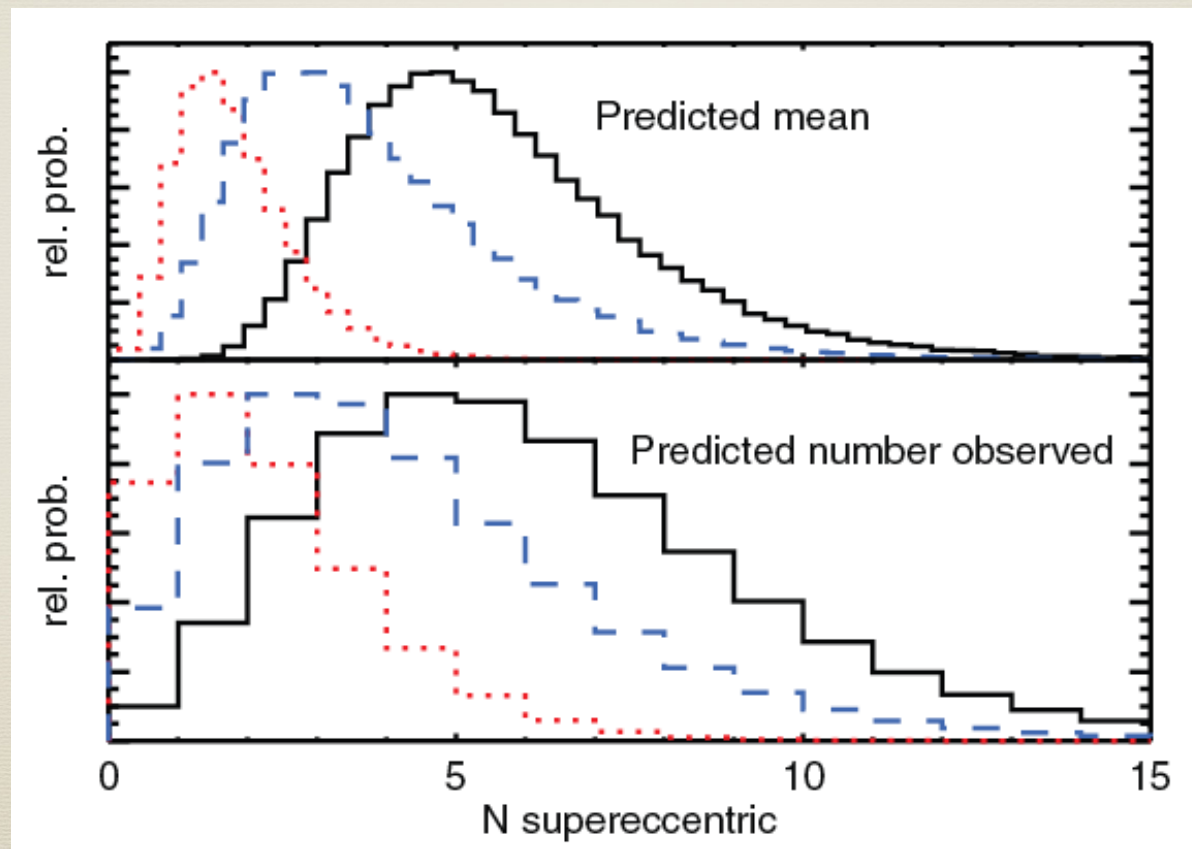


eccentric proto-HJs

LK dominate

Proto-Hot Jupiters

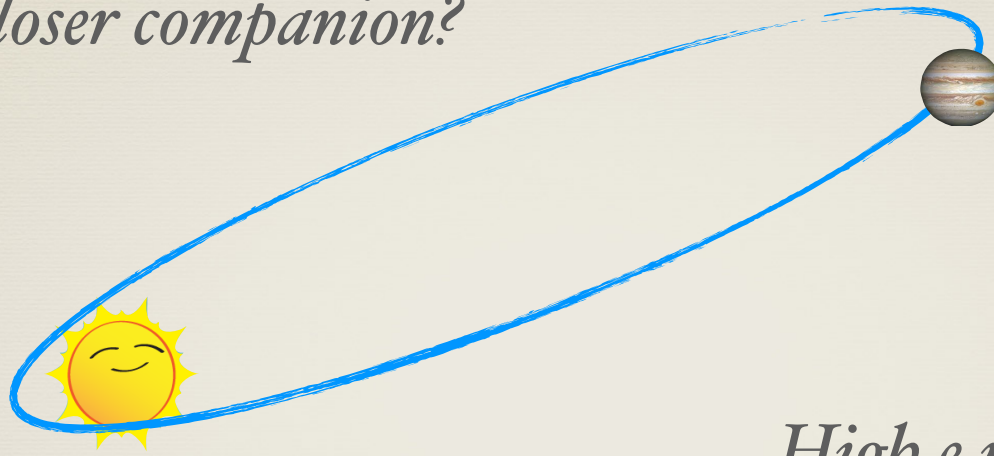
- * A paucity of proto-hot Jupiters on super-eccentric orbits



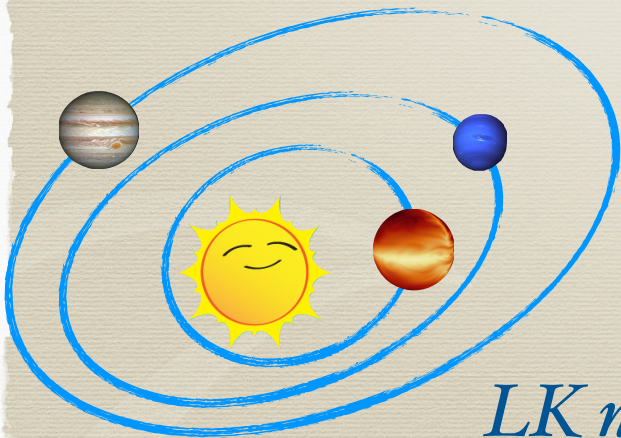
- * <44% formed via LK mechanism

Closer Companions of Hot Jupiters

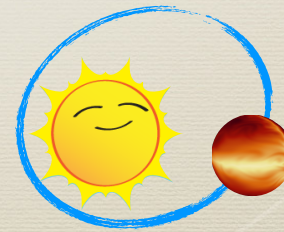
Existence a closer companion?



*High e migration
=> No close companions*

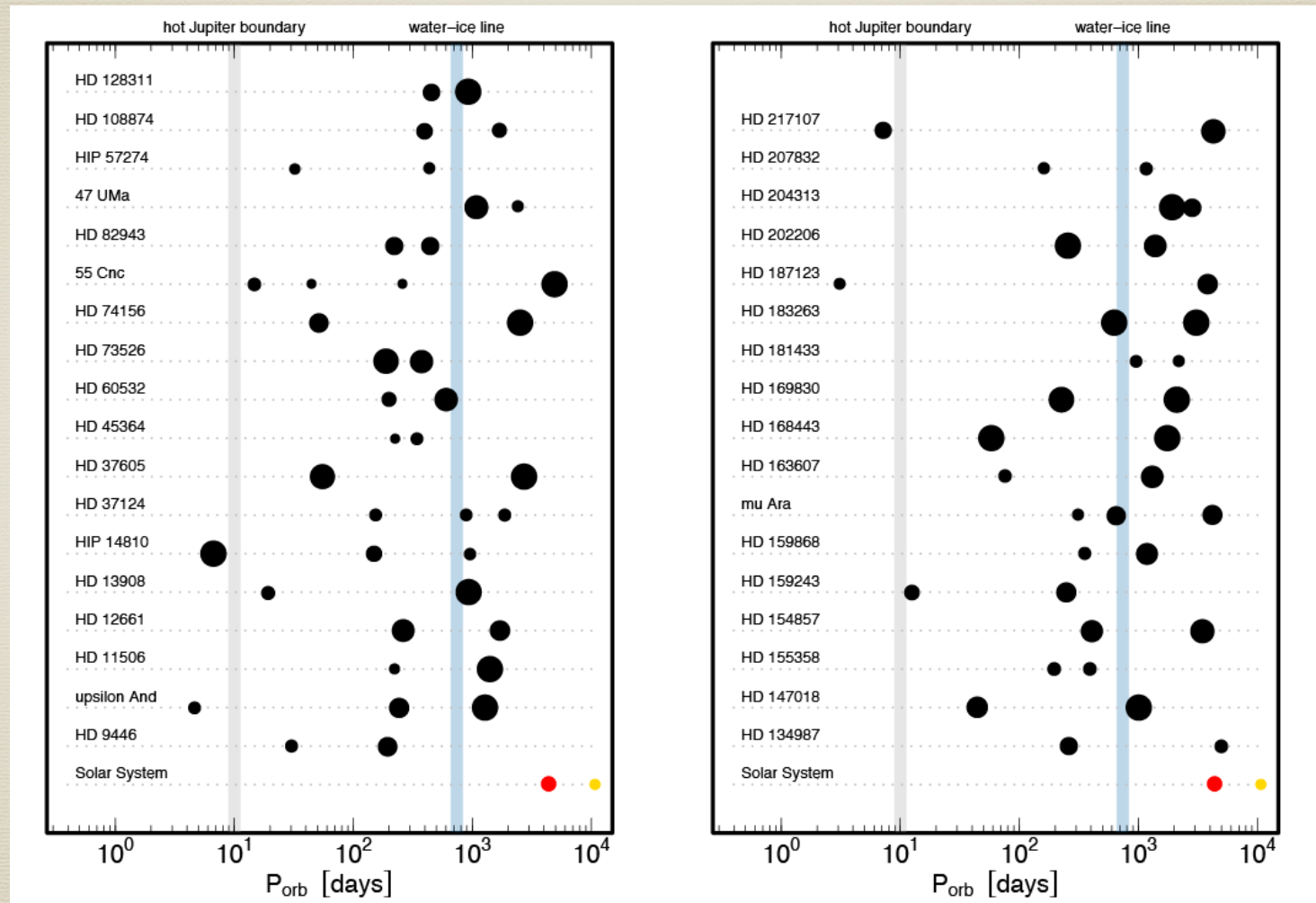


LK not dominate



LK dominate

Closer Companions of Hot Jupiters



Hot Jupiters (< 10 days) are no more or less likely to have exterior companions than giant planets (>10 days)

=> high e migration does not dominate

Schlaufman & Winn 2016